

The Ontario Securities Commission

OSC Bulletin

Cumulative Index - 2004

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Securities Act of Ontario (R.S.O. 1990, c.S.5) and the
Commodity Futures Act of Ontario (R.S.O. 1990, c.C.20)

The Ontario Securities Commission

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Introduction to the OSCB Cumulative Index

This index cumulates material found in the OSC Bulletin (Volume 27) for the period January - December, 2004, inclusive.

Indexed material includes the text content of chapters 1-6, 9, 13 and 25 as well as the tabular content of chapters 4, 12 and 25. With regard to the text chapters only the bold type material which introduces each order, ruling, decision, etc., is indexed. This is done in sufficient depth so that all corporate or personal names included are indexed. Uniform headings were created to gather OSC Policies under "OSC Policy XX", National Policy Statements under "National Policy Statement X" and amendments to the Securities Act of Ontario under "Securities Act, Amendments". The tabular content of chapters 4, 12 and 25 is indexed by corporate name alone.

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				Cease Trading Orders	3225	#13	Mar. 26
Atlas Cold Storage Income Trust				B2B Trust			
Cease Trading Orders	53	#01	Jan. 2	MRRS Decision	6629	#30	July 23
Cease Trading Orders	431	#02	Jan. 9	Babick, Donald			
Cease Trading Orders	743	#03	Jan. 16	News Release	5130	#22	May 28
Cease Trading Orders	1197	#04	Jan. 23	Order - para. 127(1)2 and ss. 127(5)	5152	#22	May 28
Cease Trading Orders	1381	#05	Jan. 30	Babson Capital Management LLC			
Cease Trading Orders	1617	#06	Feb. 6	Change of Name	6415	#28	July 9
Cease Trading Orders	1895	#07	Feb. 13	Babson Capital Securities Inc			
Cease Trading Orders	2077	#08	Feb. 20	Change of Name	9273	#46	Nov. 12
Cease Trading Orders	2359	#09	Feb. 27	Babson Securities Corporation			
Cease Trading Orders	2545	#10	Mar. 5	Change of Name	9273	#46	Nov. 12
Cease Trading Orders	2769	#11	Mar. 12	Badger Daylighting Inc.			
Cease Trading Orders	2965	#12	Mar. 19	MRRS Decision	6611	#30	July 23
Cease Trading Orders	3225	#13	Mar. 26	Bailey & Company			
Cease Trading Orders	3437	#14	Apr. 2	Denial of Application for Renewal of Registration	3123	#12	Mar. 19
Cease Trading Orders	3769	#15	Apr. 9	Bakbone Software Incorporated			
Cease Trading Orders	3947	#16	Apr. 16	Cease Trading Orders	9845	#50	Dec. 10
Cease Trading Orders	4371	#18	Apr. 30	Cease Trading Orders	10015	#51	Dec. 17
Cease Trading Orders	4481	#19	May 7				
Cease Trading Orders	4679	#20	May 14				
News Release	5634	#24	June 11				
News Release	6479	#29	July 16				
News Release	6480	#29	July 16				
Aur Resources Inc.							
MRRS Decision	5957	#26	June 25				
Auriol, Helene Marie Jacqueline Madeleine							
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21				
News Release	4885	#21	May 21				
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21				

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Baker Gilmore & Associates Inc				Exemption - s. 6.1 of OSC Rule 13-502	9285	#46	Nov. 12
Order	1890	#07	Feb. 13				
Order- s. 147	2354	#09	Feb. 27				
MRRS Decision	6846	#31	July 30				
Banca IMI Securities Corp.				Barrick Gold Finance Company			
New Registration	7353	#34	Aug. 20	Decision - s. 15.1 of NI 44-101	8965	#45	Nov. 5
				Exemption - s. 6.1 of OSC Rule 13-502	9285	#46	Nov. 12
Bandolac Mining Company, Limited				Barrick Gold Inc			
Cease Trading Orders	5511	#23	June 4	Decision - s. 15.1 of NI 44-101	8965	#45	Nov. 5
Cease Trading Orders	5679	#24	June 11				
Banfield, W. Jefferson T.				Barrios, Alvio			
News Release	6608	#30	July 23	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
News Release	7410	#35	Aug. 27	News Release	4885	#21	May 21
Order - ss. 127 and 127.1	7428	#35	Aug. 27	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
Bank of Montreal				News Release	5440	#23	June 4
MRRS Decision	1879	#07	Feb. 13	Order - para. 127(1)2	5503	#23	June 4
Bank of Nova Scotia, The				Basis100Inc.			
MRRS Decision	5143	#22	May 28	MRRS Decision	8693	#43	Oct. 22
MRRS Decision	5478	#23	June 4				
MRRS Decision	5949	#26	June 25	Bassett, Douglas G.			
Bank of Nova Scotia Trust Company of New York, The				Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21
Order - ss. 46(4) of the OBCA	2351	#09	Feb. 27	Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21
Banro Corporation				News Release	4885	#21	May 21
Consent - ss. 4(b) of Reg. 298	3387	#13	Mar. 26	Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21
Banwell Financial Inc.				Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21
Change of Category	1307	#04	Jan. 23	Bayport Capital Corporation			
Change in Category of Registration	1735	#06	Feb. 6	New Registration	7353	#34	Aug. 20
Barclays Capital Inc.				Bayshore Asset Management Inc.			
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1345	#05	Jan. 30	New Registration	6597	#29	July 16
Barclays Global Investors, National Association				Baytex Energy Trust			
New Registration	8329	#40	Oct. 1	MRRS Decision	8089	#39	Sept. 24
Barep Asset Management S.A.				Bear, Stearns & Co. Inc.			
Order - s. 80 of the CFA	9840	#50	Dec. 10	Order - s. 147	9455	#48	Nov. 26
Baring Asset Management Limited				Beatty, Douglas Charles			
Order - s. 80 of the CFA	9842	#50	Dec. 10	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Barnes, Debbie				News Release	4885	#21	May 21
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
News Release	4885	#21	May 21	News Release	5440	#23	June 4
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Order - para. 127(1)2	5503	#23	June 4
News Release	5440	#23	June 4	Begic, Kresimir			
Order - para. 127(1)2	5503	#23	June 4	SRO Notices and Disciplinary Proceedings	2044	#07	Feb. 13
Barrick Gold Corporation				SRO Notices and Disciplinary Proceedings	2045	#07	Feb. 13
MRRS Decision	2702	#11	Mar. 12				
Decision - s. 13.1 of NI 51-102 and s. 121(2)(a)(ii) of the Act	8815	#44	Oct. 29				
Decision - s. 15.1 of NI 44-101	8965	#45	Nov. 5				

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Bejar, Martha Helena				Bifield, Allan			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
News Release	4885	#21	May 21	News Release	4885	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
News Release	5440	#23	June 4	News Release	5440	#23	June 4
Order - para. 127(1)2	5503	#23	June 4	Order - para. 127(1)2	5503	#23	June 4
Bell Canada International Inc.				Bijou Securities Inc.			
MRRS Decision	8207	#40	Oct. 1	New Registration	7353	#34	Aug. 20
Bellporte Black Investment Management Ltd.				Binnington, Robert			
Surrender of Registration	6227	#27	July 2	SRO Notices and Disciplinary Proceedings	6093	#26	June 25
Benedetto, Gartland & Company, Inc.				Bioscience Managers Limited			
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1354	#05	Jan. 30	New Registration	7353	#34	Aug. 20
Benson Van Laer & Co. Inc.				Biovail Corporation			
New Registration	2921	#11	Mar. 12	MRRS Decision	404	#02	Jan. 9
Berger, Susan				Bischoff, Dr., Manfred			
Notice of Hearing - s. 127	5113	#22	May 28	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
News Release	5129	#22	May 28	News Release	4885	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
Berkshire Investment Group Inc.				Biston, Alain Mathieu Pierre			
MRRS Decision	4467	#19	May 7	News Release	5440	#23	June 4
Berkshire Investment Group Inc./Groupe D'investissement Berkshire Inc.				Bishop, Paul Alexander			
Amalgamation	6091	#26	June 25	SRO Notices and Disciplinary Proceedings	4271	#17	Apr. 23
Berkshire Securities Inc.				Black, Conrad M.			
MRRS Decision	4467	#19	May 7	Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21
BF Minerals Ltd.				Black, Conrad M.			
Order - s. 144	4366	#18	Apr. 30	Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21
BGC Securities				Black, Conrad M.			
Change of Name	10133	#51	Dec. 17	News Release	4885	#21	May 21
Bhatnagar, Atul				Black, Conrad M.			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21
News Release	4885	#21	May 21	News Release	4942	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Order - para. 127(1)2 and ss. 127(5)	5130	#22	May 28
News Release	5440	#23	June 4				
Order - para. 127(1)2	5503	#23	June 4				
Biard, James Anthony							
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21				
News Release	4885	#21	May 21				
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21				
News Release	5440	#23	June 4				
Order - para. 127(1)2	5503	#23	June 4				

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Order - para. 127(1)2 and ss. 127(5)	5152	#22	May 28	BNS Capital Trust				
News Release	5439	#23	June 4	MRRS Decision	5143	#22	May 28	
Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4	BNY Brokerage Inc.				
News Release	5634	#24	June 11	Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1156	#04	Jan. 23	
Order - para. 127(1)2	5664	#24	June 11	Boardwalk Equities Inc.				
Black Hawk Mining Inc.				MRRS Decision	9	#01	Jan. 2	
MRRS Decision	3761	#15	Apr. 9	Boardwalk Real Estate Investment Trust				
Black Ice Capital Corp.				MRRS Decision	6957	#32	Aug. 6	
MRRS Decision	6311	#28	July 9	Boardwalk REIT Limited Partnership				
Black Pearl Minerals Consolidated Ltd.				MRRS Decision	6957	#32	Aug. 6	
Cease Trading Orders	1617	#06	Feb. 6	Boggs, David Wood				
Cease Trading Orders	1895	#07	Feb. 13	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	
Cease Trading Orders	2077	#08	Feb. 20	News Release	4885	#21	May 21	
Blackstar Funds, LLC				Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	
Order - s. 80 of the CFA	2728	#11	Mar. 12	News Release	5440	#23	June 4	
Blacktree Capital Corp.				Order - para. 127(1)2	5503	#23	June 4	
Change of Name	8799	#43	Oct. 22	Boiler Room Operators Target Ontario Investors				
Blanchard, James Johnston				News Release	2685	#11	Mar. 12	
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Boiler Room Tactics, Be on the Alert for				
News Release	4885	#21	May 21	News Release	1133	#04	Jan. 23	
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Bolen, Norm				
News Release	5440	#23	June 4	Notice of Hearing - s. 127	5113	#22	May 28	
Order - para. 127(1)2	5503	#23	June 4	News Release	5129	#22	May 28	
BLC-Edmond de Rothschild Asset Management Inc.				Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28	
Approval - cl. 213(3)(b) of the LTCA	3127	#12	Mar. 19	Bolouri, Chahram				
Blue Power Energy Corporation				Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	
Order - s. 144	2357	#09	Feb. 27	News Release	4885	#21	May 21	
Cease Trading Orders	2359	#09	Feb. 27	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	
BMO Investments Inc.				News Release	5440	#23	June 4	
MRRS Decision	398	#02	Jan. 9	Order - para. 127(1)2	5503	#23	June 4	
MRRS Decision	2952	#12	Mar. 19	Boomerang Tracking Inc.				
BMO Nesbitt Burns Inc.				MRRS Decision	9628	#49	Dec. 3	
News Release	726	#03	Jan. 16	Borowiecki, Thomas Julian				
MRRS Decision	2952	#12	Mar. 19	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	
MRRS Decision	9824	#50	Dec. 10	News Release	4885	#21	May 21	
SRO Notices and Disciplinary Proceedings	9941	#50	Dec. 10	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	
Exemption - s. 4.1 of OSC Rule 31-502	9945	#50	Dec. 10	News Release	5440	#23	June 4	
BMONT Split Corp.				Order - para. 127(1)2	5503	#23	June 4	
MRRS Decision	7092	#33	Aug. 13	Boulieris, Dimitrios				
MRRS Decision	8531	#42	Oct. 15	News Release	1535	#06	Feb. 6	
BNN Split Corp.				Reasons for Decision	1597	#06	Feb. 6	
MRRS Decision	2948	#12	Mar. 19					

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Boulton, J. A.				News Release	4333	#18	Apr. 30
Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21	News Release	4334	#18	Apr. 30
Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21	Order - ss. 127 and 127.1	4357	#18	Apr. 30
News Release	4885	#21	May 21	News Release	5129	#22	May 28
Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21	Order - s. 127	5147	#22	May 28
Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21	Brompton Equity Split Corp.			
News Release	5130	#22	May 28	Ruling - ss. 74(1)	3432	#14	Apr. 2
Order - para. 127(1)2 and ss. 127(5)	5152	#22	May 28	Brown, Douglas			
News Release	5439	#23	June 4	News Release	6481	#29	July 16
Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4	Reasons for Decision	7955	#38	Sept. 17
News Release	5634	#24	June 11	Brown, Kathleen			
Order - para. 127(1)2	5664	#24	June 11	Notice of Hearing - s. 127	5113	#22	May 28
				News Release	5129	#22	May 28
				Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28
Bourse de Montréal Inc.				Brown, Leslie			
Notice	3136	#13	Mar. 26	News Release	6481	#29	July 16
Order - s. 147, s. 80 of the CFA and s. 6.1 of OSC Rule 91-502	3193	#13	Mar. 26	Reasons for Decision	7955	#38	Sept. 17
BPCL Holdings Inc.				Brown, Robert Ellis			
MRRS Decision	8355	#41	Oct. 8	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Brascan SoundVest Diversified Income Fund				News Release	4885	#21	May 21
MRRS Decision	2525	#10	Mar. 5	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
Brawley Cathers Limited				News Release	5440	#23	June 4
Name Change	3737	#14	Apr. 2	Order - para. 127(1)2	5503	#23	June 4
Brazeau, Maurice Guy				Browne, Peter Eldon			
SRO Notices and Disciplinary Proceedings	7917	#37	Sept. 10	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Brazilian Resources, Inc.				News Release	4885	#21	May 21
Release from Escrow	10137	#51	Dec. 17	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
BRC Development Corporation				News Release	5440	#23	June 4
Consent - ss. 4(b) of Reg. 289	7061	#32	Aug. 6	Order - para. 127(1)2	5503	#23	June 4
Brewster, Nicole				Bruce, Lloyd			
SRO Notices and Disciplinary Proceedings	7918	#37	Sept. 10	Notice of Hearing - ss. 127 and 127.1	4159	#17	Apr. 23
Brick Group Income Fund, The				News Release	4168	#17	Apr. 23
MRRS Decision	7424	#35	Aug. 27	News Release	5129	#22	May 28
MRRS Decision	7549	#36	Sept. 3	Order - s. 127	5147	#22	May 28
BridgePoint International Inc.				News Release	9298	#47	Nov. 19
Cease Trading Orders	2077	#08	Feb. 20	News Release	9301	#47	Nov. 19
Cease Trading Orders	2359	#09	Feb. 27	Order - ss. 127 and 127.1	9319	#47	Nov. 19
British Columbia Investment Management Corporation				Bruce, Lloyd Hutchinson Ebenezer			
Order - ss. 74(1)	9456	#48	Nov. 26	News Release	6838	#31	July 30
Bromberg, David				News Release	6839	#31	July 30
Notice of Hearing - ss. 127 and 127.1	4159	#17	Apr. 23	B-Trade Services LLC			
News Release	4168	#17	Apr. 23	Decision - ss. 6.1(1) of MI 31-102\ and s. 6.1 of OSC Rule 13-502	381	#02	Jan. 9
				Buckingham Research Group Incorporated, The			
				Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	3145	#13	Mar. 26

