

The Ontario Securities Commission

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The Ontario Securities Commission Administers the
Securities Act of Ontario (R.S.O. 1990, c. S.5) and the
Commodity Futures Act of Ontario (R.S.O. 1990, c. C.20)

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Introduction to the OSC Bulletin Cumulative Index

This is a cumulative index of documents published in the OSC Bulletin (Volume 39) for the period January – December 2016, inclusive.

The index includes material found in Chapters 1 (Notices / News Releases), 2 (Decisions, Orders and Rulings), 3 (Reasons: Decisions, Orders and Rulings), 4 (Cease Trading Orders), 5 (Rules and Policies), 6 (Request for Comments), 9 (Legislation), 12 (Registrations), 13 (SROs, Marketplaces and Clearing Agencies) and 25 (Other Information).

Chapters 7 (Insider Reporting) and 11 (IPOs, New Issues and Secondary Financings) are not indexed. Please note that Chapter 8 has been discontinued.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters are indexed. The tables found in Chapters 4 and 12 are indexed by corporate name only. Where tables appear in Chapter 25, they are indexed by corporate name only.

Index entries are arranged alphabetically. Each index entry includes a corporate or personal name, a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date and page number. Citations are to the *Securities Act*, if not otherwise specified.

Supplements in 2016

The Supplements published in 2016 were:

- **CSA Notice of Approval – Amendments to National Instrument 21-101 Marketplace Operation and National Instrument 23-101** – Volume 39, Issue 8, Supplement 1 (February 25, 2016)
- **Proposed Amendments to NI 31-103 and its Policy, NI 33-109 and OSC Rule 33-506 (Including Related Forms)** – Volume 39, Issue 27, Supplement 2 (July 7, 2016)

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BMO Private Investment Counsel Inc.

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DataWind Inc.

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Cease Trading Order	#32 (Aug 11) 7101
Cease Trading Order	#33 (Aug 18) 7213
Cease Trading Order	#34 (Aug 25) 7523
Cease Trading Order	#35 (Sept 1) 7687
Cease Trading Order	#36 (Sept 8) 7785
Cease Trading Order	#37 (Sept 15) 7925
Cease Trading Order	#48 (Dec 1) 9749

DBRS Limited

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DCL Healthcare Properties Inc.

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De Thomas Financial Corp.

Name Change	#39 (Sept 29) 8361
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De Thomas Wealth Management Corp.

Name Change	#39 (Sept 29) 8361
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Deercrest Construction Fund Inc.

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#32 (Aug 11) 7041
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Delaney Capital Management Ltd.

Name Change	#25 (June 23) 5999
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DeLuca, Frank

Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1	#14 (Apr 7) 3112
Notice from the Office of the Secretary	#14 (Apr 7) 3126
Notice from the Office of the Secretary	#17 (Apr 28) 4006
Order – s. 127	#17 (Apr 28) 4026
Notice from the Office of the Secretary with Related Statement of Allegations	#18 (May 5) 4189
Notice from the Office of the Secretary	#24 (June 16) 5408
Order – s. 127	#24 (June 16) 5430
Notice of Withdrawal	#31 (Aug 4) 6885
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Dennis, Mark Allen

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Dental Corporation of Canada Holdings Inc.

Decision	#22 (June 2) 5052
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Desert Eagle Resources Ltd.

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Desjardins Investments Inc.

Decision	#24 (June 16) 5409
Decision	#39 (Sept 29) 8256

Desjardins Sécurité Financière Investissements Inc./Desjardins Financial Security Investments Inc.

Change in Registration Category	#12 (Mar 24) 2909
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Desjardins SocieTerra Environmental Bond Fund

Decision	#24 (June 16) 5409
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Dhala, Hussain

Notice from the Office of the Secretary	#6 (Feb 11) 1260
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DiNardo, Paul Camillo

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Discovery Air Inc.

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Doe, John

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Dolly Varden Silver Corporation

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Dominick Inc.	
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Dominion Citrus Income Fund	
Cease Trading Order	#49 (Dec 8) 9913
Dong, Yin Hui	
Notice from the Office of the Secretary	#26 (June 30) 6015
Reasons and Decision on Motions.....	#26 (June 30) 6027
Dowlati, Noshad	
Notice from the Office of the Secretary	#4 (Jan 28) 913
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Downing, Sharon	
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DPS Capital Inc.	
New Registration.....	#23 (June 9) 5389
Drabinsky, Garth H.	
Notice from the Office of the Secretary	#9 (Mar 3) 1944
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Notice from the Office of the Secretary	#25 (June 23) 5577
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Order – ss. 127, 127.1	#26 (June 30) 6021
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Dunbar, Glenn Francis	
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Dundee Acquisition Ltd.	
Decision	#50 (Dec 15) 10152
Dundee Capital Partners	
New Registration.....	#49 (Dec 8) 10105
Dundee Securities Ltd. (DSL)	
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Dutchess Opportunity Fund, II, LP	
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DW SEF LLC	
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Dynamic Global Infrastructure Class	
Decision	#32 (Aug 11) 7064
Dynex Power Inc.	
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Cease Trading Order	#20 (May 19) 4767
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E3M Investments Inc.	
Voluntary Surrender	#47 (Nov 24) 9689
Eagle Energy Trust	
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EastWest Gold Corporation	
Decision – s. 1(10)(a)(ii)	#30 (July 28) 6785
Echelon Wealth Partners Inc.	
Name Change	#18 (May 5) 4357
Eckstein, Gordon	
Notice from the Office of the Secretary	#9 (Mar 3) 1944
Order – ss. 127, 127.1	#9 (Mar 3) 1974
Notice from the Office of the Secretary	#25 (June 23) 5577
Order – ss. 127, 127.1	#25 (June 23) 5788
Order – ss. 127, 127.1	#26 (June 30) 6021
Notice from the Office of the Secretary	#26 (June 30) 6015
Notice from the Office of the Secretary	#48 (Dec 1) 9695
Order – ss. 127, 127.1	#48 (Dec 1) 9715
ECN Capital Corp.	
Decision	#47 (Nov 24) 9569
Edgecrest Capital Corporation	
Voluntary Surrender	#1 (Jan 7) 213
Edison Asset Management Corporation	
New Registration	#11 (Mar 17) 2649
Voluntary Surrender	#18 (May 5) 4357
EESTor Corporation	
Cease Trading Order	#6 (Feb 11) 1309
EFT Canada Inc.	
Decision	#17 (Apr 28) 4016
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Eleven Evergreen Limited Partnership	
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Cease Trading Order	#35 (Sept 1) 7687
Ellementary Capital Ltd.	
New Registration	#47 (Nov 24) 9689
Emerald Bay Energy Inc.	
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Emerging Markets Equity Corporate Class	
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Emerging Markets Equity Pool	
Decision	#18 (May 5) 4197
Empire Life Insurance Company	
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Empower Technologies Corporation	
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Enbridge Inc.	
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Enerdynamic Hybrid Technologies Corp.	
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Cease Trading Order	#4 (Jan 28) 959
Cease Trading Order	#5 (Feb 4) 1135
Cease Trading Order	#6 (Feb 11) 1309
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Cease Trading Order	#13 (Mar 31) 2973
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Cease Trading Order	#16 (Apr 21) 3859
Cease Trading Order	#17 (Apr 28) 4099
Cease Trading Order	#18 (May 5) 4223
Cease Trading Order	#19 (May 12) 4516
Cease Trading Order	#20 (May 19) 4767
Cease Trading Order	#21 (May 26) 4923
Cease Trading Order	#22 (June 2) 5087
Cease Trading Order	#23 (June 9) 5205
Cease Trading Order	#24 (June 16) 5449
EnerGulf Resources Inc.	
Cease Trading Order	#28 (July 14) 6375
Cease Trading Order	#35 (Sept 1) 7687
Energy Leaders Plus Income ETF	
Decision	#42 (Oct 20) 8766
Energy TV Inc.	
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Enesco Energy Services Corp.	
Cease Trading Order	#1 (Jan 7) 65
Enhanced Income Corporate Class	
Decision	#18 (May 5) 4197
Enhanced Income Pool	
Decision	#18 (May 5) 4197

