

B.11

CIRO, Marketplaces, Clearing Agencies and Trade Repositories

B.11.2 Marketplaces

B.11.2.1 Alpha Exchange Inc. – Self-Trade Management – Notice of Approval

ALPHA EXCHANGE INC.
NOTICE OF APPROVAL
SELF-TRADE MANAGEMENT

Introduction

In accordance with the Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits thereto for recognized exchanges, Alpha Exchange Inc. (“**Alpha**”) has adopted, and the Ontario Securities Commission has approved, certain amendments relating to how Self-Trade Management interacts with Self-Trade Prevention on Alpha, as set out in the Notice of Proposed Amendments and Request for Comment (the “**Request for Comments**”) published by Alpha (the “**Amendments**”).

Capitalized terms used and not otherwise defined in the Notice of Approval shall have the meaning ascribed to them in the Request for Comments.

Summary of the Amendments

A copy of the Amendments can be found at www.osc.ca.

Comments Received

On June 6, 2024, Alpha published the Request for Comments and no comment letters were received.

Effective Date

The Amendments will be effective in Q4 2024.