Buckingham Securities Corporation				Order - para. 127(1)2			
Order - s. 127	3428	#14	Apr. 2	and ss. 127(5)	4935	#21	May 21
Notice of Hearing - ss. 127 and 127.1	4159	#17	Apr. 23	News Release	5440	#23	June 4
News Release	4168	#17	Apr. 23	Order - para. 127(1)2	5503	#23	June 4
News Release	5129	#22	May 28	C1 Energy Ltd.			
Order - s. 127	5147	#22	May 28	MRRS Decision	389	#02	Jan. 9
News Release	6838	#31	July 30	C.A. Delaney Capital Management Ltd.			
Buffalo Oil Company Limited				Order - s. 147	2958	#12	Mar. 19
MRRS Decision	9303	#47	Nov. 19	Exemption - s. 6.1 of OSC Rule 13-502	3129	#12	Mar. 19
Buffett, David Alan				C. Morgan Investment Counselling Inc.			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Amalgamation	9411	#47	Nov. 19
News Release	4885	#21	May 21	Cabletel Communications Corp.			
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Cease Trading Orders	5156	#22	May 28
News Release	5440	#23	June 4	Cease Trading Orders	5512	#23	June 4
Order - para. 127(1)2	5503	#23	June 4	Cease Trading Orders	5680	#24	June 11
Burgundy Asset Management Ltd.				Cease Trading Orders	5823	#25	June 18
MRRS Decision	7087	#33	Aug. 13	Cease Trading Orders	5973	#26	June 25
Burlington Capital Management Ltd.				Cease Trading Orders	6139	#27	July 2
New Registration	9273	#46	Nov. 12	Cease Trading Orders	6331	#28	July 9
Burlington Resources Canada Ltd.				Cease Trading Orders	6497	#29	July 16
MRRS Decision	2956	#12	Mar. 19	Cease Trading Orders	6649	#30	July 23
Burlington Resources Inc.				Cease Trading Orders	6869	#31	July 30
Order - pt. 8.1 of NI 51-101	426	#02	Jan. 9	Cease Trading Orders	6983	#32	Aug. 6
Burt, Richard				Cease Trading Orders	7127	#33	Aug. 13
Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21	Cease Trading Orders	7281	#34	Aug. 20
Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21	Cease Trading Orders	7281	#34	Aug. 20
News Release	4885	#21	May 21	Cease Trading Orders	7449	#35	Aug. 27
Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21	Cease Trading Orders	7587	#36	Sept. 3
Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21	Calamos Advisors LLC			
News Release	5439	#23	June 4	Change of Name	9055	#45	Nov. 5
Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4	Calamos Asset Management, Inc.			
News Release	5634	#24	June 11	New Registration	2487	#09	Feb. 27
Order - para. 127(1)2	5664	#24	June 11	Caldwell International Fund			
Business, Engineering, Science & Technology Discoveries Fund Inc., The				MRRS Decision	5	#01	Jan. 2
Variation of Order - s. 9.1 of NI 81-105 and s. 144	4351	#18	Apr. 30	Caldwell Investment Banking Inc.			
BW Technologies Ltd.				Change of Name	8799	#43	Oct. 22
MRRS Decision	5953	#26	June 25	Caldwell Technology Fund			
MRRS Decision	6612	#30	July 23	MRRS Decision	5	#01	Jan. 2
Byrd, Richard Andrew				California Board for Professional Engineers and Land Surveyors			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	MRRS Decision	1188	#04	Jan. 23
News Release	4885	#21	May 21	Callaghan, Barbara Rose			
				Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
				News Release	4885	#21	May 21
				Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
				News Release	5440	#23	June 4
				Order - para. 127(1)2	5503	#23	June 4

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Call-Net Enterprises Inc.					Canada Life Securities Inc.				
MRRS Decision	4179	#17	Apr. 23		Name Change	1735	#06	Feb. 6	
Calloway Real Estate Investment Trust					Canada Mortgage Acceptance Corporation				
MRRS Decision	4636	#20	May 14		MRRS Decision	8351	#41	Oct. 8	
Callum, Andrew					Canada Southern Petroleum Ltd.				
Notice of Hearing - s. 127	5113	#22	May 28		MRRS Decision	5491	#23	June 4	
News Release	5129	#22	May 28		Canada's Pizza Delivery Corp.				
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28		Cease Trading Orders	7697	#37	Sept. 10	
Calpine Canada Energy Finance ULC					Cease Trading Orders	7959	#38	Sept. 17	
Exemption - s. 6.1 of OSC Rule 13-502	3901	#15	Apr. 9		Cease Trading Orders	8101	#39	Sept. 24	
Calpine Canada Energy Finance II ULC					Canadian Baldwin Holdings Limited				
MRRS Decision	2070	#08	Feb. 20		Cease Trading Orders	53	#01	Jan. 2	
Calpine Corporation					Canadian Bank Note Company, Limited				
MRRS Decision	7099	#33	Aug. 13		MRRS Decision	6130	#27	July 2	
Calsi Investments Inc.					MRRS Decision	7260	#34	Aug. 20	
New Registration	1491	#05	Jan. 30		Canadian Empire Exploration Corp.				
Calvert, Robert G.					Exemption - s. 13.1 of NI 51-102	5606	#23	June 4	
News Release	5130	#22	May 28		Canadian Forest Products Ltd.				
Order - para. 127(1)2 and ss. 127(5)5152	5152	#22	May 28		MRRS Decision	4633	#20	May 14	
Cambridge Financial Services Group Inc.					Canadian Imperial Bank of Commerce				
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	9986	#51	Dec. 17		MRRS Decision	398	#02	Jan. 9	
Canabrava Diamond Corporation					MRRS Decision	2952	#12	Mar. 19	
MRRS Decision	4173	#17	Apr. 23		MRRS Decision	9428	#48	Nov. 26	
Canaccord Capital Corporation					Canadian Medical Discoveries Fund Inc.				
MRRS Decision	406	#02	Jan. 9		MRRS Decision	9617	#49	Dec. 3	
Exemption - s. 4.1 of OSC Rule 31-502	3128	#12	Mar. 19		Canadian Natural Resources Limited				
MRRS Decision	8088	#39	Sept. 24		MRRS Decision	1174	#04	Jan. 23	
Canaccord Capital Inc.					Canadian Oil Sands Limited				
MRRS Decision	6132	#27	July 2		MRRS Decision	1178	#04	Jan. 23	
Canada Dominion Resources 2004 Limited Partnership					MRRS Decision	4463	#19	May 7	
MRRS Decision	8081	#39	Sept. 24		MRRS Decision	6282	#28	July 9	
Canada Life Assurance Company, The					Canadian Oil Sands Trust				
MRRS Decision	5453	#23	June 4		MRRS Decision	1178	#04	Jan. 23	
MRRS Decision	8399	#41	Oct. 8		MRRS Decision	6282	#28	July 9	
Canada Life Capital Corporation Inc.					Canbras Communications Corp.				
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CSA Multilateral Staff Notice 57-302, Failure to File Certificates Under Multilateral Instrument 52-109 - Certification of Disclosure in Issuers' Annual and Interim Filings					
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CSA News Release - New Rules Promote Investor Confidence, Change Issuers' Disclosure and Governance Practices					
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CSA News Release - Securities Regulators Propose Uniform Securities Transfer Act					
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Dynamic Mutual Funds Ltd.				Eizenga, Allan			
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MRRS Decision	398	#02	Jan. 9	Notices of Hearing - s. 127	9298	#47	Nov. 19
Change of Name	1119	#03	Jan. 16	News Release	9299	#47	Nov. 19
MRRS Decision	2952	#12	Mar. 19	Order- ss. 127(1)	9446	#48	Nov. 26
Dynamic Mutual Fund Services Inc.				Electronic Arts Holding AB			
Name Change	5603	#23	June 4	Order - cl. 104(2)(c) of the Act	9833	#50	Dec. 10
Eagle Asset Management, Inc.				Electronic Arts Inc.			
New Registration	9055	#45	Nov. 5	Order - cl. 104(2)(c) of the Act	9833	#50	Dec. 10
Eagle Precision Technologies Inc.				Elliot, Fred			
MRRS Decision	1555	#06	Feb. 6	News Release	6838	#31	July 30
Order - ss. 1(6) of the OBCA	1592	#06	Feb. 6	Elliot Management Inc.			
MRRS Decision	2069	#08	Feb. 20	News Release	6838	#31	July 30
Eastwood, Janet				Elliott, Stephen Bennett			
Notice of Hearing - s. 127	5113	#22	May 28	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
News Release	5129	#22	May 28	News Release	4885	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
Eaton, Fredrik S.				News Release	5440	#23	June 4
Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21	Order - para. 127(1)2	5503	#23	June 4
Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21	Elliott & Page Limited			
News Release	4885	#21	May 21	MRRS Decision	1876	#07	Feb. 13
Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21	Elton, Christine			
Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21	Notice of Hearing - s. 127	5113	#22	May 28
Echo Springs Water Corp.				News Release	5129	#22	May 28
MRRS Decision	8370	#41	Oct. 8	Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28

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Elysium Wealth Management Inc.					Equilibrium Capital Management Inc.				
New Registration	1491	#05	Jan. 30		Approval - cl. 213(3)(b) of the LTCA	5605	#23	June 4	
EMJ Data Systems Ltd.					Equinox Capital Management, LLC				
MRRS Decision	8818	#44	Oct. 29		MRRS Decision	1157	#04	Jan. 23	
Enbridge Income Fund					Equinox Minerals Limited				
MRRS Decision	5959	#26	June 25		MRRS Decision	5444	#23	June 4	
EnCana Corporation					Ernst & Young Corporate Finance Inc.				
MRRS Decision	383	#02	Jan. 9		Change of Name	6943	#31	July 30	
MRRS Decision	7101	#33	Aug. 13		Ernst & Young Orenda Corporate Finance Inc./Conseil en financement Ernst & Young Orenda Inc.				
EnCana Holdings Finance Corp.					Change of Name	6943	#31	July 30	
MRRS Decision	7101	#33	Aug. 13		ESC II Holdings Limited				
Endesa, S.A.					Approval - cl. 213(3)(b) of the LTCA	9945	#50	Dec. 10	
Exemption - s. 6.1 of OSC Rule 13-502	2499	#09	Feb. 27		Esprit Acquisition Corp.				
Energy Exploration Technologies Inc.					MRRS Decision	8383	#41	Oct. 8	
MRRS Decision	4186	#17	Apr. 23		Esprit Energy Trust				
Energy North Inc.					MRRS Decision	8383	#41	Oct. 8	
MRRS Decision	6844	#31	July 30		Esprit ExchangeCo Ltd.				
MRRS Decision	7259	#34	Aug. 20		MRRS Decision	8383	#41	Oct. 8	
MRRS Decision	7271	#34	Aug. 20		Esprit Exploration Ltd.				
Energy Plus Income Trust					MRRS Decision	8383	#41	Oct. 8	
MRRS Decision	9819	#50	Dec. 10		EStation Network Services Inc.				
Energy Visions Inc.					Order - s. 144	4353	#18	Apr. 30	
Cease Trading Orders	2769	#11	Mar. 12		Esteridge, Winston Sylvester				
Cease Trading Orders	2965	#12	Mar. 19		Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	
Cease Trading Orders	3225	#13	Mar. 26		News Release	4885	#21	May 21	
Engemann Asset Management					Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	
New Registration	9273	#46	Nov. 12		News Release	5440	#23	June 4	
ENI, S.p.A.					Order - para. 127(1)2	5503	#23	June 4	
MRRS Decision	408	#02	Jan. 9		Ethical Funds Inc.				
ENMAX Corporation					MRRS Decision	398	#02	Jan. 9	
MRRS Decision	3411	#14	Apr. 2		Eurogas Corporation				
Ensign Capital Inc.					MRRS Decision	6279	#28	July 9	
New Registration	3737	#14	Apr. 2		Explorer Flow-Through Limited Partnership				
Eosphoros Asset Management Incorporated					MRRS Decision	2065	#08	Feb. 20	
New Registration	2921	#11	Mar. 12		Factorcorp Inc.				
EP 2000 Conservation Inc.					New Registration	2029	#07	Feb. 13	
Cease Trading Orders	2965	#12	Mar. 19		Faircourt Split Seven Trust				
Cease Trading Orders	3225	#13	Mar. 26		MRRS Decision	8077	#39	Sept. 24	
ePhone Telecom, Inc.					Epic Capital Management Inc.				
Cease Trading Orders	53	#01	Jan. 2		Approval - cl. 213(3)(b) of the LTCA	9607	#48	Nov. 26	
Cease Trading Orders	431	#02	Jan. 9						