Enhanced Income Portfolio	
Decision	#10 (Mar 10) 2215
Ensign Corporate Communications Inc.	
Notice from the Office of the Secretary	#21 (May 26) 4877
Order – ss. 127, 127.1	#21 (May 26) 4997
Reasons and Decision on Sanctions and Costs – ss. 127, 127.1	#21 (May 26) 4907
Entrust 49 Focus Fund	
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Entrust Focus Partners LP	
Decision	#14 (Apr 7) 3174
Decision	#38 (Sept 22) 8027
Environmental Waste International Inc.	
Cease Trading Order	#19 (May 12) 4515
Equal Energy Ltd.	
Order	#30 (July 28) 6799
eQuaTe Asset Management Inc.	
New Registration	#42 (Oct 20) 8883
Equilibrium Partners Inc.	
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Notice from the Office of the Secretary	#9 (Mar 3) 1945
Notice from the Office of the Secretary	#13 (Mar 31) 2937
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Notice from the Office of the Secretary	#29 (July 21) 6620
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Equiton Capital Inc.	
New Registration	#7 (Feb 18) 1663
Equium Capital Management Inc.	
New Registration	#47 (Nov 24) 9689
Eshel, David	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#44 (Nov 3) 9038
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Espial Group Inc.	
Decision	#35 (Sept 1) 7658
Espresso Capital Ltd.	
New Registration	#36 (Sept 8) 7863
Essex Oil Ltd.	
Cease Trading Order	#45 (Nov 10) 9265
Etrion Corporation	
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Eurex Clearing AG	
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Euro Banc Capital Securities Trust	
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Euro Pacific Canada Inc.	
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Decision	#13 (Mar 31) 2943
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European Ferro Metals Ltd.	
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European Metals Corp.	
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Evangeline Securities Limited	
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Evangeline Securities Limited	
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Eventus Capital Corp.	
New Registration	#1 (Jan 7) 213
Excel BRIC Fund	
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Excel Funds Management Inc.	
Decision	#5 (Feb 4) 1105
Decision	#18 (May 5) 4205
Excel India Balanced Fund	
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Excel New India Leaders Fund	
Decision	#18 (May 5) 4205
Excel Private Wealth Inc.	
Amalgamation	#20 (May 19) 4853
Excel Private Wealth	
Decision	#3 (Jan 21) 726
Exclamation Investments Corporation	
Cease Trading Order	#36 (Sept 8) 7785
Exemplar Diversified Portfolio	
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Exemplar Yield Fund	
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Extenway Solutions Inc.	
Cease Trading Order	#2 (Jan 14) 275
Eyelogic Systems Inc.	
Decision – s. 1(10)	#27 (July 7) 6172

F&C Management Limited	
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F.W. Thompson Co. Limited	
Voluntary Surrender	#2 (Jan 14) 699
Falls Capital Corp. (The)	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#32 (Aug 11) 7041
Notice from the Office of the Secretary	#32 (Aug 11) 7052
Notice from the Office of the Secretary	#35 (Sept 1) 7644
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Notice from the Office of the Secretary	#42 (Oct 20) 8754
Order – s. 127(1)	#42 (Oct 20) 8802
Reasons and Decision – s. 127(1)	#42 (Oct 20) 8817
Farrell, John	
Notice from the Office of the Secretary	#18 (May 5) 4188
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FCF Capital Inc.	
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Feronia Inc.	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA	#39 (Sept 29) 8365
FI Capital Ltd.	
Decision	#22 (June 2) 5068
FIAM LLC	
Order – ss. 78(1), 80 of the CFA	#49 (Dec 8) 9886
FIC Foreclosure Fund Ltd.	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#46 (Nov 17) 9378
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FIC Real Estate Projects Ltd.	
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Notice from the Office of the Secretary	#49 (Dec 8) 9851
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Fidelity (Canada) Asset Management ULC	
Order – ss. 78(1), 80 of the CFA	#49 (Dec 8) 9886
Fidelity Dividend Investment Trust	
Decision	#38 (Sept 22) 8025
Fidelity Institutional Asset Management Trust Company	
Order – ss. 78(1), 80 of the CFA	#49 (Dec 8) 9886
Fidelity Investments Canada ULC	
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Decision	#38 (Sept 22) 8025
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Decision	#50 (Dec 15) 10129
Fidelity Investments Money Management, Inc.	
Order – ss. 78(1), 80 of the CFA	#49 (Dec 8) 9886

Fidelity North American Equity Investment Trust	
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Fiduciary Trust Company of Canada	
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Fiera Capital Corporation	
Decision	#6 (Feb 11) 1274
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FIL Limited	
Order – ss. 78(1), 80 of the CFA.....	#49 (Dec 8) 9886
Finkelstein, Mitchell	
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Fin-Xo Valeurs Mobilieres Inc. / Fin-Xo Securities Inc.	
Voluntary Surrender.....	#5 (Feb 4) 1251
First Asset CanBanc Split Corp.	
Decision – s. 1(10)(a)(ii).....	#11 (Mar 17) 2456
First Asset Fund Corp.	
Order – s. 158(1.1) of the OBCA.....	#35 (Sept 1) 7676
First Asset Investment Management Inc.	
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Decision	#45 (Nov 10) 9201
Decision	#50 (Dec 15) 10150
First Asset Preferred Share ETF	
Decision	#34 (Aug 25) 7484
First Asset Short Term Government Bond Index Class ETF	
Decision	#50 (Dec 15) 10150
First Growth Holdings Ltd.	
Order – s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids.....	#37 (Sept 15) 7907
First Resource Capital Corp.	
Consent to Suspension (Pending Surrender).....	#29 (July 21) 6745
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First Trust Senior Loan ETF (CAD-Hedged)	
Decision	#12 (Mar 24) 2683
FirstEnergy Capital Corp.	
Decision	#41 (Oct 13) 8551
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Fit Private Investment Counsel Inc.	
Amalgamation	#19 (May 12) 4707
Floating Rate Income Fund	
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FMR Co., Inc.	
Order – ss. 78(1), 80 of the CFA.....	#49 (Dec 8) 9886
FMR Investment Management (UK) Limited	
Order – ss. 78(1), 80 of the CFA.....	#49 (Dec 8) 9886

Ford Floorplan Auto Securitization Trust	
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Foresters Asset Management Inc. /Gestion d'actifs Foresters inc.	
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Name Change.....	#20 (May 19) 4853
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Notice.....	#7 (Feb 18) 1463
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Request for Comments	#38 (Sept 22) 8051
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Fortaleza Energy Inc.	
Cease Trading Order	#19 (May 12) 4515

FPS Pharma Inc.	
Cease Trading Order	#1 (Jan 7) 65
Order – s. 144	#6 (Feb 11) 1284
Cease Trading Order	#6 (Feb 11) 1309
Franklin Advisers, Inc.	
Order – s. 80 of the CFA	#30 (July 28) 6794
Franklin Bissett Canadian Dividend Corporate Class	
Decision	#13 (Mar 31) 2950
Franklin Bissett Canadian Dividend Fund	
Decision	#13 (Mar 31) 2950
Franklin Bissett Canadian High Dividend Corporate Class	
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Franklin Bissett Canadian High Dividend Fund	
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Franklin Bissett Monthly Income and Growth Fund	
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Franklin Bissett Strategic Income Corporate Class	
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Decision	#32 (Aug 11) 7067
Franklin Mutual Advisers, LLC	
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Franklin Templeton Institutional, LLC	
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Decision	#19 (May 12) 4473
Franklin Templeton Investment Management Limited	
Order – s. 80 of the CFA	#30 (July 28) 6794
Franklin Templeton Investments Corp.	
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Frankly Inc.	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA	#28 (July 14) 6593
Freshcap Financial Inc.	
New Registration	#5 (Feb 4) 1251
Frigate Ventures LP	
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Front Street Balanced Monthly Income Class	
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Front Street Capital 2004	
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Front Street Diversified Income Class	
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Front Street U.S. MLP Income Fund Ltd.	
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FT Portfolios Canada Co.	
Decision	#12 (Mar 24) 2683
Furtak, Edward	
Notice from the Office of the Secretary	#5 (Feb 4) 1090
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Further Capital Partners Ltd.	
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Future Solar Developments Inc.	
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Notice from the Office of the Secretary	#19 (May 12) 4455
Reasons and Decision	#19 (May 12) 4495
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IA Investment Counsel Inc.

