Chapter 13

SROs, Marketplaces and Clearing Agencies

- 13.3 Clearing Agencies
- 13.3.1 ICE Clear Credit LLC Notice of Commission Order Application for Interim Exemptive Relief

ICE CLEAR CREDIT LLC

APPLICATION FOR INTERIM EXEMPTIVE RELIEF

NOTICE OF COMMISSION ORDER

On December 28, 2012, the Commission granted ICE Clear Credit LLC (ICC) an interim exemption from the requirement in subsection 21.2(0.1) of the Securities Act (Ontario) (Act) to be recognized as a clearing agency. ICC is exempted from the requirement until the earlier of (i) the date the Commission renders a subsequent order recognizing ICC as a clearing agency under subsection 21.2(0.1) of the Act or exempting it from the requirement to be recognized as a clearing agency under section 147 of the Act, and (ii) December 31, 2013. The interim exemption order is subject to certain terms and conditions.

A copy of the interim exemption order is published in Chapter 2 of this Bulletin.

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