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Fairmont Hotels & Resorts Inc.				Ferguson, Robert Lindsey Miller			
MRRS Decision	7930	#38	Sept. 17	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Fairway Advisors Inc.				News Release	4885	#21	May 21
Approval - cl. 213(3)(b) of the LTCA	5773	#24	June 11	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
Fairview Securities, Inc.				News Release	5440	#23	June 4
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1343	#05	Jan. 30	Order - para. 127(1)2	5503	#23	June 4
Fairway Diversified Income and Growth Trust				Fidelity Intermediary Securities Company Limited			
MRRS Decision	4648	#20	May 14	Amalgamation	4439	#18	Apr. 30
Falcon Trust/Fiducie Falcon				Amalgamation	4811	#20	May 14
MRRS Decision	7555	#36	Sept. 3	Amalgamation	5029	#21	May 21
Fall River Capital, LLC				Fidelity Intermediary Services Company Limited			
Order - s. 80 of the CFA	1370	#05	Jan. 30	Amalgamation	4439	#18	Apr. 30
Fangeat, Richard Jules				Amalgamation	4811	#20	May 14
Notice of Hearing - Amended Statement of Allegations	5115	#22	May 28	Amalgamation	5029	#21	May 21
Order- ss. 127(1)	9446	#48	Nov. 26	Fidelity International Limited			
Fangeat, Rick				Order - s. 80 of the CFA	3183	#13	Mar. 26
News Release	5947	#26	June 25	Order - ss. 78(1) of the CFA	4921	#21	May 21
News Release	5948	#26	June 25	Fidelity Investments Canada Inc.			
Notices of Hearing - s. 127	9298	#47	Nov. 19	Amalgamation	4439	#18	Apr. 30
News Release	9299	#47	Nov. 19	Amalgamation	4811	#20	May 14
Fantom Technologies Inc.				Fidelity Investments Canada Limited			
Cease Trading Orders	6649	#30	July 23	MRRS Decision	398	#02	Jan. 9
Cease Trading Orders	6869	#31	July 30	MRRS Decision	1868	#07	Feb. 13
Cease Trading Orders	6983	#32	Aug. 6	MRRS Decision	2952	#12	Mar. 19
Far Hills Group, LLC				MRRS Decision	4466	#19	May 7
Order - s. 218 of Reg. 1015	6134	#27	July 2	Amalgamation	5029	#21	May 21
New Registration	6415	#28	July 9	Fidelity Investments Money Management, Inc.			
Faraday Financial Corporation				Order - s. 80 of the CFA	3181	#13	Mar. 26
Name Change	4811	#20	May 14	Order - ss. 78(1) of the CFA	4919	#21	May 21
Farmer, Cecil Gregory				Fidelity Retirement Services Company of Canada Limited			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	MRRS Decision	1868	#07	Feb. 13
News Release	4885	#21	May 21	MRRS Decision	1871	#07	Feb. 13
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Fiduciary Trust Company of Canada			
News Release	5440	#23	June 4	New Registration	6415	#28	July 9
Order - para. 127(1)2	5503	#23	June 4	Financial 15 Split Corp.			
Felcom Management Corp.				MRRS Decision	1169	#04	Jan. 23
Approval - cl. 213(3)(b) of the LTCA	4143	#16	Apr. 16	Financial 15 Split Corp. II			
				Ruling - ss. 74(1)	8227	#40	Oct. 1
				Financial Industry Opportunities Fund Inc.			
				Exemption - s. 9.1 of NI 81-105	1326	#04	Jan. 23
				Firefly Strategy Capital Inc.			
				Name Change	5029	#21	May 21

Firestar Capital Management Corp.				Fisher, Arthur Walter			
Notice of Hearing	9955	#51	Dec. 17	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Order - s. 127	9996	#51	Dec. 17	News Release	4885	#21	May 21
Firestar Investment Management Group				Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
Order - s. 127	9997	#51	Dec. 17	News Release	5440	#23	June 4
First Albany Corporation				Order - para. 127(1)2	5503	#23	June 4
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1154	#04	Jan. 23	Five Continents Bank Corporation			
First Asset Brokerage Corporation				New Registration	8501	#41	Oct. 8
Name Change	3123	#12	Mar. 19	Five Continents Investments Limited			
First Asset Investment Management Inc.				Name Change	4811	#20	May 14
Change in Category	2487	#09	Feb. 27	Approval - cl. 213(3)(b) of the LTCA	6458	#28	July 9
First Associates Investments Inc.				Flaherty & Crumrine Incorporated			
MRRS Decision	5798	#25	June 18	Order - s. 80 of the CFA	4922	#21	May 21
Exemption - s. 4.1 of OSC Rule 31-502	6116	#26	June 25	Flaherty & Crumrine Investment Grade Preferred Fund			
First Chicago Investment Corporation				MRRS Decision	7081	#33	Aug. 13
MRRS Decision	1585	#06	Feb. 6	Flatiron Capital Management Partners			
First Clearing, LLC				New Registration	2671	#10	Mar. 5
New Registration	4269	#17	Apr. 23	Florence, Nathanael Paul			
Order - s. 211 of Reg. 1015	4934	#21	May 21	Reasons for Decision	7583	#36	Sept. 3
First Federal Capital (Canada) Corporation				FMR Co. Inc.			
News Release	1536	#06	Feb. 6	Order - ss. 78(1) of the CFA	4917	#21	May 21
News Release	1537	#06	Feb. 6	Ford Credit Canada Limited			
Reasons for Decision	1603	#06	Feb. 6	MRRS Decision	5488	#23	June 4
News Release	7079	#33	Aug. 13	MRRS Decision	7110	#33	Aug. 13
Reasons for Decision	7125	#33	Aug. 13	Ford Motor Credit Company			
First Financial Services				MRRS Decision	5488	#23	June 4
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News Release	7411	#35	Aug. 27	FOREX Market, Make Sure You Can Handle the Risk, If You're Playing the			
First Leaside Securities Inc.				News Release	4166	#17	Apr. 23
New Registration	2671	#10	Mar. 5	Formula Growth Limited/Formula Growth, Societe Limitee			
First Montauk Securities Corp.				New Registration	1735	#06	Feb. 6
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	16	#01	Jan. 2	Forte Oil Corporation			
First Nations Equity Incorporated				MRRS Decision	7554	#36	Sept. 3
New Registration	3381	#13	Mar. 26	Fortier, Louis Yves			
First Republic Securities Corporation				Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Name Change	4269	#17	Apr. 23	News Release	4885	#21	May 21
First Technology Acquisitions Canada Inc.				Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
MRRS Decision	5953	#26	June 25	News Release	5440	#23	June 4
First Technology plc				Order - para. 127(1)2	5503	#23	June 4
MRRS Decision	5953	#26	June 25				

Fortis Investment Management USA, Inc.									
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	9985	#51	Dec. 17						
Fortress Capital Corp.									
New Registration	9939	#50	Dec. 10						
New Registration	10133	#51	Dec. 17						
Fowler Enterprises Limited									
Ruling - ss. 74(1)	4474	#19	May 7						
Fox-Pitt, Kelton Incorporated									
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	2335	#09	Feb. 27						
Franchise Bancorp Inc.									
Cease Trading Orders	1895	#07	Feb. 13						
Cease Trading Orders	2077	#08	Feb. 20						
Frank Russell Canada Limited									
MRRS Decision	2692	#11	Mar. 12						
Franklin Templeton Investment Corp.									
MRRS Decision	398	#02	Jan. 9						
Franklin Templeton Investments Corp.									
MRRS Decision	2952	#12	Mar. 19						
Fraser Mackenzie Limited									
Name Change	4269	#17	Apr. 23						
Fraser Papers Inc.									
MRRS Decision	7265	#34	Aug. 20						
Freedman, Stephen Zeff									
Reasons for Decision	7445	#35	Aug. 27						
Friedberg Mercantile Group Ltd.									
Order - ss. 113, 117(2) and 121(2)	6323	#28	July 9						
Friesner, Monte Morris									
News Release	1536	#06	Feb. 6						
News Release	1537	#06	Feb. 6						
Reasons for Decision	1603	#06	Feb. 6						
News Release	7079	#33	Aug. 13						
Reasons for Decision	7125	#33	Aug. 13						
Front Street Gold Performance Fund									
MRRS Decision	4174	#17	Apr. 23						
Fruchet Asset Management Inc.									
Change in Category	9055	#45	Nov. 5						
Frydrych, Norman									
Notice of Hearing - ss. 127 and 127.1	4159	#17	Apr. 23						
News Release	4168	#17	Apr. 23						
News Release	5129	#22	May 28						
Order - s. 127	5147	#22	May 28						
News Release	6838	#31	July 30						
FuelCell Energy, Ltd.									
MRRS Decision	9832	#50	Dec. 10						
Funtime Hospitality Corp.									
Order - s. 144	7121	#33	Aug. 13						
Cease Trading Orders	7127	#33	Aug. 13						
Future Beach Corporation									
Cease Trading Orders	2545	#10	Mar. 5						
Cease Trading Orders	2769	#11	Mar. 12						
Cease Trading Orders	2965	#12	Mar. 19						
FX Capital Ltd.									
New Registration	5383	#22	May 28						
Galileo Equity Management Inc.									
Change in Category	8643	#42	Oct. 15						
Gary W. Cox Ltd.									
Suspension of Registration	8799	#43	Oct. 22						
Gasnier, Michel Roger									
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21						
News Release	4885	#21	May 21						
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21						
News Release	5440	#23	June 4						
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Gauntlet Energy Corporation									
MRRS Decision	4178	#17	Apr. 23						
Gelfand, Benjamin									
SRO Notices and Disciplinary Proceedings	327	#01	Jan. 2						
SRO Notices and Disciplinary Proceedings	329	#01	Jan. 2						
General Motors Acceptance Corporation									
MRRS Decision	4335	#18	Apr. 30						
General Motors Acceptance Corporation of Canada, Limited									
MRRS Decision	4335	#18	Apr. 30						
Genesys Conferencing Ltd.									
Order - s. 13.1 of NI 51-102 and s. 4.5 of MI 52-109	7276	#34	Aug. 20						
Genevest Inc.									
Ruling - s. 9.1 of OSC Rule 61-501	5820	#25	June 18						
Genoray Advanced Technologies Ltd.									
Cease Trading Orders	3225	#13	Mar. 26						
Cease Trading Orders	3437	#14	Apr. 2						
Cease Trading Orders	3769	#15	Apr. 9						
Genuity Capital Markets/Marches de Capitaux Genuity									
New Registration	9273	#46	Nov. 12						

Gerdau Ameristeel Corporation				GMP PRIVATE CLIENT LTD./GESTION PRIVEE GMP LTEE			
Order - ss. 80(b)(iii) and 88(2), s. 15.1 of NI 44-101, s. 5.1 of OSC Rule 51-501 and s. 6.1 of OSC Rule 13-502	415	#02	Jan. 9	New Registration	8939	#44	Oct. 29
Giamatteo, John Joseph				GMP Securities Ltd.			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Name Change	6719	#30	July 23
News Release	4885	#21	May 21	GMP Securities Ltd./Valeurs Mobilières GMP Ltée			
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Name Change	6719	#30	July 23
News Release	5440	#23	June 4	Gold, Ashley			
Order - para. 127(1)2	5503	#23	June 4	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Gibson, David Fraser				News Release	4885	#21	May 21
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
News Release	4885	#21	May 21	News Release	5440	#23	June 4
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Order - para. 127(1)2	5503	#23	June 4
News Release	5440	#23	June 4	Gold Canyon Resources Inc.			
Order - para. 127(1)2	5503	#23	June 4	Order - ss. 83.1(1), ss. 9.1(1) of NI 43-101 and ss. 6.1 of OSC Rule 13-502	7579	#36	Sept. 3
Gibson, Ken				Gold, Peter Eric			
News Release	7548	#36	Sept. 3	New Registration	1491	#05	Jan. 30
Gigliotti, Thomas Andrew				Golden Capital Securities Limited			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Exemption - s. 4.1 of OSC Rule 31-502	3903	#15	Apr. 9
News Release	4885	#21	May 21	Golden Star Resources Ltd.			
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	MRRS Decision	5644	#24	June 11
News Release	5440	#23	June 4	Goldman Sachs Asset Management, L.P.			
Order - para. 127(1)2	5503	#23	June 4	Change in Category	7879	#37	Sept. 10
Giverny Capital Inc.				Goldpark China Limited			
New Registration	7879	#37	Sept. 10	Consent - ss. 4(b) of Reg. 289	5607	#23	June 4
Glenmount, LLC				Goldstake Explorations Inc.			
Suspension of Registration	9055	#45	Nov. 5	Cease Trading Orders	5155	#22	May 28
Glickenhau & Co.				Cease Trading Orders	5511	#23	June 4
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1352	#05	Jan. 30	Cease Trading Orders	5973	#26	June 25
Global Asset Management (Canada) Co.				Cease Trading Orders	6140	#27	July 2
Exemption - s. 6.1 of OSC Rule 13-502	4619	#19	May 7	Gollogly, Michael Jerard			
Global Trader 24/7 Canada Inc.				Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Name Change	5383	#22	May 28	News Release	4885	#21	May 21
Glusken Sheff + Associates Inc.				Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
MRRS Decision	5962	#26	June 25	News Release	5440	#23	June 4
Order - ss. 113, 117(2) and 121(2)	6492	#29	July 16	Order - para. 127(1)2	5503	#23	June 4
GMAC Commercial Mortgage Securities of Canada, Inc./GMAC titres hypothécaires commerciaux du Canada				Goodman & Company, Dealer Services Inc.			
MRRS Decision	5499	#23	June 4	Name Change	5603	#23	June 4
GMAC Commercial Mortgage Securities of Canada, Inc./GMAC titres hypothécaires commerciaux du Canada				Goodman & Company, Investment Counsel Ltd.			
MRRS Decision	5499	#23	June 4	Change of Name	1119	#03	Jan. 16
GMAC Commercial Mortgage Securities of Canada, Inc./GMAC titres hypothécaires commerciaux du Canada				Amalgamation	5029	#21	May 21
MRRS Decision	5499	#23	June 4	Approval - cl. 213(3)(b) of the LTCA	7397	#34	Aug. 20