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IMAX Corporation	
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imaxx Canadian Equity Growth Fund	
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International Equity Alpha Corporate Class	
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International Legal and Accounting Services Inc.	
Notice from the Office of the Secretary	#10 (Mar 10) 2213
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Intertainment Media Inc.	
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Invesco Canada Ltd.	
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Decision	#25 (June 23) 5588
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Invesco Intactive Strategic Capital Yield Portfolio Class	
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Investar Investment Ltd.	
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Investment Partners Fund Inc.	
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InvestX Financial (Canada) Ltd.	
New Registration.....	#36 (Sept 8) 7863
Ip, Albert	
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IPC Investment Corporation	
Decision	#40 (Oct 6) 8371
IPSol Capital Inc.	
Change in Registration Category	#2 (Jan 14) 699
Irish Life Investment Managers Limited	
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iSIGN Media Solutions Inc.	
Cease Trading Order	#37 (Sept 15) 7925
Cease Trading Order	#38 (Sept 22) 8049
Cease Trading Order	#39 (Sept 29) 8289
Cease Trading Order	#40 (Oct 6) 8459
Cease Trading Order	#41 (Oct 13) 8597
Cease Trading Order	#42 (Oct 20) 8823
Cease Trading Order	#43 (Oct 27) 8953
Cease Trading Order	#44 (Nov 3) 9103
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Ivari CI Canadian Balanced Portfolio	
Decision	#33 (Aug 18) 7187
Ivari CI Conservative Portfolio	
Decision	#33 (Aug 18) 7187
Ivari CI Growth Portfolio	
Decision	#33 (Aug 18) 7187
Ivari CI Maximum Growth Portfolio	
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J.P. Morgan Securities Canada Inc.	
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J.P. Morgan Securities LLC	
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J2 Capital Management Inc.	
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Javelin SEF, LLC	
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JDM Investment Partners Ltd.	
Change in Registration Category	#28 (July 14) 6587
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Jefferies LLC	
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Jefferies Securities, Inc.	
New Registration.....	#23 (June 9) 5389
Jensen, Maureen	
Authorization Order – s. 3.5(3).....	#7 (Feb 18) 1491
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Jesselton Capital Management Inc.	
New Registration.....	#9 (Mar 3) 2187
Jet Metal Corp.	
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Jetcom Inc.	
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JMP Securities LLC	
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Jomisc Investments Inc.	
Name Change.....	#7 (Feb 18) 1663
Jones Collombin Investment Counsel Inc.	
Change in Registration Category	#51 (Dec 22) 10635

Jordan, Haiyan (Helen) Gao

Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1	#14 (Apr 7) 3112
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Notice from the Office of the Secretary	#42 (Oct 20) 8754
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Notice from the Office of the Secretary	#46 (Nov 17) 9386
Order – s. 127	#46 (Nov 17) 9446
Notice from the Office of the Secretary	#51 (Dec 22) 10373
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Jun, Hua

Notice from the Office of the Secretary	#26 (June 30) 6015
Reasons and Decision on Motions	#26 (June 30) 6027

Justwealth Financial Inc.

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JVK Life & Wealth Advisory Group Inc.

Decision	#14 (Apr 7) 3153
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K2/D&S Management Co., LLC

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Kahlon, Jarnail

OSC Reasons: Settlement Agreement	#17 (Apr 28) 4093
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Kai Asset Management Inc.

New Registration	#2 (Jan 14) 699
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KBSH Eafe Equity Fund

Decision	#33 (Aug 18) 7187
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Kerwin, Edward P.

Authorization Order – s. 3.5(3)	#7 (Feb 18) 1491
Authorization Order – s. 3.5(3)	#35 (Sept 1) 7673
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Keybase Financial Group Inc.

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Notice from the Office of the Secretary	#5 (Feb 4) 1089
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Notice from the Office of the Secretary	#17 (Apr 28) 4005
OSC Reasons and Decision – s. 8	#17 (Apr 28) 4040

KFL Capital Management Ltd.

Name Change	#28 (July 14) 6587
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Khan, Muhammad M.

Notice from the Office of the Secretary	#3 (Jan 21) 721
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Notice from the Office of the Secretary	#7 (Feb 18) 1466
Notice from the Office of the Secretary	#7 (Feb 18) 1467
Order – s. 127	#7 (Feb 18) 1485
Order with Related Statement of Allegations – ss. 127(1), 127(2), 127.1	#7 (Feb 18) 1507
Notice from the Office of the Secretary	#51 (Dec 22) 10371
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Reasons and Decision	#51 (Dec 22) 10501

Kicking Horse Energy Inc.

Decision	#1 (Jan 7) 44
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Kilgharrah Asset Management Inc.

New Registration	#20 (May 19) 4853
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Kilkenny Capital Corporation

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Killam Properties Inc.

Decision – s. 1(10)(a)(ii).....	#8 (Feb 25) 1680
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Kingsway Arms Retirement Residences Inc.

Consent – s. 4(b) of O. Reg. 289/00 under the OBCA	#15 (Apr 14) 3813
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Kitrinor Metals Inc.

Cease Trading Order	#19 (May 12) 4516
Cease Trading Order	#20 (May 19) 4767
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Kotton, Lance

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Notice from the Office of the Secretary	#42 (Oct 20) 8753
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Notice from the Office of the Secretary	#47 (Nov 24) 9540
Temporary Order – ss. 127(7), 127(8)	#47 (Nov 24) 9578
Notice from the Office of the Secretary	#50 (Dec 15) 10125
Reasons and Decision – s. 127(8).....	#50 (Dec 15) 10171

Kowal, Monica

Authorization Order – s. 3.5(3).....	#7 (Feb 18) 1491
Authorization Order – s. 3.5(3).....	#35 (Sept 1) 7673
Authorization Order – s. 3.5(3).....	#50 (Dec 15) 10160

Kricfalusi, Mary

Notice from the Office of the Secretary	#7 (Feb 18) 1467
Order – s. 127	#7 (Feb 18) 1492
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Kurichh, Raj

Notice from the Office of the Secretary	#2 (Jan 14) 234
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Notice from the Office of the Secretary	#4 (Jan 28) 914
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Notice from the Office of the Secretary	#31 (Aug 4) 6887
Reasons and Decision – s. 127(1)	#31 (Aug 4) 6947
Notice from the Office of the Secretary	#35 (Sept 1) 7643
Order – Rule 17.3 of the OSC Rules of Procedure	#35 (Sept 1) 7681
Notice from the Office of the Secretary	#50 (Dec 15) 10126
Order – ss. 127, 127.1	#50 (Dec 15) 10162
Reasons and Decision on Sanctions and Costs – ss. 127, 127.1	#50 (Dec 15) 10177

Labanowich, Michael

Notice of Withdrawal	#42 (Oct 20) 8751
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Lake Shore Gold Corp.

Decision	#22 (June 2) 5070
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Lamond Investments Ltd.

Order	#30 (July 28) 6791
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LatAm SEF, LLC

Marketplaces – Application for Exemption from Recognition as an Exchange – OSC Notice and Request for Comment	#20 (May 19) 4855
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Lateral Gold Corp.

Decision	#40 (Oct 6) 8413
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Lathigee, Michael Patrick

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#46 (Nov 17) 9378
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Notice from the Office of the Secretary	#49 (Dec 8) 9851
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Laurus Investment Counsel Inc.

Decision	#40 (Oct 6) 8378
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Lawrence Park Strategic Income Fund

Decision	#18 (May 5) 4197
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LCH.Clearnet LLC

Notice of Revocation	#26 (June 30) 6014
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LCH.Clearnet Ltd.

