

The Ontario Securities Commission

# OSC Bulletin

## Cumulative Index 2014

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December 30, 2014

The Ontario Securities Commission Administers the  
*Securities Act* of Ontario (R.S.O. 1990, c. S.5) and the  
*Commodity Futures Act* of Ontario (R.S.O. 1990, c. C.20)

**The Ontario Securities Commission**

Cadillac Fairview Tower  
22nd Floor, Box 55  
20 Queen Street West  
Toronto, Ontario  
M5H 3S8

416-593-8314 or Toll Free 1-877-785-1555

Contact Centre - Inquiries, Complaints:

Office of the Secretary:

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416-609-3800 or 1-800-387-5164

Fax: 416-593-8122  
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# Introduction to the OSC Bulletin Cumulative Index

This is a cumulative index of documents published in the OSC Bulletin (Volume 37) for the period January – December 2014, inclusive.

The index includes material found in Chapters 1 (Notices / News Releases), 2 (Decisions, Orders and Rulings), 3 (Reasons: Decisions, Orders and Rulings), 4 (Cease Trading Orders), 5 (Rules and Policies), 6 (Request for Comments), 9 (Legislation), 12 (Registrations), 13 (SROs, Marketplaces and Clearing Agencies) and 25 (Other Information).

Chapters 7 (Insider Reporting), 8 (Notice of Exempt Financings) and 11 (IPOs, New Issues and Secondary Financings) are not indexed.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters are indexed. The tables found in Chapters 4 and 12 are indexed by corporate name only. Where tables appear in Chapter 25, they are indexed by corporate name only.

Index entries are arranged alphabetically. Each index entry includes an action of concern, a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date and page number. Citations are to the *Securities Act*, if not otherwise specified.

For instance, an entry reading:

**Waheed, Jowdat**

Reasons and Decision – s. 127 #36 (Sept 4) 8007

means that the the personal name “Waheed, Jowdat” appeared in the style of cause of Reasons made under s. 127 of the *Securities Act* and published in Issue 36 of the Bulletin, dated September 4, 2014, on page 8007.

## Supplements in 2014

The Supplements published in 2014 were:

- **Proposed OSC Amendments to Form 58-101F1 Corporate Governance Disclosure of National Instrument 58-101 Disclosure of Corporate Governance Practices and Proposed Disclosure Requirements Regarding the Representation of Women on Boards and in Senior Management** – Volume 37, Issue 3, Supplement 1 (January 16, 2014)
- **Proposed Amendments to Accredited Investor and Minimum Amount Investment Prospectus Exemptions** – Volume 37, Issue 9, Supplement 2 (February 27, 2014)
- **Introduction of Proposed Prospectus Exemptions and Proposed Reports of Exempt Distribution in Ontario** – Volume 37, Issue 12, Supplement 3 (March 20, 2014)
- **Modernization of Investment Fund Product Regulation (Phase 2) – Final Amendments** – Volume 37, Issue 25, Supplement 4 (June 19, 2014)
- **Final Amendments to NI 31-103, NI 33-109, NI 52-107, OSC Rule 33-506 and OSC Rule 35-502 and Related Forms** – Volume 37, Issue 42, Supplement 5 (October 16, 2014)

Particular Instruments, Policies and Forms affected appear in the Cumulative Index.

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Notice from the Office of the Secretary .....	#15 (Apr 10) 3619
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Notice from the Office of the Secretary .....	#19 (May 8) 4607
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Notice from the Office of the Secretary .....	#24 (June 12) 5558
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Notice from the Office of the Secretary .....	#28 (July 10) 6479
Order .....	#28 (July 10) 6515
Notice from the Office of the Secretary .....	#33 (Aug 14) 7431
Order .....	#33 (Aug 14) 7486

**Armadillo Energy, LLC**

Notice from the Office of the Secretary .....	#4 (Jan 23) 982
Order .....	#4 (Jan 23) 1008
Notice from the Office of the Secretary .....	#5 (Jan 30) 1201
Order .....	#5 (Jan 30) 1230
Notice from the Office of the Secretary .....	#10 (Mar 6) 2311
Notice of Hearing – ss. 127, 127.1 .....	#13 (Mar 27) 3045
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Notice from the Office of the Secretary .....	#19 (May 8) 4607
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Notice from the Office of the Secretary .....	#24 (June 12) 5558
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**Artio Global Management LLC**