Goodman & Company, Investment Counsel Ltd./Goodman & Company, Conseil en Placements Ltee					GrowthWorks WV Management Ltd.				
Amalgamation	5029	#21	May 21		MRRS Decision	6640	#30	July 23	
Gordon, Harold					GrowthWorks WV Opportunity Fund Inc.				
Notice of Hearing - s. 127	5113	#22	May 28		Order - s. 144	422	#02	Jan. 9	
News Release	5129	#22	May 28		GRS Securities Inc./Valeurs Mobilieres GRS Inc.				
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28		Name Change	1735	#06	Feb. 6	
Gotlieb, Allan E.					Guardian Capital Advisors Inc.				
Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21		MRRS Decision	6841	#31	July 30	
Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21		Guardian Capital Inc.				
News Release	4885	#21	May 21		MRRS Decision	6841	#31	July 30	
Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21		Guardian Capital LP				
Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21		New Registration	7191	#33	Aug. 13	
Gouveia, Patrick					Guardian Group of Funds Ltd.				
News Release	5634	#24	June 11		MRRS Decision	2952	#12	Mar. 19	
News Release	6479	#29	July 16		Guest-Tek Interactive Entertainment Ltd.				
News Release	6480	#29	July 16		Cease Trading Orders	7697	#37	Sept. 10	
News Release	9615	#49	Dec. 3		Cease Trading Orders	7959	#38	Sept. 17	
Grand Oakes Resources Corp.					Cease Trading Orders	8101	#39	Sept. 24	
Cease Trading Orders	1617	#06	Feb. 6		Cease Trading Orders	8233	#40	Oct. 1	
Great Lakes Carbon Income Fund					Guggenheim Capital Markets, LLC				
Ruling - s. 74 and ss. 83.1(1)	3212	#13	Mar. 26		Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	4349	#18	Apr. 30	
Great Plains Exploration Inc.					Guinor Gold Corporation				
MRRS Decision	7943	#38	Sept. 17		Order - cl. 104(2)(c) and ss. 74(1)	3429	#14	Apr. 2	
Great-West Life Assurance Company, The					Gurion, Alex				
MRRS Decision	5479	#23	June 4		SRO Notices and Disciplinary Proceedings	6417	#28	July 9	
Great-West Life Capital Trust					GUSAP Partners				
MRRS Decision	5479	#23	June 4		Order - ss. 80(b)(iii) and 88(2), s. 15.1 of NI 44-101, s. 5.1 of OSC Rule 51-501 and s. 6.1 of OSC Rule 13-502	415	#02	Jan. 9	
Great-West Lifeco Inc.					Guyana Goldfields Inc.				
MRRS Decision	5479	#23	June 4		Release from Escrow	8139	#39	Sept. 24	
Greco, Donald					Halanen, Colin				
News Release	6955	#32	Aug. 6		Notice of Hearing	6949	#32	Aug. 6	
Reasons for Decision	6975	#32	Aug. 6		News Release	6955	#32	Aug. 6	
Greenspire Linden Asset Management Ltd.					News Release	7409	#35	Aug. 27	
New Registration	8939	#44	Oct. 29		Order - s. 127	7428	#35	Aug. 27	
Griffiths, Anthony					Hamilton, Douglas Alexander				
Notice of Hearing - s. 127	5113	#22	May 28		Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	
News Release	5129	#22	May 28		News Release	4885	#21	May 21	
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28		Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	
Griswold Company Incorporated, The					News Release	5440	#23	June 4	
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	3149	#13	Mar. 26		Order - para. 127(1)2	5503	#23	June 4	

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Hancock Natural Resource Group, Inc.				Haywood Securities Inc.			
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Cease Trading Orders	5973	#26	June 25				
Cease Trading Orders	6139	#27	July 2	Healthtrac, Inc.			
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Notice	8962	#45	Nov. 5	Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21
News Release	9615	#49	Dec. 3	Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21
Harris Alternatives LLC				News Release	5130	#22	May 28
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	9312	#47	Nov. 19	Order - para. 127(1)2 and ss. 127(5)	5152	#22	May 28
Harris Partners Limited				News Release	5439	#23	June 4
Ruling - ss. 74(1)	9639	#49	Dec. 3	Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4
Harris Partners (USA) Limited				News Release	5634	#24	June 11
Ruling - ss. 74(1)	9639	#49	Dec. 3	Order - para. 127(1)2	5664	#24	June 11
HART™				Heathbridge Capital Management Ltd.			
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Hartford Investments Canada Corp.				Hedley Technologies Inc.			
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Harvest Operations Corp.				Cease Trading Orders	5511	#23	June 4
MRRS Decision	6296	#28	July 9	Cease Trading Orders	5679	#24	June 11
Hawkins, Warren				Order - s. 144	7953	#38	Sept. 17
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News Release	726	#03	Jan. 16	Order - para. 127(1)2	5503	#23	June 4
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Hersey, Michael

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News Release	5439	#23	June 4
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Hershaw & Associates Investment Counsel Inc.

New Registration	6415	#28	July 9
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Higginbotham, Ernest Ryan

Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
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News Release	5440	#23	June 4
Order - para. 127(1)2	5503	#23	June 4

Him Money, Inc.

New Registration	4269	#17	Apr. 23
Change in Category	6415	#28	July 9

Hitchcock, Albert Roger

Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
News Release	4885	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
News Release	5440	#23	June 4
Order - para. 127(1)2	5503	#23	June 4

HKC Securities, Inc.

Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1346	#05	Jan. 30
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HNR Ventures Inc.

Cease Trading Orders	53	#01	Jan. 2
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Cease Trading Orders	2545	#10	Mar. 5

Ho, Betty

News Release	3	#01	Jan. 2
News Release	1134	#04	Jan. 23
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Ho, Kwok Yuen

News Release	3	#01	Jan. 2
News Release	1134	#04	Jan. 23
Order - Rule 2 of the OSC Rules of Practice	1194	#04	Jan. 23
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H.O. Financial Services Inc.

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Change of Name	6943	#31	July 30

Hoadley, John Philip

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News Release	5440	#23	June 4
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Hockey Company Holdings Inc. (The)

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Hollinger Canadian Newspapers (2003) Co.

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Hollinger Canadian Newspapers G.P. Inc.

News Release	5130	#22	May 28
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Hollinger Canadian Newspapers, Limited Partnership

News Release	5130	#22	May 28
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Cease Trading Orders	5680	#24	June 11
Cease Trading Orders	5823	#25	June 18
Cease Trading Orders	5973	#26	June 25
Cease Trading Orders	6139	#27	July 2
Cease Trading Orders	6331	#28	July 9
Cease Trading Orders	6497	#29	July 16
Cease Trading Orders	6649	#30	July 23
Cease Trading Orders	6869	#31	July 30
Cease Trading Orders	7127	#33	Aug. 13
Cease Trading Orders	7281	#34	Aug. 20
Cease Trading Orders	7449	#35	Aug. 27
Cease Trading Orders	7587	#36	Sept. 3
Cease Trading Orders	7697	#37	Sept. 10
Cease Trading Orders	7959	#38	Sept. 17
Cease Trading Orders	8101	#39	Sept. 24
Cease Trading Orders	8233	#40	Oct. 1
Cease Tradings Orders	8411	#41	Oct. 8
Cease Trading Orders	8545	#42	Oct. 15
Cease Trading Orders	8707	#43	Oct. 22
Cease Trading Orders	8823	#44	Oct. 29
Cease Trading Orders	8981	#45	Nov. 5
Cease Trading Orders	9179	#46	Nov. 12
Cease Trading Orders	9331	#47	Nov. 19
Cease Trading Orders	9461	#48	Nov. 26
Cease Trading Orders	9649	#49	Dec. 3
Cease Trading Orders	9845	#50	Dec. 10
Cease Trading Orders	10015	#51	Dec. 17

Hollinger Canadian Publishing Holdings Co.

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Order - para. 127(1)2 and ss. 127(5)	5152	#22	May 28

Hollinger Inc.

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Cease Trading Orders	4953	#21	May 21	Cease Trading Orders	8233	#40	Oct. 1
Cease Trading Orders	5156	#22	May 28	Cease Trading Orders	8411	#41	Oct. 8
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Cease Trading Orders	5512	#23	June 4	Cease Trading Orders	8707	#43	Oct. 22
Cease Trading Orders	5680	#24	June 11	Cease Trading Orders	8823	#44	Oct. 29
Cease Trading Orders	5823	#25	June 18	Cease Trading Orders	8981	#45	Nov. 5
Cease Trading Orders	5973	#26	June 25	Cease Trading Orders	9179	#46	Nov. 12
Cease Trading Orders	6139	#27	July 2	Cease Trading Orders	9331	#47	Nov. 19
Cease Trading Orders	6331	#28	July 9	Cease Trading Orders	9461	#48	Nov. 26
Cease Trading Orders	6497	#29	July 16	Cease Trading Orders	9649	#49	Dec. 3
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Cease Trading Orders	7697	#37	Sept. 10	Order - para. 127(1)2	5503	#23	June 4
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Cease Trading Orders	8233	#40	Oct. 1	Approval - cl. 213(3)(b) of the LTCA	10138	#51	Dec. 17
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Cease Trading Orders	8545	#42	Oct. 15	Horner, Robert			
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Cease Trading Orders	8823	#44	Oct. 29	SRO Notices and Disciplinary Proceedings	2673	#10	Mar. 5
Cease Trading Orders	8981	#45	Nov. 5				
Cease Trading Orders	9179	#46	Nov. 12	Household Finance Corporation			
Cease Trading Orders	9331	#47	Nov. 19	MRRS Decision	7267	#34	Aug. 20
Cease Trading Orders	9461	#48	Nov. 26				
Cease Trading Orders	9649	#49	Dec. 3	Household Financial Corporation Limited			
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Cease Trading Orders	4953	#21	May 21	Cease Trading Orders	4953	#21	May 21
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News Release	5440	#23	June 4	News Release	5440	#23	June 4
Cease Trading Orders	5512	#23	June 4	Cease Trading Orders	5512	#23	June 4
Cease Trading Orders	5680	#24	June 11	Cease Trading Orders	5680	#24	June 11
Cease Trading Orders	5823	#25	June 18	Cease Trading Orders	5823	#25	June 18
Cease Trading Orders	5973	#26	June 25	Cease Trading Orders	5973	#26	June 25
Cease Trading Orders	6139	#27	July 2	Cease Trading Orders	6139	#27	July 2
Cease Trading Orders	6331	#28	July 9	Cease Trading Orders	6331	#28	July 9
Cease Trading Orders	6497	#29	July 16	Cease Trading Orders	6497	#29	July 16
Cease Trading Orders	6649	#30	July 23	Cease Trading Orders	6649	#30	July 23
Cease Trading Orders	6869	#31	July 30	Cease Trading Orders	6869	#31	July 30
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Cease Trading Orders	7127	#33	Aug. 13	Cease Trading Orders	7127	#33	Aug. 13
Cease Trading Orders	7281	#34	Aug. 20	Cease Trading Orders	7281	#34	Aug. 20
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				H&R Block Canada Financial Services, Inc.			
				New Registration	1491	#05	Jan. 30
				HR Strategies Inc.			
				Approval - cl. 213(3)(b) of the LTCA	9413	#47	Nov. 19
				Hrushewsky Investment Advisors Inc.			
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				HSBC Asset Management (Canada) Limited			
				MRRS Decision	4181	#17	Apr. 23
				HSBC Bank Canada			
				MRRS Decision	4910	#21	May 21
				HSBC Canada Asset Trust			
				MRRS Decision	4910	#21	May 21

HSBC Capital Canada Fund (IV) Limited Partnership				Hydromet Environmental Recovery Ltd.			
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HSBC InvestDirect Inc.				Cease Trading Orders	5511	#23	June 4
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HSBC Investment Funds (Canada) Inc.				SRO Notices and Disciplinary Proceedings	3125	#12	Mar. 19
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Hub International Limited				SRO Notices and Disciplinary Proceedings	6229	#27	July 2
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Huckerby, Ernest				Notice	725	#03	Jan. 16
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Hudson, David Victor				Notice	7078	#33	Aug. 13
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Notice	6124	#27	July 2	SRO Notices and Disciplinary Proceedings	3739	#14	Apr. 2
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IDA By-law No. 7, Regarding Partners, Directors and Officers				Notice	9162	#46	Nov. 12
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IDA - Definition of "Acceptable Securities Locations" - Transfer Agents, General Notes and Definitions to Form 1				Notice	9163	#46	Nov. 12
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Notice	7402	#35	Aug. 27						
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IDA Regulation 1900					MRRS Decision	7261	#34	Aug. 20	
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MRRS Decision	6483	#29	July 16	Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1351	#05	Jan. 30
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ING Investment Management Inc.				Investor Alert: Investors Beware of Certain Stock Promotion Practices			
MRRS Decision	2952	#12	Mar. 19	News Release	5635	#24	June 11
Inglis, Esther				Investor Education Fund News Release - Investor Education Fund Announces Winners of "Top of the Class" Contest			
SRO Notices and Disciplinary Proceedings	8047	#38	Sept. 17	News Release	5879	#25	June 18
Ingram, Robert Alexander				Investor Education Fund News Release - Investor Education Fund Launches "New and Improved" Mutual Fund Fee Impact Calculator on www.investorED.ca			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	News Release	1861	#07	Feb. 13
News Release	4885	#21	May 21	Investors Group Corporate Class Inc.			
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	MRRS Decision	1548	#06	Feb. 6
News Release	5440	#23	June 4	Investors Group Inc.			
Order - para. 127(1)2	5503	#23	June 4	MRRS Decision	4659	#20	May 14
Inmet Mining Corporation				Investors Group Trust Co. Ltd.			
MRRS Decision	5957	#26	June 25	MRRS Decision	1548	#06	Feb. 6
Innova Lifesciences Corporation				IOSCO Executive Committee, OSC Elected to			
MRRS Decision	9989	#51	Dec. 17	News Release	5130	#22	May 28
Insight Investment Management (Global) Limited				IOSCO Press Release - IOSCO Moves to Strengthen International Capital Markets Against Financial Fraud			
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	3151	#13	Mar. 26	News Release	1853	#07	Feb. 13
Integra Capital Limited				IPC Financial Network Inc.			
MRRS Decision	398	#02	Jan. 9	MRRS Decision	3924	#16	Apr. 16
MRRS Decision	6967	#32	Aug. 6	Iridian Asset Management LLC			
MRRS Decision	7929	#38	Sept. 17	Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1559	#06	Feb. 6
Integral Wealth Financial Limited				Irizawa, Carl			
New Registration	6091	#26	June 25	SRO Notices and Disciplinary Proceedings	10135	#51	Dec. 17
Integral Wealth Securities Limited							
Name Change	3737	#14	Apr. 2				
Integrated Managed Futures Corp.							
New Registration	1119	#03	Jan. 16				
Intelpro Media Group Inc.							
Cease Trading Orders	3225	#13	Mar. 26				
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Cease Trading Orders	3769	#15	Apr. 9				