Clearing Agencies – Notice of Multilateral Arrangement for Regulatory, Supervisory and Oversight Cooperation	#49 (Dec 8) 10108
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Lee, Chin

Notice from the Office of the Secretary	#10 (Mar 10) 2213
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Notice from the Office of the Secretary	#13 (Mar 31) 2936
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Notice from the Office of the Secretary	#14 (Apr 7) 3127
Order	#14 (Apr 7) 3230
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Notice from the Office of the Secretary	#15 (Apr 14) 3591
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Notice from the Office of the Secretary	#26 (June 30) 6015
Reasons and Decision on Motions	#26 (June 30) 6027

Lee, John

Notice from the Office of the Secretary	#10 (Mar 10) 2213
Order	#10 (Mar 10) 2234
Notice from the Office of the Secretary	#13 (Mar 31) 2936
Order	#13 (Mar 31) 2965
Notice from the Office of the Secretary	#14 (Apr 7) 3127
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Notice of Hearing – ss. 127, 127.1	#15 (Apr 14) 3587
Notice from the Office of the Secretary	#15 (Apr 14) 3589
Notice from the Office of the Secretary	#15 (Apr 14) 3590
Notice from the Office of the Secretary	#15 (Apr 14) 3591
Order	#15 (Apr 14) 3624
Order with Related Settlement Agreement – ss. 127, 127.1	#15 (Apr 14) 3630
Notice from the Office of the Secretary	#26 (June 30) 6015
Reasons and Decision on Motions	#26 (June 30) 6027

Lee, Turner & Associates Inc.

Voluntary Surrender	#3 (Jan 21) 897
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Leede Financial Markets Inc.

Name Change	#2 (Jan 14) 699
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Leede Jones Gable Inc.

Name Change	#2 (Jan 14) 699
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Legal & General Investment Management America, Inc.

Order – s. 80 of the CFA	#41 (Oct 13) 8589
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Leiper, Janet

Authorization Order – s. 3.5(3)	#7 (Feb 18) 1491
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Lenczner, Alan J.

Authorization Order – s. 3.5(3)	#7 (Feb 18) 1491
Authorization Order – s. 3.5(3)	#35 (Sept 1) 7673
Authorization Order – s. 3.5(3)	#50 (Dec 15) 10160

Leon Frazer & Associates Inc.

Amalgamation	#19 (May 12) 4707
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LeoNovus Inc.

Cease Trading Order	#19 (May 12) 4515
Cease Trading Order	#32 (Aug 11) 7101

Less Mess Storage Inc.	
Decision – s. 1(10)(a)(ii).....	#4 (Jan 28) 918
Letko, Brosseau & Associates Inc.	
Change in Registration Category.....	#43 (Oct 27) 9027
LGM Investments Limited	
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LGX Oil + Gas Inc.	
Cease Trading Order.....	#37 (Sept 15) 7925
Li, Jianling	
Notice from the Office of the Secretary.....	#26 (June 30) 6015
Reasons and Decision on Motions.....	#26 (June 30) 6027
Liahona Administration Inc.	
Notice of Hearing with Related Statement of Allegations – s. 127, 127.1.....	#8 (Feb 25) 1667
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Liahona Capital Inc.	
New Registration.....	#9 (Mar 3) 2187
Liahona Mortgage Investment Corp.	
Notice of Hearing with Related Statement of Allegations – s. 127, 127.1.....	#8 (Feb 25) 1667
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Notice from the Office of the Secretary.....	#8 (Feb 25) 1672
Order with Related Settlement Agreement – ss. 127, 127.1.....	#8 (Feb 25) 1730
Liberty House Asset Management Inc.	
New Registration.....	#38 (Sept 22) 8231
Linde Equity Inc.	
Change in Registration Category.....	#17 (Apr 28) 4175
Liquidnet Canada Inc.	
Marketplaces – Targeted Invitation Functionality – Notice of Proposed Changes and Request for Comment.....	#33 (Aug 18) 7449
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Marketplaces – Targeted invitation functionality for trading of equity securities – OSC Staff Notice of Proposed Change and Request for Comments.....	#48 (Dec 1) 9826
Marketplaces – Targeted invitation functionality for trading of equity securities – Notice of Proposed Change and Request for Comments.....	#48 (Dec 1) 9829
Marketplaces – Targeted invitation functionality for trading of equity securities – Extension of comment period.....	#51 (Dec 22) 10637
Litchfield Capital Advisors Ltd.	
Voluntary Surrender.....	#1 (Jan 7) 213
Liu, Jina	
Notice from the Office of the Secretary.....	#26 (June 30) 6015
Reasons and Decision on Motions.....	#26 (June 30) 6027
Liu, Yang	
Notice from the Office of the Secretary.....	#26 (June 30) 6015
Reasons and Decision on Motions.....	#26 (June 30) 6027
LiveReel Media Corporation	
Cease Trading Order.....	#45 (Nov 10) 9265
Cease Trading Order.....	#48 (Dec 1) 9749

Loblaw Companies Limited	
Order – s. 104(2)(c)	#12 (Mar 24) 2709
Order – s. 104(2)(c)	#20 (May 19) 4753
Order – s. 104(2)(c)	#20 (May 19) 4758
Order – s. 104(2)(c)	#20 (May 19) 4762
Lodge at Kananaskis Limited Partnership, The	
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Logan International Inc.	
Order	#46 (Nov 17) 9435
LOGiQ Asset Management Ltd.	
Name Change	#51 (Dec 22) 10635
Loman, Kevin	
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OSC Reasons: Reasons and Decision on Sanctions and Costs – s. 127	#7 (Feb 18) 1521
Lombard Odier & Cie (Canada), Société en Commandite	
Consent to Suspension (Pending Surrender)	#30 (July 28) 6871
Voluntary Surrender	#32 (Aug 11) 7177
Long Run Exploration Ltd.	
Order	#31 (Aug 4) 6906
Longview Asset Management Ltd.	
Change in Registration Category	#18 (May 5) 4357
Approval – s. 213(3)(b) of the LTCA	#20 (May 19) 4869
Loop Securities Inc.	
New Registration	#43 (Oct 27) 9027
Low Volatility U.S. Equity Income Fund	
Decision	#42 (Oct 20) 8776
Lowenberg Investment Counsel, Inc.	
Change in Registration Category	#1 (Jan 7) 213
LWP Capital Inc.	
Cease Trading Order	#20 (May 19) 4767
Lynden Energy Corp.	
Decision – s. 1(10)(a)(ii)	#29 (July 21) 6621
Lysander Funds Limited	
Decision	#50 (Dec 15) 10129
M5V Advisors Inc.	
Name Change	#41 (Oct 13) 8741
MacDougall, MacDougall & MacTier Inc.	
Decision	#42 (Oct 20) 8755
Amalgamation	#42 (Oct 20) 8883
Mackenzie Core Plus Canadian Fixed Income ETF	
Decision	#12 (Mar 24) 2663
Decision	#14 (Apr 7) 3178

Mackenzie Core Plus Global Fixed Income ETF

Decision	#12 (Mar 24) 2663
Decision	#14 (Apr 7) 3130
Decision	#14 (Apr 7) 3178

Mackenzie Financial Corporation

Decision	#12 (Mar 24) 2663
OSC Reasons and Decision on Sanctions and Costs – ss. 127, 127.1	#12 (Mar 24) 2733
Decision	#14 (Apr 7) 3130
Decision	#14 (Apr 7) 3153
Decision	#14 (Apr 7) 3178
Decision	#22 (June 2) 5056
Decision	#23 (June 9) 5187
Decision	#34 (Aug 25) 7479
Decision	#40 (Oct 6) 8371
Decision	#40 (Oct 6) 8426
Order – s. 80 of the CFA	#42 (Oct 20) 8784
Decision	#43 (Oct 27) 8918

Mackenzie Floating Rate Income ETF

Decision	#12 (Mar 24) 2663
Decision	#14 (Apr 7) 3178

Mackenzie Unconstrained Bond ETF

Decision	#12 (Mar 24) 2663
Decision	#14 (Apr 7) 3178

Maclos Capital Inc.