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**Artis Real Estate Investment Trust**

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**Ascend Capital, LLC**

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**Ascendant Securities Inc.**

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**Asfour, Amal Tawfiq**

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**Ashraf, Talat**

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<b>Aston Hill Asset Management Inc.</b>	
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<b>Aston Hill Global Resource &amp; Infrastructure Fund</b>	
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<b>Aston Hill Growth &amp; Income Class</b>	
Decision.....	#37 (Sept 11) 8245
<b>Aston Hill Growth &amp; Income Fund</b>	
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<b>Aston Hill Strategic Yield II Class</b>	
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<b>Astrix Networks Inc.</b>	
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<b>ATB Investment Management Inc.</b>	
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<b>Atikwa Resources Inc.</b>	
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<b>Atkinson, Peter Y.</b>	
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<b>Augusta Resource Corporation</b>	
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<b>AUM Corporate Finance Inc.</b>	
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<b>Aurion Capital Management Inc.</b>	
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<b>Aurora Oil &amp; Gas Limited</b>	
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<b>Aviva Canada Inc.</b>	
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<b>Ayal Capital Advisors Canadian Feeder LP</b>	
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**B&A Fertilizers Limited**

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**Bactech Environmental Corporation**

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**Baillie Gifford Overseas Limited**

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<b>Balazs, Peter</b>	
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<b>Banque Nationale Investissements inc./National Bank Investments Inc.</b>	
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<b>Baring North America LLC</b>	
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<b>Bauer Performance Sports Ltd.</b>	
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<b>BBS Securities Inc.</b>	
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<b>Bear Lake Gold Ltd.</b>	
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<b>Bell Aliant Regional Communications Inc.</b>	
Decision – s. 1(10)(a)(ii).....	#52 (Dec 30) 11464
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<b>Besra Gold Inc.</b>	
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<b>Big 8 Split Inc</b>	
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<b>Bioexx Specialty Proteins Ltd.</b>	
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<b>BKC Capital Inc.</b>	
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Notice from the Office of the Secretary .....	#25 (June 19) 5800
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Order .....	#34 (Aug 21) 7694
Notice from the Office of the Secretary .....	#36 (Sept 4) 7955
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<b>BlackRock Asset Management Canada Limited</b>	
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<b>BlackRock Institutional Trust Company, N.A.</b>	
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<b>Blackwood &amp; Rose Inc.</b>	
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<b>Bloomberg SEF LLC</b>	
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<b>Bluestream International Investments Inc.</b>	
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<b>Blumont Capital Corporation</b>	
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<b>BMO Asset Management Inc.</b>	
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<b>BMO MSCI All Country World High Quality Index ETF</b>	
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<b>BMO MSCI EAFE Index ETF</b>	
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<b>BMO MSCI Europe High Quality Hedged to CAD Index ETF</b>	
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**David, John**

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**Davis-Rea Ltd.**

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**DDS Wireless International Inc.**

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**DeBoer, Douglas**

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**DeFreitas, Stanton**

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<b>DMD Digital Health Connections Group Inc.</b>	
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<b>Dookhie, Ramadhar</b>	
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<b>Elgin Mining Inc.</b>	
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<b>Enbridge Pipelines Inc.</b>	
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<b>Engineering.com Incorporated</b>	
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<b>English, Jeffrey F.</b>	
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<b>Enriched Investing Incorporated</b>	
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<b>Ensign Corporate Communications Inc.</b>	
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 <b>Excel Funds Management Inc.</b>	
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<b>Exemplar Global Infrastructure Fund</b>	
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<b>Exploration Aurtois Inc.</b>	
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<b>FAM Real Estate Investment Trust</b>	
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<b>Family Memorial Inc.</b>	
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<b>FatFace Group plc</b>	
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<b>Feder, Elliot</b>	
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<b>Federated Purchaser, Inc.</b>	
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<b>Ferguson, William McDonald</b>	
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<b>Fidelity American High Yield Capital Yield Fund</b>	
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<b>Fidelity American High Yield Fund</b>	
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<b>Fidelity Investments Canada Limited</b>	
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<b>Fidelity Investments Canada ULC</b>	
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**Global RESP Corporation**

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Order – s. 127(1).....	#25 (June 19) 5841
Notice from the Office of the Secretary .....	#34 (Aug 21) 7663
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**GLV Inc.**