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Ivanhoe Energy Inc.				News Release	5440	#23	June 4
MRRS Decision	2707	#11	Mar. 12	Order - para. 127(1)2	5503	#23	June 4
IXIS Securities North America Inc.				John Deere Capital Corporation			
Change of Name	9273	#46	Nov. 12	MRRS Decision	5809	#25	June 18
Jacob, Ellis				John Deere Credit Inc.			
Notice of Hearing - s. 127	5113	#22	May 28	MRRS Decision	5809	#25	June 18
News Release	5129	#22	May 28	John W. Henry & Company, Inc.			
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Jarislowky, Fraser Limited				News Release	4885	#21	May 21
Change of Category	1491	#05	Jan. 30	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
Jazwood Ltd.				News Release	5440	#23	June 4
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J.C. Clark Inc.				Joint AMF/OSC Press Release - Exemptions Granted to Montréal and Toronto Stock Exchanges			
Approval - cl. 213(3)(b) of the LTCA	6771	#30	July 23	News Release	4169	#17	Apr. 23
J.C. Clark Ltd.				Jones, Stephen Glenn			
Order - ss. 113 and 117(2) of Reg. 1015	2072	#08	Feb. 20	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
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JC Clark Ltd.				Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
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Jemekk Capital Management Inc.				Order - para. 127(1)2	5503	#23	June 4
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Jenah, Susan Wolburgh				Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	2336	#09	Feb. 27
News Release	2686	#11	Mar. 12	Jovian Capital Corporation			
Jerome P. Greene & Associates LLC				Order	2530	#10	Mar. 5
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JGA Investment Counsel Ltd.				Name Change	4811	#20	May 14
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J Giordano Securities LLC				Julius Baer Investment Management Inc.			
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Joannou, Dion Constandino				Name Change	5603	#23	June 4
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Kiedrowski, Andreas Felix											
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KMS Power Income Fund				Order - para. 127(1)2 and ss. 127(5)	5152	#22	May 28	
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				Order - para. 127(1)2	5503	#23	June 4
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				MRRS Decision	6485	#29	July 16
Lead Dog Capital Inc.							
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				New Registration	4811	#20	May 14
Learnco International Inc.							
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Learning Library Inc., The				News Release	4885	#21	May 21
Order - s. 144	4938	#21	May 21	Order - para. 127(1)2			
				and ss. 127(5)	4935	#21	May 21
Lee Munder Investments Ltd.				News Release	5440	#23	June 4
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Legg Mason Canada Inc.				Lo, Kai Yuen Edmond			
MRRS Decision	7934	#38	Sept. 17	Notice of Hearing - ss. 127(1)			
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				News Release	4885	#21	May 21
Legg Mason Canadian Income Fund				Order - para. 127(1)2			
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Lehman Brothers Alternate Investment Management LLC				13-502	337	#01	Jan. 2
MRRS Decision	7678	#37	Sept. 10				
				Lockhart, Lewis Karl			
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				Proceedings	6759	#30	July 23
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Loomis, Sayles & Company, L.P.				Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1563	#06	Feb. 6
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Lorian Group				Cease Trading Orders	5155	#22	May 28
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Lotsoff Capital Management				New Registration	7879	#37	Sept. 10
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Louisiana State Board of Registration for Professional Engineers and Land Surveyors				MRRS Decision	4638	#20	May 14
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News Release	4885	#21	May 21	Mackenzie 2004 Resource Limited Partnership			
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News Release	5440	#23	June 4	Mackenzie Financial Corporation			
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Lowe, Tonya Lee				MRRS Decision	2952	#12	Mar. 19
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				News Release	5440	#23	June 4
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MacMillan, Michael				Mapleridge Management Inc.			
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News Release	5129	#22	May 28	Exemption - s. 6.1 of OSC Rule 13-502	5397	#22	May 28
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				Marathon Asset Management LLP			
Maida, Joan				New Registration	9273	#46	Nov. 12
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News Release	4885	#21	May 21	Marchand, Xavier			
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Monster Copper Corporation										
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Morgan Stanley DW Inc.										
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NAV ExchangeCo Ltd.

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News Release	6479	#29	July 16							
News Release	6480	#29	July 16							
News Release	9615	#49	Dec. 3							
Persona Inc.										
MRRS Decision	7259	#34	Aug. 20							
Peter Cundill & Associates (Bermuda) Ltd.										
New Registration	9273	#46	Nov. 12							
Peters, Andrew										
News Release	5634	#24	June 11							
News Release	6479	#29	July 16							
News Release	6480	#29	July 16							
Petro-Canada										
MRRS Decision	1587	#06	Feb. 6							
Petrofund Energy Trust										
MRRS Decision	2511	#10	Mar. 5							
PetroKazakhstan Inc.										
MRRS Decision	4901	#21	May 21							
PFSL Investments Canada Ltd.										
MRRS Decision	398	#02	Jan. 9							
Phelan, Mr. Paul D.										
Order - cl. 88(2)(b) and s. 113 of the OBCA	2532	#10	Mar. 5							
Philip Services Corp.										
Notice from the Office of the Secretary	9977	#51	Dec. 17							
Reasons for Decisions	10003	#51	Dec. 17							
Phillips, Gerald Douglas										
SRO Notices and Disciplinary Proceedings	2301	#08	Feb. 20							
SRO Notices and Disciplinary Proceedings	2674	#10	Mar. 5							
Phillips, Hager & North Investment Management Limited Partnership										
New Registration	3899	#15	Apr. 9							
Phillips, Hager & North Investment Management Ltd.										
MRRS Decision	398	#02	Jan. 9							
MRRS Decision	2952	#12	Mar. 19							
Phoenix Technology Services Inc.										
MRRS Decision	7554	#36	Sept. 3							
Picton Mahoney Asset Management										
New Registration	9411	#47	Nov. 19							
Pierrepoint Trading Inc.										
News Release	726	#03	Jan. 16							
News Release	1135	#04	Jan. 23							
News Release	3144	#13	Mar. 26							
Reasons for Decision	3215	#13	Mar. 26							
News Release	5789	#25	June 18							
Pierson, Alexander John Briens										
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21							
News Release	4885	#21	May 21							
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21							
News Release	5440	#23	June 4							
Order - para. 127(1)2	5503	#23	June 4							
Pimco Advisors Managed Accounts LLC										
New Registration	7879	#37	Sept. 10							
Pinetree Capital Corp.										
Ruling - s. 9.1 of OSC Rule 61-501	5820	#25	June 18							
Pinnacle Associates Ltd.										
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	375	#02	Jan. 9							
Piper Jaffray & Co.										
Change of Name	1119	#03	Jan. 16							
Pivotal Corporation										
MRRS Decision	1358	#05	Jan. 30							
Placer Dome Inc.										
MRRS Decision	8534	#42	Oct. 15							
PL Internet Inc.										
Consent - ss. 4(b) of Reg. 289	4286	#17	Apr. 23							
PlusFunds Group Inc.										
Order - s. 80 of the CFA	1886	#07	Feb. 13							
Points International Ltd.										
Consent - cl. 4(b) of Reg. 289	7537	#35	Aug. 27							
Polar Hedge Enhanced Income Trust										
MRRS Decision	3422	#14	Apr. 2							

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Pollitt & Co.					ProEx Energy Ltd.			
News Release	9300	#47	Nov. 19		MRRS Decision	6290	#28	July 9
					Order - ss. 83.1(1)	6328	#28	July 9
Pollitt & Co. Inc.					Profit Booking Blue Chip Trust			
Notice of Hearing - s. 127	7543	#36	Sept. 3		MRRS Decision	8358	#41	Oct. 8
News Release	7548	#36	Sept. 3					
News Release	9421	#48	Nov. 26		Progress Acquisition Corp.			
Order - ss. 127 and 127.1	9441	#48	Nov. 26		MRRS Decision	6290	#28	July 9
Reasons for Decision	9643	#49	Dec. 3					
Pollitt, Murray Hoult					Progress Energy Ltd.			
Notice of Hearing - s. 127	7543	#36	Sept. 3		MRRS Decision	6290	#28	July 9
News Release	7548	#36	Sept. 3					
News Release	9300	#47	Nov. 19		Progress Energy Trust			
News Release	9421	#48	Nov. 26		MRRS Decision	6290	#28	July 9
Order - ss. 127 and 127.1	9441	#48	Nov. 26					
Reasons for Decision	9643	#49	Dec. 3		Pro-Hedge Funds Inc.			
					New Registration	1491	#05	Jan. 30
Porter, Anna					Proprietary Industries Inc.			
News Release	5439	#23	June 4		Order - s. 144	4662	#20	May 14
Order - para. 127(1) and ss. 127(5)	5502	#23	June 4					
Portfolio Risk Optimization Services Inc.					ProspEx Resources Ltd.			
New Registration	5383	#22	May 28		MRRS Decision	8383	#41	Oct. 8
Portus Alternative Asset Management Inc.					Pro-Vest Growth & Income Fund			
Name Change	6227	#27	July 2		Ruling - ss. 74(1) of Reg. 1015	2740	#11	Mar. 12
Power Corporation of Canada					Provident Investment Counsel, Inc.			
MRRS Decision	9626	#49	Dec. 3		MRRS Decision	1159	#04	Jan. 23
Power Financial Corporation					Proxima Capital Management Limited			
MRRS Decision	9626	#49	Dec. 3		Category Change	4811	#20	May 14
PowerOne Capital Markets Limited					Prudential Asset Management Company, Inc., The			
New Registration	687	#02	Jan. 9		Name Change	5927	#25	June 18
Pramerica Asset Management, Inc.					Prudential Equity Group, Inc.			
Change of Name	8643	#42	Oct. 15		Name Change	2029	#07	Feb. 13
Preston, Tony Keith					Prudential Equity Group, LLC.			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21		Name Change	2029	#07	Feb. 13
News Release	4885	#21	May 21		Pugh, Gareth Alan David			
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21		Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
News Release	5440	#23	June 4		News Release	4885	#21	May 21
Order - para. 127(1)2	5503	#23	June 4		Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
					News Release	5440	#23	June 4
Pricoa Asset Management, Inc.					Order - para. 127(1)2	5503	#23	June 4
Name Change	5927	#25	June 18		Purolator Holdings Ltd.			
Change of Name	8643	#42	Oct. 15		MRRS Decision	4347	#18	Apr. 30
PRIDE TRUST					Pursuit Financial Services Corp.			
MRRS Decision	9425	#48	Nov. 26		Category Change	4269	#17	Apr. 23
Private Capital Management, L.P.					Pusey, Stephen Charles			
New Registration	2671	#10	Mar. 5		Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	2716	#11	Mar. 12		News Release	4885	#21	May 21

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Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	New Registration	3123	#12	Mar. 19
News Release	5440	#23	June 4	Rabo Securities USA, Inc.			
Order - para. 127(1)2	5503	#23	June 4	Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1163	#04	Jan. 23
Putnam Investments Inc.				New Registration	2029	#07	Feb. 13
MRRS Decision	398	#02	Jan. 9	Racad Technologies Ltd.			
Pyrford International PLC				Cease Trading Orders	7697	#37	Sept. 10
MRRS Decision	1160	#04	Jan. 23	Radler, F. David			
Pzena Investment Management, LLC				Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21
New Registration	6415	#28	July 9	Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21
QLT Inc.				News Release	4885	#21	May 21
MRRS Decision	7106	#33	Aug. 13	Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21
Quadra Resources Corp.				Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21
Order - ss. 4.1(1) of MI 45-102	2352	#09	Feb. 27	News Release	5130	#22	May 28
Quadravest Capital Management Inc.				Order - para. 127(1)2 and ss. 127(5)	5152	#22	May 28
MRRS Decision	6304	#28	July 9	News Release	5439	#23	June 4
Quadrex Asset Management Inc.				Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4
New Registration	1735	#06	Feb. 6	News Release	5634	#24	June 11
Quadrus Investment Services Ltd.				Order - para. 127(1)2	5664	#24	June 11
Change in Category	9587	#48	Nov. 26	Rae, Alan			
Quarterly Summary of OSC Bulletin Publications				News Release	3	#01	Jan. 2
Notice	1753	#07	Feb. 13	News Release	1134	#04	Jan. 23
Notice	5055	#22	May 28	Order - Rule 2 of the OSC Rules of Practice	1194	#04	Jan. 23
Notice	6779	#31	July 30	Reasons for Decision	6859	#31	July 30
Quay Capital Management Limited				Rahim, Eden			
Order - s. 80 of the CFA	2348	#09	Feb. 27	Notice of Hearing - ss. 127 and 127.1	5629	#24	June 11
Quest Securities Corporation				News Release	5638	#24	June 11
New Registration	7191	#33	Aug. 13	News Release	5790	#25	June 18
Quinn, Gordon William				Rally Energy Corp.			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Exemption - s. 13.1 of NI 51-102	5039	#21	May 21
News Release	4885	#21	May 21	Ramdhani, Chateram			
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Notices of Hearing - ss. 127 and 127.1	9294	#47	Nov. 19
News Release	5440	#23	June 4	News Release	9299	#47	Nov. 19
Order - para. 127(1)2	5503	#23	June 4	Ranchgate Energy Inc.			
Quorum Expansion Capital Fund Inc.				MRRS Decision	8214	#40	Oct. 1
MRRS Decision	1881	#07	Feb. 13	Rankin, Andrew			
Qwest Energy 2004 Flow-Through Limited Partnership				News Release	1851	#07	Feb. 13
MRRS Decision	9430	#48	Nov. 26	News Release	2933	#12	Mar. 19
R Split II Corp.				News Release	3751	#15	Apr. 9
MRRS Decision	4889	#21	May 21	Rash, Howard			
MRRS Decision	7097	#33	Aug. 13	News Release	5636	#24	June 11
Rabo Securities N.V.							
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	2717	#11	Mar. 12				