Cease Trading Order	#49 (Dec 8) 9913
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Macquarie Futures USA LLC

Ruling and Exemption – s. 38 of the CFA and s. 6.1 of OSC Rule 91-502 Trades in Recognized Options	#34 (Aug 25) 7506
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Magellan Minerals Ltd.

Decision – s. 1(10)(a)(ii)	#22 (June 2) 5059
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Mainstream Minerals Corporation

Cease Trading Order	#17 (Apr 28) 4099
Cease Trading Order	#18 (May 5) 4223

Mainstreet Health Investments Inc.

Decision	#23 (June 9) 5192
Decision	#25 (June 23) 5617

Majestic Supply Co. Inc.

Notice from the Office of the Secretary	#7 (Feb 18) 1467
Order – s. 127	#7 (Feb 18) 1492
OSC Reasons: Reasons and Decision on Sanctions and Costs – s. 127	#7 (Feb 18) 1521

Major, Robert Jay

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#41 (Oct 13) 8545
Notice from the Office of the Secretary	#41 (Oct 13) 8550
Notice from the Office of the Secretary	#45 (Nov 10) 9171
Order – ss. 127(1), 127(10)	#45 (Nov 10) 9248

Malone, William Raymond

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#46 (Nov 17) 9374
Notice from the Office of the Secretary	#46 (Nov 17) 9385
Notice from the Office of the Secretary	#49 (Dec 8) 9851
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Man Camp Limited Partnership #1

Notice of Hearing with Related Statement of Allegations	#14 (Apr 7) 3120
Notice from the Office of the Secretary	#14 (Apr 7) 3126
Notice from the Office of the Secretary	#16 (Apr 21) 3831
Order – s. 127	#16 (Apr 21) 3858
Notice of Withdrawal	#30 (July 28) 6763
Notice from the Office of the Secretary	#30 (July 28) 6769

Man Camp Limited Partnership #2

Notice of Hearing with Related Statement of Allegations	#14 (Apr 7) 3120
Notice from the Office of the Secretary	#14 (Apr 7) 3126
Notice from the Office of the Secretary	#16 (Apr 21) 3831
Order – s. 127	#16 (Apr 21) 3858
Notice of Withdrawal	#30 (July 28) 6763
Notice from the Office of the Secretary	#30 (July 28) 6769

Man Camp Limited Partnership #3

Notice of Hearing with Related Statement of Allegations	#14 (Apr 7) 3120
Notice from the Office of the Secretary	#14 (Apr 7) 3126
Notice from the Office of the Secretary	#16 (Apr 21) 3831
Order – s. 127	#16 (Apr 21) 3858
Notice of Withdrawal	#30 (July 28) 6763
Notice from the Office of the Secretary	#30 (July 28) 6769

Man Camp Limited Partnership #4

Notice of Hearing with Related Statement of Allegations	#14 (Apr 7) 3120
Notice from the Office of the Secretary	#14 (Apr 7) 3126
Notice from the Office of the Secretary	#16 (Apr 21) 3831
Order – s. 127	#16 (Apr 21) 3858
Notice of Withdrawal	#30 (July 28) 6763
Notice from the Office of the Secretary	#30 (July 28) 6769

Man Camp Master Limited Partnership

Notice of Hearing with Related Statement of Allegations	#14 (Apr 7) 3120
Notice from the Office of the Secretary	#14 (Apr 7) 3126
Notice from the Office of the Secretary	#16 (Apr 21) 3831
Order – s. 127	#16 (Apr 21) 3858
Notice of Withdrawal	#30 (July 28) 6763
Notice from the Office of the Secretary	#30 (July 28) 6769

Manor, Boaz

Notice of Withdrawal	#42 (Oct 20) 8751
Notice from the Office of the Secretary	#42 (Oct 20) 8753

Manulife Asset Management (Europe) Limited

Order – s. 80 of the CFA	#9 (Mar 3) 1991
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Manulife Asset Management Limited

Order – s. 80 of the CFA	#9 (Mar 3) 1991
Decision	#39 (Sept 29) 8262

Maple Futures Corp.

Voluntary Surrender	#22 (June 2) 5147
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Maple Securities Canada Limited

Voluntary Surrender	#38 (Sept 22) 8231
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MarketAxess Canada Limited

Decision – s. 15.1 of NI 21-101 Marketplace Operation	#1 (Jan 7) 46
Decision – s. 15.1 of NI 21-101 Marketplace Operation	#39 (Sept 29) 8276

MarketAxess SEF Corporation	
Marketplaces.....	#14 (Apr 7) 3551
Order – s. 147.....	#25 (June 23) 5718
Marketplaces – Notice of Commission Order – Application for Exemptive Relief	#25 (June 23) 6003
Marret High Yield Bond Fund	
Decision.....	#18 (May 5) 4197
Marret Short Duration High Yield Fund	
Decision.....	#18 (May 5) 4197
Marret Strategic Yield Fund	
Decision.....	#18 (May 5) 4197
MaRS VX	
Decision.....	#40 (Oct 6) 8423
Martel Group of Companies Inc.	
Notice of Hearing with Related Statement of Allegations.....	#14 (Apr 7) 3120
Notice from the Office of the Secretary.....	#14 (Apr 7) 3126
Notice from the Office of the Secretary.....	#16 (Apr 21) 3831
Order – s. 127.....	#16 (Apr 21) 3858
Notice of Withdrawal.....	#30 (July 28) 6763
Notice from the Office of the Secretary.....	#30 (July 28) 6769
Notice from the Office of the Secretary with Related Statement of Allegations.....	#30 (July 28) 6770
Notice from the Office of the Secretary.....	#33 (Aug 18) 7184
Order – s. 127.....	#33 (Aug 18) 7207
Notice from the Office of the Secretary.....	#40 (Oct 6) 8370
Order – s. 127.....	#40 (Oct 6) 8443
Notice from the Office of the Secretary.....	#45 (Nov 10) 9172
Order.....	#45 (Nov 10) 9256
Martel, Steven J.	
Notice of Hearing with Related Statement of Allegations.....	#14 (Apr 7) 3120
Notice from the Office of the Secretary.....	#14 (Apr 7) 3126
Notice from the Office of the Secretary.....	#16 (Apr 21) 3831
Order – s. 127.....	#16 (Apr 21) 3858
Notice of Withdrawal.....	#30 (July 28) 6763
Notice from the Office of the Secretary.....	#30 (July 28) 6769
Notice from the Office of the Secretary with Related Statement of Allegations.....	#30 (July 28) 6770
Notice from the Office of the Secretary.....	#33 (Aug 18) 7184
Order – s. 127.....	#33 (Aug 18) 7207
Notice from the Office of the Secretary.....	#40 (Oct 6) 8370
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Notice from the Office of the Secretary.....	#45 (Nov 10) 9172
Order.....	#45 (Nov 10) 9256
Marvin & Palmer Associates, Inc.	
Voluntary Surrender.....	#44 (Nov 3) 9159
Mason Graphite Inc.	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA.....	#19 (May 12) 4711
Matco Energy Equity Fund	
Decision.....	#20 (May 19) 4751
Matco Financial Inc.	
Decision.....	#20 (May 19) 4751
Matco Small Cap Fund	
Decision.....	#20 (May 19) 4751

Matica Enterprises Inc.

Cease Trading Order	#20 (May 19) 4767
Cease Trading Order	#21 (May 26) 4923
Cease Trading Order	#22 (June 2) 5087
Cease Trading Order	#23 (June 9) 5205
Cease Trading Order	#24 (June 16) 5449
Cease Trading Order	#25 (June 23) 5815
Cease Trading Order	#26 (June 30) 6033
Cease Trading Order	#27 (July 7) 6197
Cease Trading Order	#28 (July 14) 6375
Cease Trading Order	#29 (July 21) 6661
Cease Trading Order	#30 (July 28) 6807
Cease Trading Order	#31 (Aug 4) 6963
Cease Trading Order	#32 (Aug 11) 7101
Cease Trading Order	#45 (Nov 10) 9265

Maudore Minerals Ltd.