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**Go Capital I, Inc.**

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**Golden Phoenix Minerals, Inc.**

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**Goldman Sachs Execution & Clearing, LP**

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**Gold-Quest International**

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**Goldtrain Resources Inc.**

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**Gollogly, Michael**

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**Gornitzki, Jacob**

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**Gottlieb, Myron I.**

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**Granite Europe Limited Partnership**

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**Granite Real Estate Inc.**

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**Granite Real Estate Investment Trust**

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**Granite REIT Inc.**

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**Green REIT plc**

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**GreensKeeper Asset Management Inc.**

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**Greenstar Agricultural Corporation**

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**Grewal, Ranjit**

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**Greystone Managed Investments Inc.**

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**Griffiths, Mark**

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**Groberman, Herbert**

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**GrowthWorks Enterprises Ltd.**

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**GRS Partners Capital Management Inc.**

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**Gryphon Gold Corporation**

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**GSO Capital Partners LP**

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**Guerrero Exploration Inc.**

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**GWR Global Water Resources Corp.**

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**Hanfeng Evergreen Inc.**

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**Hanna-Rogerson, Amy**

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<b>Hansberger Global Investors, Inc.</b>	
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<b>Harper, Christina</b>	
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<b>Headsail Wealth Management Incorporated</b>	
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<b>Heritage Education Funds Inc.</b>	
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<b>Heritage Oil Corporation</b>	
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<b>Heritage Oil Plc</b>	
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<b>Hesperian Capital Management Ltd.</b>	
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<b>Hewitt, Christine</b>	
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<b>HGC Investment Management Inc.</b>	
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<b>HHT Investments Inc.</b>	
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<b>Hi Ho Silver Resources Inc.</b>	
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<b>Higgins Investment Group Inc.</b>	
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<b>High Desert Gold Corporation</b>	
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<b>Ho, George</b>	
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<b>Hoisington Investment Management Company</b>	
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<b>Hopps, David</b>	
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<b>Horizons Active Yield Matched Duration ETF</b>	
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<b>Horizons ETFs Management (Canada) Inc.</b>	
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<b>Horizons Investment Management Inc.</b>	
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**Horsley, David**

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**HR Strategies Inc.**

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**HSBC Global Asset Management (Canada) Limited**

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**Huang, Mary**

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**Huang, Ning-Sheng Mary**

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**Hub Capital Inc.**

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**Huemiller, Jon**

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**Hung, Alfred C.T.**

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Order .....	#15 (Apr 10) 3640
Notice from the Office of the Secretary .....	#19 (May 8) 4610
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**Huntingdon Capital Corp.**

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**Husky Energy Inc.**

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**I.G. Investment Management, Ltd.**

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**IA Clarington Investments Inc.**

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**IAC – Independent Academies Canada Inc.**

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**Iacono, Santo**

Notice from the Office of the Secretary .....	#16 (Apr 17) 3885
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**IBV Capital Global Value Canadian Feeder LP**

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**IBV Capital Ltd.**

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**ICAP Global Derivatives Limited**

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**ICAP SEF (US) LLC**

Variation to Interim Orders – s. 144.....	#42 (Oct 16) 9401
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<b>ICE Clear Credit LLC</b>	
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<b>IFM (US) Investment Advisor, LLC</b>	
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<b>IFM Investors (US) Advisor, LLC</b>	
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<b>IIROC – Notice of Request for Comments – Republication of Performance Reporting and Fee/Charge Disclosure Amendments to Dealer Member Rule 200 and to Form 1</b>	
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<b>IIROC – Notice of Withdrawal – Amendments Respecting Trading in US OTC Issuers</b>	
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<b>IIROC – Notice of Withdrawal – Proposed Financial Planning Rule</b>	
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<b>IIROC – Notice of Withdrawal – Revisions to the Definition of “Securities Related Activities”</b>	
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<b>IIROC – OSC Staff Notice of Request for Comment – Disclosure to Clients of Dealer Members’ Financial Position – Amendments to Dealer member Rule 1400</b>	
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<b>Implementation of Stage 3 of Point of Sale Disclosure for Mutual Funds – Point of Sale Delivery of Fund Facts – Proposed Amendments to NI 81-101 Mutual Fund Prospectus Disclosure and Companion Policy 81-101CP</b>	
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<b>Independent Planning Group Inc.</b>	
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<b>Industrial Alliance Securities Inc.</b>	
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<b>Industrialex Manufacturing Corp.</b>	
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<b>ING Direct Asset Management Limited</b>	
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<b>ING Direct Funds Limited</b>	
Firm Name Change.....	#16 (Apr 17) 4089
<b>Innovative Composites International Inc.</b>	
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Cease Trading Order.....	#8 (Feb 20) 1831
Cease Trading Order.....	#9 (Feb 27) 2133
<b>Innovative Gifting Inc.</b>	
Notice from the Office of the Secretary.....	#6 (Feb 6) 1380
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<b>Innovente Inc.</b>	
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<b>Inova Resources Limited</b>	
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**Inspektor, Eric**