Raspberry Investments Corp.											
New Registration	7521	#35	Aug. 27								
Ravelston Corporation Limited, The											
Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21								
Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21								
News Release	4885	#21	May 21								
Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21								
Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21								
News Release	5439	#23	June 4								
Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4								
News Release	5634	#24	June 11								
Order - para. 127(1)2	5664	#24	June 11								
RBC Capital Trust											
Order - s. 6.1 of OSC Rule 13-502	2732	#11	Mar. 12								
MRRS Decision	5141	#22	May 28								
RBC Capital Trust II											
Order - s. 6.1 of OSC Rule 13-502	2734	#11	Mar. 12								
MRRS Decision	5497	#23	June 4								
RBC Dominion Securities Inc.											
MRRS Decision	3152	#13	Mar. 26								
MRRS Decision	4343	#18	Apr. 30								
MRRS Decision	9824	#50	Dec. 10								
SRO Notices and Disciplinary Proceedings	9942	#50	Dec. 10								
RBC Funds Inc.											
MRRS Decision	398	#02	Jan. 9								
RCM Capital Management LLC											
Name Change	4811	#20	May 14								
Rea, Jeffrey Leonard											
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21								
News Release	4885	#21	May 21								
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21								
News Release	5440	#23	June 4								
Order - para. 127(1)2	5503	#23	June 4								
Re-Con Building Products Inc.											
MRRS Decision	6490	#29	July 16								
Red Back Mining Inc.											
Order - s. 144	8694	#43	Oct. 22								
Ruling - s. 9.1 of OSC Rule 61-501	8704	#43	Oct. 22								
Red Barn Capital Inc.											
Change in Category	9411	#47	Nov. 19								
Reebok Acquisition Inc.											
MRRS Decision	5485	#23	June 4								
Reebok International Ltd.											
MRRS Decision	5485	#23	June 4								
Registration Advisory Committee, The											
Notice	2321	#09	Feb. 27								
Regulators Confirm Importance of STP to Canada's Capital Markets and Propose Mandating Same-Day Matching of Institutional Trades											
News Release	4170	#17	Apr. 23								
Regulators Propose Corporate Governance Rules for Issuers											
News Release	726	#03	Jan. 16								
Reiter, Barry											
Notice of Hearing - s. 127	5113	#22	May 28								
News Release	5129	#22	May 28								
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28								
Republic of France											
MRRS Decision	5805	#25	June 18								
Residential Equities Real Estate Investment Trust											
MRRS Decision	6642	#30	July 23								
Revised Notice of Commission Approval for Amendments to National Instrument 44-101 - Short Form Prospectus Distributions											
Notice	9169	#46	Nov. 12								
RFG Management Limited											
New Registration	2487	#09	Feb. 27								
RG Properties Ltd.											
MRS Decision	1574	#06	Feb. 6								
Rhodes, Patrick Alan											
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21								
News Release	4885	#21	May 21								
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21								
News Release	5440	#23	June 4								
Order - para. 127(1)2	5503	#23	June 4								
Rhonda Corporation											
Cease Trading Orders	5679	#24	June 11								
Cease Trading Orders	5823	#25	June 18								
Order - s. 144	7578	#36	Sept. 3								
Cease Trading Orders	7587	#36	Sept. 3								
Richardson, Clent											
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21								
News Release	4885	#21	May 21								
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21								
News Release	5440	#23	June 4								
Order - para. 127(1)2	5503	#23	June 4								

Richardson Partners Financial Limited				Rochdale Securities Corporation			
MRRS Decision	1883	#07	Feb. 13	Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1153	#04	Jan. 23
Richter Wealth Management Inc.				Rock Energy Inc.			
Name Change	5769	#24	June 11	MRRS Decision	6296	#28	July 9
Richtree Inc.				Rockwater Asset Management Inc.			
Cease Trading Orders	53	#01	Jan. 2	MRRS Decision	5798	#25	June 18
Cease Trading Orders	431	#02	Jan. 9				
Cease Trading Orders	743	#03	Jan. 16				
Cease Trading Orders	1197	#04	Jan. 23				
Cease Trading Orders	1381	#05	Jan. 30	Roebing Capital Management LLC			
Cease Trading Orders	1617	#06	Feb. 6	Order - s. 80 of the CFA	2736	#11	Mar. 12
Cease Trading Orders	1895	#07	Feb. 13				
Cease Trading Orders	2077	#08	Feb. 20				
Cease Trading Orders	2359	#09	Feb. 27				
Cease Trading Orders	2545	#10	Mar. 5	Rohmer, Richard			
Riley, Edward				Notice of Hearing - ss. 127(1) and 127(5)			
Notice of Hearing - s. 127	5113	#22	May 28		4879	#21	May 21
News Release	5129	#22	May 28	Notice of Hearing - ss. 127(1) and 127(5)			
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28		4882	#21	May 21
					4885	#21	May 21
				News Release			
Riley, Ronald T.				Order - para. 127(1)2 and ss. 127(5)			
News Release	5439	#23	June 4		4940	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4	Order - para. 127(1)2 and ss. 127(5)			
					4942	#21	May 21
				News Release			
					5130	#22	May 28
				Order - para. 127(1)2 and ss. 127(5)			
					5152	#22	May 28
				News Release			
					5439	#23	June 4
				Order - para. 127(1)2 and ss. 127(5)			
					5502	#23	June 4
				News Release			
					5634	#24	June 11
				Order - para. 127(1)2			
	5664	#24	June 11				
Ritchie Bros. Auctioneers Incorporated				Rolls-Royce Group plc			
MRRS Decision	3173	#13	Mar. 26	MRRS Decision	4651	#20	May 14
Rizzuto, Robert Louis				Rolls-Royce plc			
Notice of Hearing - Amended Statement of Allegations	5115	#22	May 28	MRRS Decision	4651	#20	May 14
Notice of Hearing - ss. 127 and 127.1	5127	#22	May 28				
Notice of Hearing - s. 127	6264	#28	July 9				
News Release	6265	#28	July 9				
Order - ss. 127(1) and 127.1	8696	#43	Oct. 22	Rosman, Leonard			
Notices of Hearing - s. 127	9298	#47	Nov. 19	Notice of Hearing - s. 127	5113	#22	May 28
News Release	9299	#47	Nov. 19	News Release	5129	#22	May 28
Order- ss. 127(1)	9446	#48	Nov. 26	Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28
RMI USA LLC				Ross, John			
Order - s. 83	6647	#30	July 23	Notice of Hearing - s. 127	5113	#22	May 28
RNC Gold Inc.				News Release			
MRRS Decision	3169	#13	Mar. 26		5129	#22	May 28
				Order - para. 127(1)2 and ss. 127(5)			
					5148	#22	May 28
Robeco Institutional Asset Management B.V.				Ross, Sherrie L.			
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	2330	#09	Feb. 27	Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21
Robeco USA, L.L.C.				Notice of Hearing - ss. 127(1) and 127(5)			
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	2521	#10	Mar. 5		4882	#21	May 21
				News Release			
					4885	#21	May 21
				Order - para. 127(1)2 and ss. 127(5)			
					4940	#21	May 21
				Order - para. 127(1)2 and ss. 127(5)			
					4942	#21	May 21
Robert W. Baird & Co.				News Release			
Change in Category	9587	#48	Nov. 26		5130	#22	May 28
				Order - para. 127(1)2			

and ss. 127(5)	5152	#22	May 28		
News Release	5439	#23	June 4		
Order - para. 127(1)2					
and ss. 127(5)	5502	#23	June 4		
News Release	5634	#24	June 11		
Order - para. 127(1)2	5664	#24	June 11		
Royal Alexandra Theatrical Ventures Inc.					
New Registration	4811	#20	May 14		
Royal Bank of Canada					
Order - s. 6.1 of OSC Rule 13-502	2732	#11	Mar. 12		
Order - s. 6.1 of OSC Rule 13-502	2734	#11	Mar. 12		
MRRS Decision	5141	#22	May 28		
MRRS Decision	5497	#23	June 4		
Royal Group Technologies Ltd.					
News Release	2933	#12	Mar. 19		
Royal Securities Corp.					
Approval - cl. 213(3)(b) of the LTCA	6115	#26	June 25		
Change in Category	7053	#32	Aug. 6		
RoyNat Canadian Diversified Fund Inc.					
MRRS Decision	1165	#04	Jan. 23		
R.R. Donnelley & Sons Company					
MRRS Decision	6	#01	Jan. 2		
RS Amendment to the Universal Market Integrity Rules					
SRO Notices and Disciplinary Proceedings	4137	#16	Apr. 16		
SRO Notices and Disciplinary Proceedings	4272	#17	Apr. 23		
SRO Notices and Disciplinary Proceedings	4445	#18	Apr. 30		
RS Amendment to the Universal Market Integrity Rules 7.4, 10.3 and 10.7					
Notice	1333	#05	Jan. 30		
SRO Notices and Disciplinary Proceedings	1521	#05	Jan. 30		
RS Amendment to Universal Market Integrity Rules Definition of "Regulated Person"					
Notice	1527	#06	Feb. 6		
SRO Notices and Disciplinary Proceedings	1742	#06	Feb. 6		
RS Amendment to Universal Market Integrity Rules Policy 10.8, Section 9.7, Public Access to Hearings					
Notice	1527	#06	Feb. 6		
SRO Notices and Disciplinary Proceedings	1737	#06	Feb. 6		
RS Disciplinary Notice - UBS Securities Canada					
SRO Notices and Disciplinary Proceedings	8645	#42	Oct. 15		
RS Market Integrity Notice – Notice of Commission Approval – Order Entry during a Regulatory Halt					
Notice	7403	#35	Aug. 27		
SRO Notices and disciplinary Proceedings	7524	#35	Aug. 27		
RS Market Integrity Notice – Notice of Commission Approval – Provisions Respecting Short Sales					
Notice	7403	#35	Aug. 27		
SRO Notices and Disciplinary Proceedings	7528	#35	Aug. 27		
RS Market Integrity Notice – Request for Comments – Impeding or Obstructing a Market Regulator					
SRO Notices and Disciplinary Proceedings	7193	#33	Aug. 13		
RS Market Integrity Notice – Request for Comments – Provisions Respecting Manipulative and Deceptive Activities					
SRO Notices and Disciplinary Proceedings	7201	#33	Aug. 13		
RS Market Integrity Notice – Request for Comments – Provisions Respecting "Off-Marketplace" Trades					
SRO Notices and Disciplinary Proceedings	7355	#34	Aug. 20		
RS Market Integrity Notice – Solicitation of Interest to Serve on the Rules Advisory Committee and the Hearing Committee					
SRO Notices and Disciplinary Proceedings	5034	#21	May 21		
RS Market Integrity Notice – Request for Comments – Strategic Review of the Universal Market Integrity Rules					
SRO Notices and Disciplinary Proceedings	8503	#41	Oct. 8		
RS Request for Comments - Amendments to the Rules and Policies Related to Manipulative and Deceptive Activities					
SRO Notices and Disciplinary Proceedings	1493	#05	Jan. 30		
RSM Richter Wealth Management Inc./Gestion du Patrimoine RSMRichter Inc.					
Name Change	5769	#24	June 11		
RTICA Corporation					
Cease Trading Orders	53	#01	Jan. 2		
Cease Trading Orders	8823	#44	Oct. 29		
Cease Trading Orders	8981	#45	Nov. 5		
Cease Trading Orders	9845	#50	Dec. 10		
Rubicon Energy Corporation					
MRRS Decision	5964	#26	June 25		
RWS Capital Services Inc.					
New Registration	4811	#20	May 14		

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Ryan, Beck & Co., Inc.				Name Change	3899	#15	Apr. 9
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1557	#06	Feb. 6				
Santander Investment Securities Inc.				Name Change	3899	#15	Apr. 9
Sabia, Maureen J.				Santa's Village Limited			
Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21	Order - ss. 80(b)(iii) and 144, s. 13.1 of NI 51-102 and s. 4.5 of MI 52-109	5817	#25	June 18
Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21				
News Release	4885	#21	May 21	Saratoga Capital Corp.			
Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21	Cease Trading Orders	5155	#22	May 28
Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21	Cease Trading Orders	5511	#23	June 4
				Cease Trading Orders	5679	#24	June 11
				Cease Trading Orders	5823	#25	June 18
Safarikas, Al				Satori Capital Partners Inc.			
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	New Registration	1307	#04	Jan. 23
News Release	4885	#21	May 21	Name Change	2029	#07	Feb. 13
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Saturn (Solutions) Inc.			
News Release	5440	#23	June 4	Cease Trading Orders	53	#01	Jan. 2
Order - para. 127(1)2	5503	#23	June 4	Cease Trading Orders	431	#02	Jan. 9
				Cease Trading Orders	431	#02	Jan. 9
Saffell Jr., Charles Raymond				Cease Trading Orders	743	#03	Jan. 16
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	Cease Trading Orders	1197	#04	Jan. 23
News Release	4885	#21	May 21	Saucier, Guylaine			
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
News Release	5440	#23	June 4	News Release	4885	#21	May 21
Order - para. 127(1)2	5503	#23	June 4	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
				News Release	5440	#23	June 4
Samila, Tatiana				Order - para. 127(1)2	5503	#23	June 4
Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21	Savage, Graham			
Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21	Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21
News Release	4885	#21	May 21	Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21	News Release	4885	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21	Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21
News Release	5130	#22	May 28	Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	5152	#22	May 28	News Release	5439	#23	June 4
News Release	5439	#23	June 4	Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4
Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4	News Release	5634	#24	June 11
News Release	5634	#24	June 11	Order - para. 127(1)2	5664	#24	June 11
Order - para. 127(1)2	5664	#24	June 11	Saxon Mutual Funds Limited			
Sandstone Asset Management Inc.				Surrender of Registration	8643	#42	Oct. 15
New Registration	5927	#25	June 18	Sceptre Investment Counsel Limited			
Sanford C. Bernstein Limited				MRRS Decision	398	#02	Jan. 9
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	7677	#37	Sept. 10	MRRS Decision	2952	#12	Mar. 19
Santander Central Hispano Investment Securities Inc.				Scheer, Rowlett & Associates Investment Management Ltd.			
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	369	#02	Jan. 9	Order	1890	#07	Feb. 13
				Order- s. 147	2354	#09	Feb. 27