Cease Trading Order	#19 (May 12) 4515
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Maxsood, Daniel

Notice from the Office of the Secretary	#3 (Jan 21) 721
Order – s. 127	#3 (Jan 21) 739
Notice of Hearing – ss. 127(1), 127(2), 127.1	#7 (Feb 18) 1464
Notice from the Office of the Secretary	#7 (Feb 18) 1466
Notice from the Office of the Secretary	#7 (Feb 18) 1467
Order – s. 127	#7 (Feb 18) 1485
Order with Related Statement of Allegations – ss. 127(1), 127(2), 127.1	#7 (Feb 18) 1507
Notice from the Office of the Secretary	#51 (Dec 22) 10371
Order – ss. 127(1), 17(2.1).....	#51 (Dec 22) 10476
Reasons and Decision	#51 (Dec 22) 10501

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Rules and Policies	#14 (Apr 7) 3286
OSC Staff Notice 45-713 – Reports of Exempt Distribution – Compliance with Filing Requirements.	
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OTT Financial Canada Inc.	
New Registration.....	#27 (July 7) 6277
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Ovenden, Ronald James	
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Ovivo Inc.	
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Ozga, Edward	
Notice from the Office of the Secretary	#8 (Feb 25) 1672
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PACE Securities Corp.	
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Pacific Basin Shipping Limited	
Decision	#24 (June 16) 5412
Pacific Exploration & Production Corporation	
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Palisade Capital Management Ltd.	
Decision	#7 (Feb 18) 1469
Decision	#22 (June 2) 5064
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Panda Capital Inc.	
Cease Trading Order	#23 (June 9) 5205
Cease Trading Order	#24 (June 16) 5449
Pangaea Asset Management Inc.	
Decision	#37 (Sept 15) 7877

Parkland Fuel Corporation	
Decision	#25 (June 23) 5612
Pasquill, Earle Douglas	
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Pathfinder Asset Management Limited	
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PC Gold Inc.	
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PDC Biological Health Group Corporation	
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PearTree Securities Inc.	
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Pederson, Bradley Allan	
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Pender Corporate Bond Fund	
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PenderFund Capital Management Ltd.	
Decision	#14 (Apr 7) 3213
Performance Capital Limited	
Decision	#41 (Oct 13) 8573
Performance Sports Group Ltd.	
Cease Trading Order	#43 (Oct 27) 8953
Cease Trading Order	#44 (Nov 3) 9103
Cease Trading Order	#45 (Nov 10) 9265
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Perimeter Markets Inc.	
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Perk.com Inc.	
Decision	#6 (Feb 11) 1263
Perron Asset Management Inc.	
New Registration.....	#25 (June 23) 5999
Pershing Square Capital Management, L.P.	
Consent to Suspension (Pending Surrender).....	#29 (July 21) 6745
Petro Basin Energy Corp.	
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Petro One Energy Corp.	
Decision – s. 1(10).....	#14 (Apr 7) 3129
Petroamerica Oil Corp.	
Decision – s. 1(10)(a)(ii).....	#8 (Feb 25) 1693
Petrus Resources Inc.	
Decision – s. 1(10)(a)(ii).....	#8 (Feb 25) 1682
PI Financial Corp.	
Decision	#28 (July 14) 6356
Decision	#40 (Oct 6) 8381
PillarFour Securities Inc.	
New Registration	#11 (Mar 17) 2649
Pivotal Therapeutics Inc.	
Cease Trading Order	#19 (May 12) 4515
Placements IA Clarington Inc. / IA Clarington Investments Inc.	
Change in Registration Category	#21 (May 26) 5017
Platinum Communications Corporation	
Decision – s.1(10)(a)(ii)	#5 (Feb 4) 1098
Polyfunds Investment Inc.	
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Pope & Company Limited	
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Portfolio Series Balanced Growth Fund	
Decision	#18 (May 5) 4197
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Portfolio Series Conservative Fund	
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Portfolio Series Income Fund	
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Decision	#18 (May 5) 4197
Portfolio Strategies Securities Inc.	
Notice from the Office of the Secretary	#10 (Mar 10) 2210
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Portner, Christopher	
Authorization Order – s. 3.5(3).....	#7 (Feb 18) 1491
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Portus Alternative Asset Management Inc.	
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Notice of Withdrawal.....	#42 (Oct 20) 8751
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Postrado, Andrei Miguel	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1.....	#23 (June 9) 5177
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Postrado, Fernando	
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Notice from the Office of the Secretary.....	#20 (May 19) 4733
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Pounder Venture Capital Corp.	
Cease Trading Order.....	#19 (May 12) 4515
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Decision.....	#12 (Mar 24) 2688
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Decision.....	#12 (Mar 24) 2687
Decision.....	#12 (Mar 24) 2688
PowerShares Low Volatility Portfolio ETF	
Decision.....	#12 (Mar 24) 2687
Decision.....	#12 (Mar 24) 2688
Precipice Capital Corporation Inc.	
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Preferred Share Investment Trust	
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Prime Quadrant	
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Prism Financial Products LLP	
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Prism Medical Ltd.	
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Notice from the Office of the Secretary	#18 (May 5) 4188
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Propel Capital Corporation

Voluntary Surrender	#17 (Apr 28) 4175
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Prospera Mortgage Investment Corporation

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#41 (Oct 13) 8537
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Notice from the Office of the Secretary	#45 (Nov 10) 9171
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Prosperity Development Group Ltd.

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#41 (Oct 13) 8537
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Notice from the Office of the Secretary	#45 (Nov 10) 9171
Order	#45 (Nov 10) 9250
Notice from the Office of the Secretary	#51 (Dec 22) 10373
Order – ss. 127(1), 127(10)	#51 (Dec 22) 10479
Reasons and Decision	#51 (Dec 22) 10503

Purpose Investments Inc.

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Decision	#43 (Oct 27) 8899
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Pyrford International Limited

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Q Capital Management Ltd.

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QHR Corporation

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Qi, Liyuan

Director’s Decision – s. 3	#43 (Oct 27) 8949
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Qin, Sam

Notice from the Office of the Secretary	#8 (Feb 25) 1673
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Order – ss. 127, 127.1	#15 (Apr 14) 3628
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Qin, Xundong

Notice from the Office of the Secretary	#8 (Feb 25) 1673
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Notice from the Office of the Secretary	#15 (Apr 14) 3591
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Reasons and Decision	#19 (May 12) 4495

QS Investors, LLC	
Decision	#4 (Jan 28) 916
Quadravest Capital Management Inc.	
Decision	#45 (Nov 10) 9226
Quadrex Hedge Capital Management Ltd.	
Notice from the Office of the Secretary	#4 (Jan 28) 912
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Notice from the Office of the Secretary	#13 (Mar 31) 2936
Order	#13 (Mar 31) 2969
Notice from the Office of the Secretary	#15 (Apr 14) 3588
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Quadrex Secured Assets Inc.	
Notice from the Office of the Secretary	#4 (Jan 28) 912
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Notice from the Office of the Secretary	#13 (Mar 31) 2936
Order	#13 (Mar 31) 2969
Notice from the Office of the Secretary	#15 (Apr 14) 3588
Order	#15 (Apr 14) 3621
Quadrus Investment Services Ltd.	
Decision	#34 (Aug 25) 7479
Quantshares Enhanced Core Canadian Equity ETF	
Decision	#46 (Nov 17) 9387
Quantshares Enhanced Core Emerging Markets Equity ETF	
Decision	#46 (Nov 17) 9387
Quantshares Enhanced Core International Equity ETF	
Decision	#46 (Nov 17) 9387
Quantshares Enhanced Core US Equity ETF	
Decision	#46 (Nov 17) 9387
Quantshares Global Equity Rotation ETF	
Decision	#46 (Nov 17) 9387
Quantshares Multiasset Allocation ETF	
Decision	#46 (Nov 17) 9387
Quantshares Multiasset Income Allocation ETF	
Decision	#46 (Nov 17) 9387
Quantum Advisors Private Limited	
New Registration	#12 (Mar 24) 2909
Quantum International Income Corp.	
Cease Trading Order	#28 (July 14) 6375
Cease Trading Order	#30 (July 28) 6807
Quartz Capital Group Ltd.	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1	#34 (Aug 25) 7461
Notice from the Office of the Secretary	#34 (Aug 25) 7464
Notice from the Office of the Secretary	#34 (Aug 25) 7465
Order with Related Settlement Agreement – ss. 127(1), 127.1	#34 (Aug 25) 7496
Suspended (Regulatory Action)	#34 (Aug 25) 7627
QUBD Capital Inc.	
Name Change	#50 (Dec 15) 10355