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<b>Kerwin, Edward P.</b>	
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**Kilgour Williams Capital Incorporated**

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**Kimber Resources Inc.**

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**Kindle Capital Management Inc.**

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**Kingship Capital Corp.**

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<b>Kirkland Precious Metals Corp.</b>	
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<b>Kowal, Monica</b>	
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<b>Kreller, Justin</b>	
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<b>Kyklopes Capital Management Ltd.</b>	
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<b>LDIC North American Energy Infrastructure Fund</b>	
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<b>Leasesmart, Inc.</b>	
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<b>Lee, John</b>	
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<b>Legend Gold Corp.</b>	
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<b>Lenczner, Alan J.</b>	
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<b>Lewin Capital Management Ltd.</b>	
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<b>Liberty Consulting Ltd.</b>	
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<b>Liberty North Capital Corp.</b>	
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<b>Lind Partners Canada, LLC</b>	
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<b>Linkgate Capital Corp.</b>	
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<b>Liquid Gold International Inc.</b>	
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<b>Liquidity Source Inc.</b>	
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<b>Lopez, Marcos A.</b>	
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<b>Lough, Patrick Myles</b>	
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<b>Lushington, Terence</b>	
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<b>MacDougall Investment Counsel Inc. / Les Conseillers en Placements MacDougall Inc.</b>	
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<b>Mackenzie Financial Corporation</b>	
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<b>Majumdar, Sumit</b>	
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<b>Man Canada AHL Alpha Fund</b>	
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<b>Man Investments Canada Corp.</b>	
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<b>Mandell, Jeffrey David</b>	
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<b>Manulife Asset Management Investments Inc. / Investissements Gestion D'Actifs Manuvie Inc.</b>	
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<b>Manulife Asset Management Investments Inc.</b>	
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<b>Manulife Asset Management Limited</b>	
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<b>Manulife Global Tactical Credit Fund</b>	
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<b>Manulife U.S. Tactical Credit Fund</b>	
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<b>Maple Group Acquisition Corporation</b>	
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<b>Maple Rock Capital Partners Inc.</b>	
New Registration .....	#38 (Sept 18) 8827
<b>Marauder Resources East Coast Inc.</b>	
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<b>Mariana Resources Limited</b>	
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<b>Marquest Asset Management Inc.</b>	
Decision.....	#31 (July 31) 7065
<b>Marret Asset Management Inc.</b>	
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<b>Marret High Yield Fund</b>	
Decision.....	#44 (Oct 30) 9679
<b>Marret High Yield Hedged Strategies Fund</b>	
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<b>MaRS VX</b>	
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<b>Martello Group Inc. (The)</b>	
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<b>Mateyak, Laura</b>	
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<b>Matica Graphite Inc.</b>	
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<b>Maudore Minerals Ltd.</b>	
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<b>Mawer Investment Management Ltd.</b>	
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<b>MAXIT Capital LP</b>	
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<b>Maxsood, Daniel</b>	
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<b>McCann, Dean C.</b>	
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<b>McCarthy, Andrea Lee</b>	
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<b>McGraw-Hill Ryerson Limited</b>	
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<b>McHaffie, Ronald Stephen</b>	
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<b>McKinnon, Stuart</b>	
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<b>McQuillen, Marc</b>	
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<b>McVicar Industries Inc.</b>	
Decision – s. 1(10) .....	#24 (June 12) 5570
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<b>McWatters Mining Inc.</b>	
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<b>MD Financial Management Inc.</b>	
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<b>MD Physician Services Inc.</b>	
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Decision.....	#48 (Nov 27) 10428
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<b>Mediterranean Resources Ltd.</b>	
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Cease Trading Orders.....	#16 (Apr 17) 3947
Cease Trading Order.....	#17 (Apr 24) 4163
Cease Trading Order.....	#18 (May 1) 4507
Cease Trading Order.....	#19 (May 8) 4713
Cease Trading Order.....	#20 (May 15) 4871
Cease Trading Order.....	#20 (May 15) 4872
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<b>Mercator Transport Group Corporation</b>	
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<b>Mohr, Gerhard (“Gary”) R.</b>	
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<b>Molecule Energy Corporation</b>	
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<b>Moncasa Capital Corporation</b>	
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<b>Mongolia Growth Group Ltd.</b>	
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<b>Montag Private Wealth Inc. / Montag Gestion Privée Inc.</b>	
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<b>Montag Wealth Management Inc. / Gestion Privée Montag Inc.</b>	
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<b>Montrose Property Holdings Ltd.</b>	
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<b>Morgan Dragon Development Corp.</b>	
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<b>Morgan Stanley Smith Barney LLC</b>	
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<b>Morningside Capital Corp.</b>	
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<b>Moscovitz, David</b>	
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<b>Moss Lake Gold Mines Ltd.</b>	
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<b>MountainStar Gold Inc.</b>	
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<b>Movarie Capital Ltd.</b>	
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<b>MRS Sciences Inc.</b>	
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<b>Multimedia Nova Corporation</b>	
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<b>N-45° First CMBS Issuer Corporation</b>	
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<b>Nagy, Miklos</b>	
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<b>Nanotech Industries Inc.</b>	
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<b>National Bank Group Inc.</b>	
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<b>National Bank Investments Inc.</b>	
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<b>National Bank of Canada</b>	
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<b>National Bank Securities Inc.</b>	
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<b>NBCN Inc.</b>	
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<b>Needham &amp; Company, LLC</b>	
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<b>NEI Select Growth Corporate Class Portfolio</b>	
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<b>Nest Wealth Asset Management Inc.</b>	
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<b>New Commerce Split Fund</b>	
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<b>New Dawn Mining Corp.</b>	
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<b>New Gold Limited Partnerships</b>	
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<b>New Red Canada Limited Partnership</b>	
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<b>New Solutions Capital Inc.</b>	
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<b>New Solutions Financial (II) Corporation</b>	
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Settlement Agreement.....	#18 (May 1) 4493
<b>New Solutions Financial Corporation</b>	
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<b>Newcrest Mining Limited</b>	
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<b>Newedge Canada Inc.</b>	
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<b>Newer Technologies Limited</b>	
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**Pan American Fertilizer Corp.**