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MRRS Decision	6846	#31	July 30	Segall Bryant & Hamill				
Schilling, Steven Leo				Decision - ss. 6.1(1) of MI 31-102 and				
Notice of Hearing - ss. 127(1)				s. 6.1 of OSC Rule 13-502	25	#01	Jan. 2	
and 127(5)	4874	#21	May 21	Seitel, Inc.				
News Release	4885	#21	May 21	Order - s. 83	8820	#44	Oct. 29	
Order - para. 127(1)2				Seitz, Raymond G.H.				
and ss. 127(5)	4935	#21	May 21	Notice of Hearing - ss. 127(1)				
News Release	5440	#23	June 4	and 127(5)	4879	#21	May 21	
Order - para. 127(1)2	5503	#23	June 4	Notice of Hearing - ss. 127(1)				
Schneider Electric S.A.				and 127(5)	4882	#21	May 21	
MRRS Decision	5646	#24	June 11	News Release	4885	#21	May 21	
Schooner Trust				Order - para. 127(1)2				
MRRS Decision	5482	#23	June 4	and ss. 127(5)	4940	#21	May 21	
Schroder Ventures North America Inc.				Order - para. 127(1)2				
Name Change	5383	#22	May 28	and ss. 127(5)	4942	#21	May 21	
Schwab Capital Markets L.P.				News Release	5439	#23	June 4	
New Registration	4811	#20	May 14	Order - para. 127(1)2				
SciVest Canadian Holdings Inc.				and ss. 127(5)	5502	#23	June 4	
Surrender of Registration	4613	#19	May 7	News Release	5634	#24	June 11	
Scotiabank Capital Trust				Order - para. 127(1)2	5664	#24	June 11	
Order - OSC Rule 13-502	9634	#49	Dec. 3	Selective Asset Management Inc.				
Scotia Capital Inc.				New Registration	3899	#15	Apr. 9	
MRRS Decision	2952	#12	Mar. 19	Senecal Investment Counsel Inc.				
MRRS Decision	4889	#21	May 21	Name Change	4811	#20	May 14	
MRRS Decision	6307	#28	July 9	Sentry Select Capital Corp.				
MRRS Decision	7092	#33	Aug. 13	MRRS Decision	2687	#11	Mar. 12	
MRRS Decision	9824	#50	Dec. 10	Approval - cl. 213(3)(b) of the				
Scotia Capital (USA) Inc.				LTCA	9157	#45	Nov. 5	
Order - s. 218 of Reg. 1015	9838	#50	Dec. 10	Sequoia Minerals Inc.				
Scotia Mortgage Investment Corporation				MRRS Decision	347	#02	Jan. 9	
MRRS Decision	5949	#26	June 25	Seventh Energy Ltd.				
Scotia Securities Inc.				MRRS Decision	4349	#18	Apr. 30	
MRRS Decision	398	#02	Jan. 9	SG Asset Management (Singapore) Ltd.				
MRRS Decision	2952	#12	Mar. 19	Decision - ss. 6.1(1) of MI 31-102				
Scotiabank Capital Trust				and s. 6.1 of OSC Rule 13-502	17	#01	Jan. 2	
MRRS Decision	5478	#23	June 4	SG Cowen & Co., LLC				
SD Baker & Associates Inc.				Name Change	6091	#26	June 25	
New Registration	4269	#17	Apr. 23	SG Cowen Securities Corporation				
Change in Category	7353	#34	Aug. 20	Name Change	6091	#26	June 25	
Secretary to the Commission, The				SG Yamaichi Asset Management Co., Ltd.				
Order - ss. 3.5(3) and 7(3)	4471	#19	May 7	Decision - ss. 6.1(1) of MI 31-102				
Secure Computing Corporation				and s. 6.1 of OSC Rule 13-502	1565	#06	Feb. 6	
Order - s. 83	8406	#41	Oct. 8	Change of Name	9801	#49	Dec. 3	
Secured Capital LLC				Shakespeare, Barry Keith				
Decision - ss. 6.1(1) of MI 31-102				Notice of Hearing - ss. 127(1)				
and s. 6.1 of OSC Rule 13-502	3753	#15	Apr. 9	and 127(5)	4874	#21	May 21	
				News Release	4885	#21	May 21	
				Order - para. 127(1)2				
				and ss. 127(5)	4935	#21	May 21	

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News Release	5440	#23	June 4	Skylon Growth & Income Trust			
Order - para. 127(1)2	5503	#23	June 4	MRRS Decision	3755	#15	Apr. 9
Shanahan, Sean				Slam Exploration Ltd.			
SRO Notices and Disciplinary Proceedings	7918	#37	Sept. 10	Order - ss. 83.1(1)	9317	#47	Nov. 19
Shell Canada Limited				Slater Steel Inc.			
MRRS Decision	729	#03	Jan. 16	MRRS Decision	5649	#24	June 11
Sherbrooke Street Capital (SSC) / Capital Sherbrooke (SSC) Inc.				Cease Trading Orders	8981	#45	Nov. 5
New Registration	8329	#40	Oct. 1	Cease Trading Orders	9179	#46	Nov. 12
Sherry, James				Slattery, Stephen Francis			
Notice of Hearing - s. 127	5113	#22	May 28	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
News Release	5129	#22	May 28	News Release	4885	#21	May 21
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
Shorcan Index Limited				News Release	5440	#23	June 4
Name Change	5383	#22	May 28	Order - para. 127(1)2	5503	#23	June 4
Sionna Investment Managers Inc.				Sledge, Karen Elizabeth			
Order - s. 147	9994	#51	Dec. 17	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
Exemption - s. 147 of the Act and s. 6.1 of OSC Rule 31-502	10138	#51	Dec. 17	News Release	4885	#21	May 21
Sicotte, Luc Paul				Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	News Release	5440	#23	June 4
News Release	4885	#21	May 21	Order - para. 127(1)2	5503	#23	June 4
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	Sleeman Breweries Ltd.			
News Release	5440	#23	June 4	MRRS Decision	5447	#23	June 4
Order - para. 127(1)2	5503	#23	June 4	MRRS Decision	5450	#23	June 4
Silent Witness Enterprises Ltd.				Sloan, David			
Decision - s. 83	2710	#11	Mar. 12	Order - ss. 127(1) and 127.1	8222	#40	Oct. 1
Silver Oak Capital Corp.				SMC Ventures Inc.			
New Registration	9587	#48	Nov. 26	Cease Trading Orders	5679	#24	June 11
Simberi Gold Corporation				Cease Trading Orders	5823	#25	June 18
Consent - cl. 4(b) of Reg. 289	5931	#25	June 18	Smith, Robert T.			
Simex Inc.				Notice of Hearing - ss. 127(1) and 127(5)	4879	#21	May 21
Order - ss. 83 and 144	9173	#46	Nov. 12	Notice of Hearing - ss. 127(1) and 127(5)	4882	#21	May 21
Simpson, David				News Release	4885	#21	May 21
Notice of Hearing - Amended Statement of Allegations	7405	#35	Aug. 27	Order - para. 127(1)2 and ss. 127(5)	4940	#21	May 21
News Release	7411	#35	Aug. 27	Order - para. 127(1)2 and ss. 127(5)	4942	#21	May 21
Sky Investment Counsel Inc.				News Release	5439	#23	June 4
New Registration	7521	#35	Aug. 27	Order - para. 127(1)2 and ss. 127(5)	5502	#23	June 4
Skylon Advisors Inc.				News Release	5634	#24	June 11
Amalgamation	5769	#24	June 11	Order - para. 127(1)2	5664	#24	June 11
Skylon Funds Management Ltd.				Smith, Sherry Lee			
MRRS Decision	1355	#05	Jan. 30	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21
				News Release	4885	#21	May 21
				Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21

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News Release	5440	#23	June 4						
Order - para. 127(1)2	5503	#23	June 4						
Smith Jr., Sherwood Hubbard									
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21						
News Release	4885	#21	May 21						
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21						
News Release	5440	#23	June 4						
Order - para. 127(1)2	5503	#23	June 4						
Smithfield Canada Limited									
MRRS Decision	5963	#26	June 25						
Order - ss. 1(6) of the OBCA	5967	#26	June 25						
SMK Speedy International Inc.									
MRRS Decision	727	#03	Jan. 16						
SNC-Lavalin Group Inc.									
MRRS Decision	5651	#24	June 11						
Snecma									
MRRS Decision	5805	#25	June 18						
Snow Leopard Resources Inc.									
Cease Trading Orders	8707	#43	Oct. 22						
Cease Trading Orders	8823	#44	Oct. 29						
Cease Trading Orders	8981	#45	Nov. 5						
SNP Health Split Corp.									
Ruling- ss. 74(1)	4948	#21	May 21						
Sobey, Donald									
Notice of Hearing - s. 127	5113	#22	May 28						
News Release	5129	#22	May 28						
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28						
Soci�t� Air France									
MRRS Decision	4656	#20	May 14						
Soci�t� Generale Asset Management (Japan) Co., Ltd.									
Change of Name	9801	#49	Dec. 3						
Sone, Marshall									
News Release	6838	#31	July 30						
Sora Group Wealth Advisers Inc.									
Name Change	5029	#21	May 21						
Soundvest Capital Management Ltd.									
Change of Category	687	#02	Jan. 9						
Approval - cl. 213(3)(b) of the LTCA	702	#02	Jan. 9						
SoundView Technology Corporation									
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	378	#02	Jan. 9						
Southern, Barry John									
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21						
News Release	4885	#21	May 21						
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21						
News Release	5440	#23	June 4						
Order - para. 127(1)2	5503	#23	June 4						
Southern Cross Resources Inc.									
Consent - cl. 4(b) of Reg. 289	6457	#28	July 9						
Sovereign Limited Partnership									
Order - s. 144	7122	#33	Aug. 13						
Speech by David Brown - A Cooperative Approach to Fighting Economic Crime									
Notice	5406	#23	June 4						
Speech by David Brown - Combating Cross-Border Securities Crimes: Building a Culture of Global Cooperation									
Notice	8071	#39	Sept. 24						
SPP Capital Partners, LLC									
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1340	#05	Jan. 30						
Spradley, Susan Louise									
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21						
News Release	4885	#21	May 21						
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21						
News Release	5440	#23	June 4						
Order - para. 127(1)2	5503	#23	June 4						
Sprott Asset Management Inc.									
MRRS Decision	2067	#08	Feb. 20						
Order - s. 144	9315	#47	Nov. 19						
Sproule, Donald Ernest									
Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21						
News Release	4885	#21	May 21						
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21						
News Release	5440	#23	June 4						
Order - para. 127(1)2	5503	#23	June 4						
Sprucegrove Investment Management Ltd.									
Order - s. 6.1 of OSC Rule 13-502	5966	#26	June 25						
Stampco Holdings Inc.									
Notice of Hearing - s. 127	5113	#22	May 28						
News Release	5129	#22	May 28						
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28						
Standard Life Mutual Funds Ltd.									
MRRS Decision	2952	#12	Mar. 19						

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Stanley Works, The				Order - para. 127(1)2			
MRRS Decision	2693	#11	Mar. 12	and ss. 127(5)	4935	#21	May 21
				News Release	5440	#23	June 4
				Order - para. 127(1)2	5503	#23	June 4
Stark, Ryan Michael				Stevenson, Mark			
Notice of Hearing - ss. 127(1)				Notice of Hearing - ss. 127(1)			
and 127(5)	4874	#21	May 21	and 127(5)	4879	#21	May 21
News Release	4885	#21	May 21	Notice of Hearing - ss. 127(1)			
Order - para. 127(1)2				and 127(5)	4882	#21	May 21
and ss. 127(5)	4935	#21	May 21	News Release	4885	#21	May 21
News Release	5440	#23	June 4	Order - para. 127(1)2			
Order - para. 127(1)2	5503	#23	June 4	and ss. 127(5)	4940	#21	May 21
Star Navigation Systems Group Ltd.				Order - para. 127(1)2			
Cease Trading Orders	9461	#48	Nov. 26	and ss. 127(5)	4942	#21	May 21
Cease Trading Orders	9649	#49	Dec. 3	News Release	5130	#22	May 28
Cease Trading Orders	9845	#50	Dec. 10	Order - para. 127(1)2			
Cease Trading Orders	10015	#51	Dec. 17	and ss. 127(5)	5152	#22	May 28
Starboard Capital Markets LLC				News Release	5439	#23	June 4
Decision - ss. 6.1 of MI 31-102				Order - para. 127(1)2			
and s. 6.1 of OSC Rule 13-502	9992	#51	Dec. 17	and ss. 127(5)	5502	#23	June 4
Starpoint Energy Ltd.				News Release	5634	#24	June 11
MRRS Decision	9829	#50	Dec. 10	Order - para. 127(1)2	5664	#24	June 11
STaRS Income Fund				Stevenson, Thomas			
MRRS Decision	4184	#17	Apr. 23	News Release	6838	#31	July 30
State of Colorado Board of Registration for Professional Engineers and Professional Land Surveyors				Stoddard, Alan Grant			
MRRS Decision	1188	#04	Jan. 23	Notice of Hearing - ss. 127(1)			
State Street Research & Management Company				and 127(5)	4874	#21	May 21
Decision - ss. 6.1(1) of MI 31-102				News Release	4885	#21	May 21
and s. 6.1 of OSC Rule 13-502	374	#02	Jan. 9	Order - para. 127(1)2			
Steele, Harry				and ss. 127(5)	4935	#21	May 21
News Release	5130	#22	May 28	News Release	5440	#23	June 4
Order - para. 127(1)2				Order - para. 127(1)2	5503	#23	June 4
and ss. 127(5)	5152	#22	May 28	Stone 2004 Flow-Through Limited Partnership			
Sterling Capital Management LLC				MRRS Decision	6963	#32	Aug. 6
Decision - ss. 6.1(1) of MI 31-102				Stone, David			
and s. 6.1 of OSC Rule 13-502	1342	#05	Jan. 30	News Release	3	#01	Jan. 2
Sterling Centrecorp Inc.				News Release	1134	#04	Jan. 23
MRRS Decision	4175	#17	Apr. 23	Order - Rule 2 of the OSC Rules			
Stevens, Mark William				of Practice	1194	#04	Jan. 23
Notice of Hearing - ss. 127(1)				Reasons for Decision	6859	#31	July 30
and 127(5)	4874	#21	May 21	Stonecroft+Partners Inc.			
News Release	4885	#21	May 21	New Registration	4613	#19	May 7
Order - para. 127(1)2				Storm Energy Ltd.			
and ss. 127(5)	4935	#21	May 21	MRRS Decision	6296	#28	July 9
News Release	5440	#23	June 4	Stornoway Portfolio Management Inc.			
Order - para. 127(1)2	5503	#23	June 4	New Registration	7879	#37	Sept. 10
Stevenson, Katherine Berghuis				Stout, Allen Keith			
Notice of Hearing - ss. 127(1)				Notice of Hearing - ss. 127(1)			
and 127(5)	4874	#21	May 21	and 127(5)	4874	#21	May 21
News Release	4885	#21	May 21	News Release	4885	#21	May 21
Stevenson, Katherin Berghuis				Order - para. 127(1)2			
Notice of Hearing - ss. 127(1)				and ss. 127(5)	4935	#21	May 21
and 127(5)	4874	#21	May 21	News Release	5440	#23	June 4
News Release	4885	#21	May 21				