Questrade Wealth Management Inc.	
Decision	#45 (Nov 10) 9231
Questrade, Inc.	
Decision	#39 (Sept 29) 8247
Qwest Investment Fund Management Ltd.	
Change in Registration Category	#10 (Mar 10) 2445
R.J. O'Brien & Associates, LLC	
Ruling and Exemption – s. 38 of the CFA	#47 (Nov 24) 9582
Rae and Lipskie Investment Counsel Inc.	
Decision	#22 (June 2) 5068
Raimount Energy Inc.	
Order	#37 (Sept 15) 7903
Raimount Oil and Gas Inc.	
Order	#37 (Sept 15) 7903
Raimount Resources Inc.	
Decision – s. 1(10)(a)(ii)	#4 (Jan 28) 915
Ranisau, George	
Director's Decision – s. 31	#49 (Dec 8) 9909
Raven Rock Strategic Income Fund	
Decision	#12 (Mar 24) 2693
Raymond James Ltd.	
Decision	#42 (Oct 20) 8755
Amalgamation	#42 (Oct 20) 8883
RBC Capital Markets, LLC	
Decision	#51 (Dec 22) 10451
RBC Europe Limited	
Decision	#51 (Dec 22) 10451
RBC Global Asset Management Inc.	
Decision	#15 (Apr 14) 3604
Decision	#42 (Oct 20) 8759
Decision	#50 (Dec 15) 10129
RBOptions	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#36 (Sept 8) 7768
Notice from the Office of the Secretary	#36 (Sept 8) 7772
Notice from the Office of the Secretary	#40 (Oct 6) 8369
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RBS Securities Inc.	
Ruling and Exemption – s. 38 of the CFA and s. 6.1 of Rule 91-502 Trades in Recognized Options	#25 (June 23) 5804
Real Crowd Capital Inc.	
New Registration	#24 (June 16) 5557
Real Estate Investment Corporate Class	
Decision	#18 (May 5) 4197
Real Estate Investment Pool	
Decision	#18 (May 5) 4197

Red Ore Gold Inc.	
Cease Trading Order	#20 (May 19) 4767
Order – s. 144	#21 (May 26) 4890
Order – s. 144	#21 (May 26) 4895
Cease Trading Order	#21 (May 26) 4923
Red Sky Canadian Equity Corporate Class	
Decision	#46 (Nov 17) 9411
Red Tiger Mining Inc.	
Cease Trading Order	#19 (May 12) 4516
Cease Trading Order	#20 (May 19) 4767
Cease Trading Order	#21 (May 26) 4923
Cease Trading Order	#22 (June 2) 5087
Cease Trading Order	#23 (June 9) 5205
Redwood Asset Management Inc.	
Decision	#14 (Apr 7) 3164
Decision	#39 (Sept 29) 8272
Redwood Global Macro Class	
Decision	#14 (Apr 7) 3164
Regal Resources Inc.	
Cease Trading Order	#1 (Jan 7) 65
Reservoir Capital Corp.	
Cease Trading Order	#37 (Sept 15) 7925
Cease Trading Order	#38 (Sept 22) 8049
Cease Trading Order	#39 (Sept 29) 8289
Cease Trading Order	#40 (Oct 6) 8459
Reservoir Minerals Inc.	
Order	#30 (July 28) 6800
Responsive Capital Management Inc.	
New Registration	#26 (June 30) 6015
Restaurant Brands International Inc.	
Decision	#13 (Mar 31) 2946
Return on Innovation Advisors Ltd.	
Change of Registraion Category	#26 (June 30) 6015
Rexel S.A.	
Decision	#39 (Sept 29) 8267
Richardson GMP (USA) Limited	
Order – s. 74(1)	#50 (Dec 15) 10154
Richardson GMP Limited	
Order – s. 74(1)	#50 (Dec 15) 10154
Rigel Mercantile Limited	
New Registration	#19 (May 12) 4707
Rival Capital Management Inc.	
Voluntary Surrender	#27 (July 7) 6277
RM & Associates Limited	
Consent to Suspension (Pending Surrender)	#1 (Jan 7) 213
Voluntary Surrender	#3 (Jan 21) 897

RMN-1 Small Business Development Corporation	
Cease Trading Order	#33 (Aug 18) 7213
RMN-2 Small Business Development Corporation	
Cease Trading Order	#33 (Aug 18) 7213
Roca Mines Inc.	
Cease Trading Order	#2 (Jan 14) 275
Rock Energy Inc.	
Order	#35 (Sept 1) 7674
Rockvale Capital Management Inc.	
Consent to Suspension (Pending Surrender).....	#35 (Sept 1) 7749
Voluntary Surrender	#44 (Nov 3) 9159
Rodinia Oil Corp.	
Order – s. 144(1).....	#10 (Mar 10) 2229
RONA Inc.	
Decision	#29 (July 21) 6631
Order	#49 (Dec 8) 9884
Rotstein, Mark Steven	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1	#9 (Mar 3) 1939
Notice from the Office of the Secretary	#9 (Mar 3) 1945
Notice from the Office of the Secretary	#13 (Mar 31) 2937
Order – ss. 127 and 127.1	#13 (Mar 31) 2970
Notice from the Office of the Secretary	#29 (July 21) 6620
Order – ss. 127, 127.1	#29 (July 21) 6649
Notice from the Office of the Secretary	#38 (Sept 22) 8017
Order – ss. 127, 127.1	#38 (Sept 22) 8033
Royal Bank of Canada	
Decision	#51 (Dec 22) 10426
Royal Bank of Scotland plc	
Decision	#40 (Oct 6) 8398
RP Investment Advisors	
Decision	#23 (June 9) 5183
RP Strategic Income Plus Fund	
Decision	#23 (June 9) 5183
RRF Trust	
Decision	#12 (Mar 24) 2693
RTG Direct Trading Group Ltd.	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10).....	#36 (Sept 8) 7763
Notice from the Office of the Secretary	#36 (Sept 8) 7772
Notice from the Office of the Secretary	#40 (Oct 6) 8369
Order	#40 (Oct 6) 8442
RTG Direct Trading Limited	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10).....	#36 (Sept 8) 7763
Notice from the Office of the Secretary	#36 (Sept 8) 7772
Notice from the Office of the Secretary	#40 (Oct 6) 8369
Order	#40 (Oct 6) 8442

Rumley, Aaron

Notice of Hearing with Related Statement of Allegations – s. 127, 127.1	#8 (Feb 25) 1667
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Notice from the Office of the Secretary	#8 (Feb 25) 1672
Order with Related Settlement Agreement – ss. 127, 127.1	#8 (Feb 25) 1730

Rumley, Robert

Notice of Hearing with Related Statement of Allegations – s. 127, 127.1	#8 (Feb 25) 1667
Notice from the Office of the Secretary	#8 (Feb 25) 1671
Notice from the Office of the Secretary	#8 (Feb 25) 1672
Order with Related Settlement Agreement – ss. 127, 127.1	#8 (Feb 25) 1730

Rush, Robert Bruce

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10).....	#20 (May 19) 4724
Notice from the Office of the Secretary	#20 (May 19) 4732
Notice from the Office of the Secretary	#22 (June 2) 5043
Order.....	#22 (June 2) 5078
Notice from the Office of the Secretary	#29 (July 21) 6618
Order – ss. 127(1), 127(10).....	#29 (July 21) 6645
Reasons and Decision – ss. 127(1), 127(10).....	#29 (July 21) 6653

Russell Investments Canada Limited

Decision	#20 (May 19) 4746
Decision	#50 (Dec 15) 10129
Decision	#51 (Dec 22) 10396

RYM Capital Corp.