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**Propel Capital Corporation**

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**Pro-Trans Ventures Inc.**

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**Purpose Enhanced US Equity Fund**

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**Purpose International Dividend Fund**

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<b>Purpose International Tactical Hedged Equity Fund</b>	
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<b>Pyramis Global Advisors (Canada) ULC</b>	
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<b>Pyramis Global Advisors Trust Company</b>	
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<b>Pyrford International Limited</b>	
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<b>QFS Asset Management, Inc.</b>	
Voluntary Surrender .....	#23 (June 5) 5543
<b>Quadravest Capital Management Inc.</b>	
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<b>Quadrex Asset Management Inc.</b>	
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**Quadrex Secured Assets Inc.**

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Order – ss. 127(1) and (8).....	#9 (Feb 27) 2094
Notice from the Office of the Secretary .....	#17 (Apr 24) 4101
Order – s. 127 .....	#17 (Apr 24) 4120
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**Questrade Wealth Management Inc.**

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**Quibik Income Fund**

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**R.E.G.A.R. Gestion Privee Inc.**

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**R.R.O. 1990, Reg. 1015**

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**Radiant Investment Management Ltd.**

Consent to Suspension (Pending Surrender).....	#2 (Jan 9) 729
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**Rae & Lipskie Investment Counsel Inc.**

Amalgamation .....	#42 (Oct 16) 9521
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**Railtown Capital Corp.**

Cease Trading Order.....	#8 (Feb 20) 1831
Cease Trading Order.....	#10 (Mar 6) 2367
Cease Trading Order.....	#11 (Mar 13) 2655