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Order - para. 127(1)2	5503	#23	June 4	Swanson, Roxann Lee				
				Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	
Straight Forward Marketing Corporation				News Release	4885	#21	May 21	
Cease Trading Orders	9461	#48	Nov. 26	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	
Cease Trading Orders	9649	#49	Dec. 3	News Release	5440	#23	June 4	
Cease Trading Orders	9845	#50	Dec. 10	Order - para. 127(1)2	5503	#23	June 4	
Cease Trading Orders	10015	#51	Dec. 17					
Strata Income Fund				Synnex Canada Acquisition Limited				
MRRS Decision	7940	#38	Sept. 17	Decision - s. 9.1 of OSC Rule 61-501	8371	#41	Oct. 8	
Strother, Sarah				MRRS Decision	8375	#41	Oct. 8	
News Release	5130	#22	May 28	Synnex Canada Limited				
Order - para. 127(1)2 and ss. 127(5)	5152	#22	May 28	Decision - s. 9.1 of OSC Rule 61-501	8371	#41	Oct. 8	
Structured Capital Inc.				MRRS Decision	8375	#41	Oct. 8	
Change in Category	9411	#47	Nov. 19	SynX Pharma Inc.				
Succession Strategies Inc.				MRRS Decision	3417	#14	Apr. 2	
New Registration	7353	#34	Aug. 20	Ruling - ss. 74(1)	4368	#18	Apr. 30	
Suite101.com, Inc.				MRRS Decision	5135	#22	May 28	
MRRS Decision	354	#02	Jan. 9	Tactic Series Funds Inc.				
Sulatycky, Annemarie				New Registration	2029	#07	Feb. 13	
Notice of Hearing - s. 127	5113	#22	May 28	Tailwind Capital Inc.				
News Release	5129	#22	May 28	New Registration	3381	#13	Mar. 26	
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28	Talisman Energy Inc.				
Summerhill Capital Management Inc.				MRRS Decision	36	#01	Jan. 2	
New Registration	3123	#12	Mar. 19	Talon International Inc.				
Sun Life Assurance Company of Canada				Ruling - ss. 74(1)	5670	#24	June 11	
MRRS Decision	4912	#21	May 21	Talvest Fund Management Inc.				
Sun Life Capital Trust				MRRS Decision	398	#02	Jan. 9	
MRRS Decision	4912	#21	May 21	Tanbridge Corporation, The				
Sun Life Financial Inc.				Cease Trading Orders	6983	#32	Aug. 6	
MRRS Decision	4912	#21	May 21	Cease Trading Orders	7127	#33	Aug. 13	
Suncor Energy Inc.				Tanz Asset Management Inc.				
MRRS Decision	734	#03	Jan. 16	New Registration	3737	#14	Apr. 2	
Susquehanna Financial Group, LLLP				Name Change	3899	#15	Apr. 9	
Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	371	#02	Jan. 9	Name Change	5927	#25	June 18	
Sussman, Peter				Tariq, Masood Ahmad				
Notice of Hearing - s. 127	5113	#22	May 28	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	
News Release	5129	#22	May 28	News Release	4885	#21	May 21	
Order - para. 127(1)2 and ss. 127(5)	5148	#22	May 28	Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21	
Sutton Group Financial Services Ltd.				News Release	5440	#23	June 4	
MRRS Decision	4177	#17	Apr. 23	Order - para. 127(1)2	5503	#23	June 4	
SVG North America Inc.				Taylor, Kenneth Robert Wesley				
Name Change	5383	#22	May 28	Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	
				News Release	4885	#21	May 21	
				Order - para. 127(1)2				

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and ss. 127(5)	4935	#21	May 21	Terra Firma Emerging Companies Fund 2004 Inc.			
News Release	5440	#23	June 4	Decision - s. 9.1 of NI 81-105	1360	#05	Jan. 30
Order - para. 127(1)2	5503	#23	June 4	Terra Firma Equity Fund 2004 Inc.			
Taylor, Kevin				Decision - s. 9.1 of NI 81-105	1360	#05	Jan. 30
Notice of Hearing - ss. 127(1)				Terra Firma Income Fund 2004 Inc.			
and 127(5)	4874	#21	May 21	Decision - s. 9.1 of NI 81-105	1360	#05	Jan. 30
News Release	4885	#21	May 21	Terra Industries Inc.			
Order - para. 127(1)2				Cease Trading Orders	9461	#48	Nov. 26
and ss. 127(5)	4935	#21	May 21	Cease Trading Orders	9649	#49	Dec. 3
News Release	5440	#23	June 4	Cease Trading Orders	9845	#50	Dec. 10
Order - para. 127(1)2	5503	#23	June 4	Tetrem Capital Partners Ltd.			
TD Asset Management Inc.				New Registration	5927	#25	June 18
MRRS Decision	1137	#04	Jan. 23	New Registration	6415	#28	July 9
Order - s. 113	1594	#06	Feb. 6	Texas Board of Professional Engineers			
MRRS Decision	2325	#09	Feb. 27	MRRS Decision	1188	#04	Jan. 23
MRRS Decision	5456	#23	June 4	Thales			
MRRS Decision	8809	#44	Oct. 29	MRRS Decision	9629	#49	Dec. 3
TD Capital Trust				The Loyalist Insurance Group Limited			
MRRS Decision	4908	#21	May 21	Cease Trading Orders	10015	#51	Dec. 17
TD Capital Trust II				Theroux, Patrice			
MRRS Decision	5496	#23	June 4	Notice of Hearing - s. 127	5113	#22	May 28
TD Investment Services Inc.				News Release	5129	#22	May 28
MRRS Decision	5456	#23	June 4	Order - para. 127(1)2			
TD Mortgage Investment Corporation				and ss. 127(5)	5148	#22	May 28
MRRS Decision	5951	#26	June 25	Thomas Capital Group, LLC			
TD Securities Inc.				Decision - ss. 6.1(1) of MI 31-102			
MRRS Decision	5456	#23	June 4	and s. 6.1 of OSC Rule 13-502	6843	#31	July 30
MRRS Decision	9824	#50	Dec. 10	New Registration	7053	#32	Aug. 6
TD Securities (USA) Inc.				Thomas Weisel Partners LLC			
MRRS Decision	5456	#23	June 4	Decision - ss. 6.1(1) of MI 31-102			
TD Waterhouse Canada Inc.				and s. 6.1 of OSC Rule 13-502	1348	#05	Jan. 30
MRRS Decision	5456	#23	June 4	Thompson, James R.			
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New Registration	9055	#45	Nov. 5	News Release	4885	#21	May 21
Telefónica, S.A.				Order - para. 127(1)2			
MRRS Decision	4654	#20	May 14	and ss. 127(5)	4940	#21	May 21
Telstra Corporation Limited				Order - para. 127(1)2			
MRRS Decision	8363	#41	Oct. 8	and ss. 127(5)	4942	#21	May 21
TELUS Communications (Québec) Inc.				News Release	5439	#23	June 4
MRRS Decision	6615	#30	July 23	Order - para. 127(1)2			
Tengtú International Corp.				and ss. 127(5)	5502	#23	June 4
Cease Trading Orders	9461	#48	Nov. 26	News Release	5634	#24	June 11
Cease Trading Orders	9649	#49	Dec. 3	Order - para. 127(1)2	5664	#24	June 11
Cease Trading Orders	9845	#50	Dec. 10	Thomson			
Cease Trading Orders	10015	#51	Dec. 17	MRRS Decision	1544	#06	Feb. 6

Thornburg Investment Management Inc. Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	1562	#06	Feb. 6			
Three Sisters Mountain Village Inc. MRRS Decision	9307	#47	Nov. 19			
Tibollo, Michael Anthony Notice of Hearing - s. 127	5123	#22	May 28			
TigerTel Communications Inc. Order - ss. 83.1(1)	9637	#49	Dec. 3			
Titan Exploration Ltd. MRRS Decision	8393	#41	Oct. 8			
TN Capital Equities, Ltd. Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	3148	#13	Mar. 26			
Toronto-Dominion Bank, The MRRS Decision	4908	#21	May 21			
MRRS Decision	5496	#23	June 4			
MRRS Decision	5951	#26	June 25			
Tower Asset Management Inc. New Registration	1491	#05	Jan. 30			
TPM Inc. New Registration	6415	#28	July 9			
TransAlta Corporation MRRS Decision	4905	#21	May 21			
TransAlta Utilities Corporation MRRS Decision	4905	#21	May 21			
Transamerica Investment Management, LLC Decision - ss. 6.1(1) of MI 31-102 and s. 6.1 of OSC Rule 13-502	2719	#11	Mar. 12			
Transpacific Resources Inc. Cease Trading Orders	6983	#32	Aug. 6			
Cease Trading Orders	7127	#33	Aug. 13			
Cease Trading Orders	7281	#34	Aug. 20			
Tremont Capital Management, Corp. Order - ss. 147(1)	8221	#40	Oct. 1			
Exemption - s. 6.1 of Rule 13-502	8341	#40	Oct. 1			
TriQuest Energy Corp. MRRS Decision	4178	#17	Apr. 23			
Trizec Hahn Corporation Consent - cl. 4(b) of O. Reg. 289/00	703	#02	Jan. 9			
TSA MRRS Decision	1544	#06	Feb. 6			
TSI TelSys Corporation Cease Trading Orders	5511	#23	June 4			
Cease Trading Orders	5679	#24	June 11			
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News Release	4885	#21	May 21			
Order - para. 127(1)2 and ss. 127(5)	4935	#21	May 21			
News Release	5440	#23	June 4			
Order - para. 127(1)2	5503	#23	June 4			
TSX Inc. Amendments to the Rules of the TSX: Part 4, Division 6 – Market Makers - Notice of Commission Approval Notice	9419	#48	Nov. 26			
TSX Market Making Reform Notice	6602	#30	July 23			
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TSX Notice - Approval of Amendments to Parts V, VI and VII of the Toronto Stock Exchange Company Manual in respect of Non-Exempt Issuers, Changes in Structure of Issuers' Capital and Delisting Procedures SRO Notices and Disciplinary Proceedings	9057	#45	Nov. 5			
TSX Request for Comments - Amendments to Parts V, VI and VII of the Toronto Stock Exchange Company Manual in Respect of Non-Exempt Issuers, Changes in Structure of Issuers' Capital and Delisting Procedures SRO Notices and Disciplinary Proceedings	249	#01	Jan. 2			
TSX - Request for Comments - Amendments to Toronto Stock Exchange's Policy on Normal Course Issuer Bids and Debt Substantial Issuer Bids (Appendix F of the Toronto Stock Exchange Company Manual) SRO Notices and Disciplinary Proceedings	9134	#45	Nov. 5			
TSX Request for Comment - Corporate Governance Policy – Proposed New Disclosure Requirement SRO Notices and Disciplinary Proceedings	8944	#44	Oct. 29			
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TWC Securities Inc.					News Release	4885	#21	May 21
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Tyhee Development Corp.					and ss. 127(5)	4935	#21	May 21
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UBS Global Asset Management (Canada) Co.					Cease Trading Orders	7959	#38	Sept. 17
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UBS Investment Services Canada Inc.					Notice of Hearing - ss. 127(1)			
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UBS Securities LLC					News Release	4885	#21	May 21
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Unilever Canada Inc.					Order - para. 127(1)2			
MRRS Decision	5463	#23	June 4		and ss. 127(5)	5502	#23	June 4
Unilever United States, Inc.					News Release	5634	#24	June 11
MRRS Decision	5463	#23	June 4		Order - para. 127(1)2	5664	#24	June 11
Upton Resources Inc.					Vandenberg, Robert			
MRRS Decision	2706	#11	Mar. 12		News Release	5636	#24	June 11
Urquhart, Diane					Vault Minerals Inc.			
News Release	2684	#11	Mar. 12		Order - ss. 83.1(1)	44	#01	Jan. 2
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Uruguay Mineral Exploration Inc.					Notice of Hearing - ss. 127(1)			
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U.S. Bancorp Piper Jaffray Inc.					News Release	4885	#21	May 21
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News Release	1536	#06	Feb. 6		Order - s. 80 of the CFA	1371	#05	Jan. 30
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					MRRS Decision	4342	#18	Apr. 30
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Vickery, Paul					News Release	5129	#22	May 28	
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Vision Global Solutions Inc.					Cease Trading Orders	8411	#41	Oct. 8	
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Visionwall Incorporated					Waseco Resources Inc.				
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Vital Retirement Living Inc.					Notice of Hearing - ss. 127(1) and 127(5)	4874	#21	May 21	
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Votas Financial Corp.					Cease Trading Orders	7127	#33	Aug. 13	
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VOXCOM Incorporated					Cease Trading Orders	7449	#35	Aug. 27	
MRRS Decision	5801	#25	June 18		Cease Trading Orders	7587	#36	Sept. 3	
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Watkins, Timothy Ian				WFI Industries Ltd.			
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News Release	4885	#21	May 21				
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				and ss. 127(5)	4935	#21	May 21
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Watson Investment Counsel Ltd.				Wheaton River Minerals Ltd.			
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				MRRS Decision	4461	#19	May 7
Wave Securities Canada Inc.							
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				Order - para. 127(1)2			
Wellington West Financial Services Inc.				and ss. 127(5)	5502	#23	June 4
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Wells Fargo Investments LLC							
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Wenzel Downhole Tools Ltd.				News Release	4885	#21	May 21
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				Whitton, Mark James Christopher			
Weslosky & Cowans Ltd.				Notice of Hearing - ss. 127(1)			
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Westfair Foods Ltd.				Order - para. 127(1)2			
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West Fraser Timber Co. Ltd.				Order - para. 127(1)2	5503	#23	June 4
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				Wildman Capital Management Inc.			
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Wilson, Lynton Ronald				World Wide Minerals Ltd.			
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Windsor Auto Trust				News Release	5440	#23	June 4
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Windsor Trust 2002-A				Reasons for Decision	49	#01	Jan. 2
MRRS Decision	7569	#36	Sept. 3	Xavier, Jerome Stanislaus			
Windsor Trust 2002-B				Notice of Hearing - Amended Statement of Allegations	7405	#35	Aug. 27
MRRS Decision	7572	#36	Sept. 3	News Release	7411	#35	Aug. 27
Windsor Wealth Management Limited				Xerox Canada Finance Inc.			
New Registration	2029	#07	Feb. 13	MRRS Decision	3754	#15	Apr. 9
Winston Acquisition Corp.				Order - ss. 1(6) of the OBCA	3763	#15	Apr. 9
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Wise, Sean Evan, Sole Proprietor				New Registration	2029	#07	Feb. 13
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Wisper Inc.				Notice of Hearing - s. 127	5113	#22	May 28
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Wizard Trading, Inc.				Yaqeen, Abdullah Yama			
Order - s. 80 of the CFA	5149	#22	May 28	Reasons for Decision	5507	#23	June 4
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Wood, Steven Victor							
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News Release	4885	#21	May 21				

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