**Ramoutar, Justin**

Notice from the Office of the Secretary .....	#29 (July 17) 6690
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**Ramoutar, Pamela**

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**Ranaz Corporation**

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**Smart Money Capital Management Inc.**

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**Social Housing Canadian Equity**

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**Social Housing Canadian Short-Term Bond Fund**

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**Société Générale Capital Canada Inc.**

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**Spears, May**

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<b>Stealth Minerals Limited</b>	
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<b>Tarku Resources Ltd.</b>	
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**TD Investment Services Inc.**

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**TD Sponsored Companies Inc.**

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**Telfer, Ian**

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**Ten Star Financial Inc.**

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**TeraExchange LLC**

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<b>TG Residential Value Properties Ltd.</b>	
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<b>Thomson Reuters (SEF) LLC</b>	
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Cease Trading Order.....	#21 (May 22) 5103
<b>Tiffin Financial Corporation</b>	
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<b>Tiffin, Daniel</b>	
Notice from the Office of the Secretary .....	#29 (July 17) 6690
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Reasons and Decision on Sanctions and Costs – ss. 127(1), 127.1 .....	#29 (July 17) 6731
<b>Tim Hortons Inc.</b>	
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<b>Timber Hill Canada Co.</b>	
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<b>Timbercreek Asset Management Ltd.</b>	
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<b>Timbercreek Global Real Estate Fund</b>	
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<b>Times Three Wireless Inc.</b>	
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<b>Tindall, Gregory Dennis</b>	
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<b>TMX Group Limited</b>	
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<b>Todorov, Evgueni</b>	
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<b>Touchstone Exploration Inc.</b>	
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<b>Touchstone Gold Limited</b>	
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<b>tpSEF Inc.</b>	
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<b>TQM Pipeline and Company, Limited Partnership</b>	
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<b>Tradition SEF INC.</b>	
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<b>Trafina Energy Ltd.</b>	
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<b>Transamerica Securities Inc.</b>	
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<b>TransCanada PipeLines Limited</b>	
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<b>TransCanada Trust</b>	
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<b>TransCap Corporation</b>	
Notice from the Office of the Secretary .....	#9 (Feb 27) 2068
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Reasons and Decision – ss. 127(1), 127(10) .....	#9 (Feb 27) 2119

<b>Transcontinental Inc.</b>	
Decision.....	#32 (Aug 7) 7274
<b>Transeuro Energy Corp.</b>	
Cease Trading Order.....	#20 (May 15) 4871
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<b>Transition Financial Advisors Group, Inc.</b>	
Consent to Suspension (Pending Surrender).....	#2 (Jan 9) 729
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<b>Tranzeo Wireless Technologies Inc.</b>	
Cease Trading Order.....	#23 (June 5) 5439
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**Tsatskin, Vadim**

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**TSX – Notice of Approval – Amendments to Parts III, VI and VII of the Toronto Stock Exchange Company Manual and to Parts 2 and 7 of The Toronto Stock Exchange Rule Book**

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<b>Walter, Bruce</b>	
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**Webster, Joel**

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**Welcome Place Inc.**

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<b>Westcoast Energy Inc.</b>	
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<b>Westpoint Capital Corporation</b>	
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<b>Wetston, Howard I.</b>	
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<b>WFG Securities Inc.</b>	
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<b>WGI Holdings, Inc.</b>	
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<b>Whitehaven Securities Inc./Valeurs Mobilières Whitehaven Inc.</b>	
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<b>WHV Investment Management, Inc.</b>	
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<b>WHV Investments, Inc.</b>	
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<b>WIC (ON)</b>	
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<b>Winget, Julie</b>	
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<b>Winograd, Charles Martin</b>	
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<b>Wolfcrest Capital Advisors Inc.</b>	
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<b>Wong, Jason</b>	
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**Yeung, Simon**

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**Yoannou, Paul**

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**York Rio Resources Inc.**

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**York, Victor**

Notice from the Office of the Secretary .....	#14 (Apr 4) 3352
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**YUL Capital Inc.**

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**Z2A Corp.**

Notice from the Office of the Secretary .....	#6 (Feb 6) 1380
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**Zedi Inc.**

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**Zetchus, Steven**

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**Zhang, Tao**

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**ZLC Wealth Inc.**

Firm Name Change .....	#48 (Nov 27) 10665
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**ZoomMed Inc.**

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