Cease Trading Order	#28 (July 14) 6375
Cease Trading Order	#29 (July 21) 6661
Cease Trading Order	#49 (Dec 8) 9913

Salman Partners Inc.

Voluntary Surrender	#28 (July 14) 6587
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Notice from the Office of the Secretary	#6 (Feb 11) 1261
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ThermoCeramix Corporation	
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Thomson Reuters (SEF) LLC	
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Marketplaces – Notice of Commission Order – Application for Exemptive Relief	#25 (June 23) 6003
Thomson Reuters Corporation	
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Order – ss. 127(7), (8)	#16 (Apr 21) 3851
Notice from the Office of the Secretary	#42 (Oct 20) 8753
Order – ss. 127(7), 127(8).....	#42 (Oct 20) 8792
Notice from the Office of the Secretary	#47 (Nov 24) 9540
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Todorov, Evgueni	
Notice from the Office of the Secretary	#6 (Feb 11) 1260
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TPCM Inc.	
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tpSEF Inc.	
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Tradition SEF, Inc.	
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Notice from the Office of the Secretary.....	#16 (Apr 21) 3830
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Tralucant Asset Management Inc.	
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Trans Québec & Maritimes Pipeline Inc.	
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Marketplaces.....	#14 (Apr 7) 3551
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US Equity Small Cap Pool	
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Cease Trading Order	#17 (Apr 28) 4099
Cease Trading Order	#18 (May 5) 4223
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Decision	#14 (Apr 7) 3146
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Decision	#14 (Apr 7) 3146
Vanguard Developed All-Cap EX North America Equity Index Pooled Fund	
Decision	#14 (Apr 7) 3146
Vanguard Emerging Markets All-Cap Equity Index Pooled Fund	
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Vanguard FTSE Emerging Markets ETF	
Decision	#14 (Apr 7) 3146
Vanguard Global EX-Canada Fixed Income Index Pooled Fund (CAD-hedged)	
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Vanguard Global EX-Canada Fixed Income Index Pooled Fund (CAD-hedged)	
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Vanguard Investments Canada Inc.	
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Vatic Ventures Corp.	
Cease Trading Order	#28 (July 14) 6375
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New Registration.....	#38 (Sept 22) 8231
Vena Resources Inc.	
Cease Trading Order	#14 (Apr 7) 3235
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New Registration.....	#43 (Oct 27) 9027
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VersaBank	
Decision	#47 (Nov 24) 9543
VidWRX Inc.	
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Viewpoint Investment Partners Corporation	
New Registration.....	#38 (Sept 22) 8231
Vinci S.A.	
Decision	#21 (May 26) 4879
Vingoe, D. Grant	
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Vision Capital Corporation	
Decision	#48 (Dec 1) 9700
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Viterra Inc.	
Decision	#17 (Apr 28) 4013
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Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1	#11 (Mar 17) 2451
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Voya Diversified Floating Rate Senior Loan Fund	
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Wallace, Peter Lloyd	
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WBIC Canada Ltd.

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WealthSimple Financial Inc.

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WealthSimple Inc.

Name Change.....	#18 (May 5) 4357
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Wedge Acquisition Holdings Inc.

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Wedge Capital Management L.L.P.

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Welcome Place Inc.

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West Harbour Capital

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West Karma Ltd.

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West Red Lake Gold Mines Inc.

Cease Trading Order	#1 (Jan 7) 65.
Cease Trading Order	#1 (Jan 7) 66
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Cease Trading Order	#8 (Feb 25) 1743

Westaim Corporation

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Wharram, Rodney Jack

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Notice from the Office of the Secretary	#32 (Aug 11) 7052
Notice from the Office of the Secretary	#35 (Sept 1) 7644
Order – Rule 11.5 of the OSC Rules of Procedure	#35 (Sept 1) 7682
Notice from the Office of the Secretary	#42 (Oct 20) 8754
Order – s. 127(1).....	#42 (Oct 20) 8802
Reasons and Decision – s. 127(1).....	#42 (Oct 20) 8817

Whiterock Real Estate Investment Trust

Order.....	#43 (Oct 27) 8924
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WHV Investments, Inc.

Consent to Suspension (Pending Surrender).....	#25 (June 23) 5999
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Notice of Hearing – ss. 127, 127.1.....	#15 (Apr 14) 3587
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Notice from the Office of the Secretary	#26 (June 30) 6015
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Williams Creek Gold Ltd.

Order.....	#47 (Nov 24) 9580
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Williams, Robert Laudy

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Willowbridge Associates Inc.

Order – s. 80 of the CFA.....	#17 (Apr 28) 4028
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WisdomTree Asset Management Canada, Inc.

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Decision	#25 (June 23) 5592

WisdomTree Emerging Markets Dividend Index ETF

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WisdomTree Europe Hedged Equity Index ETF	
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Decision	#25 (June 23) 5592
WisdomTree International Quality Dividend Growth Index ETF	
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WisdomTree U.S. Earnings 500 Index ETF	
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WisdomTree U.S. High Dividend Dynamic Hedged Index ETF	
Decision	#25 (June 23) 5592
WisdomTree U.S. High Dividend Index ETF	
Decision	#25 (June 23) 5592
WisdomTree U.S. Midcap Dividend Index ETF	
Decision	#25 (June 23) 5592
WisdomTree U.S. Quality Dividend Growth Dynamic Hedged Index ETF	
Decision	#25 (June 23) 5592
WisdomTree U.S. Quality Dividend Growth Index ETF	
Decision	#25 (June 23) 5592
WisdomTree U.S. Smallcap Dividend Index ETF	
Decision	#25 (June 23) 5592
Wolverton Securities Ltd.	
Decision	#28 (July 14) 6356
World Incubation Centre	
Notice from the Office of the Secretary	#10 (Mar 10) 2213
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Notice from the Office of the Secretary	#13 (Mar 31) 2936
Order	#13 (Mar 31) 2965
Notice from the Office of the Secretary	#14 (Apr 7) 3127
Order	#14 (Apr 7) 3230
Notice of Hearing – ss. 127, 127.1	#15 (Apr 14) 3587
Notice from the Office of the Secretary	#15 (Apr 14) 3589
Notice from the Office of the Secretary	#15 (Apr 14) 3590
Notice from the Office of the Secretary	#15 (Apr 14) 3591
Order	#15 (Apr 14) 3624
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Notice from the Office of the Secretary	#26 (June 30) 6015
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Worldwide Marijuana Inc.	
Cease Trading Order	#19 (May 12) 4515
Wu, Qiang	
Notice from the Office of the Secretary	#26 (June 30) 6015
Reasons and Decision on Motions	#26 (June 30) 6027
Xcite Energy Limited	
Decision	#14 (Apr 7) 3160
Xie, Jing Xiang	
Notice from the Office of the Secretary	#26 (June 30) 6015
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Yan, Bing	
Notice from the Office of the Secretary	#26 (June 30) 6015
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Yan, Jianchao	
Notice from the Office of the Secretary	#26 (June 30) 6015
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Yanaky, Daniel William	
Notice from the Office of the Secretary	#7 (Feb 18) 1465
Order.....	#7 (Feb 18) 1478
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Yeung, Simon	
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Yin, Zhixin	
Notice from the Office of the Secretary	#26 (June 30) 6015
Yoho Resources Inc.	
Order.....	#39 (Sept 29) 8281
Yong, Fang	
Notice from the Office of the Secretary	#26 (June 30) 6015
Reasons and Decision on Motions.....	#26 (June 30) 6027
YUM! Brands, Inc.	
Decision	#40 (Oct 6) 8409
ZaZa Energy Corporation	
Cease Trading Order	#1 (Jan 7) 65
Zeiben, Lawrence	
Notice from the Office of the Secretary	#6 (Feb 11) 1261
Order – s. 127(1).....	#6 (Feb 11) 1285
OSC Reasons: Reasons and Decision	#6 (Feb 11) 1299
Zhang, Min	
Notice from the Office of the Secretary	#26 (June 30) 6015
Reasons and Decision on Motions.....	#26 (June 30) 6027
Zhang, Tao	
Notice from the Office of the Secretary	#3 (Jan 21) 721
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Notice from the Office of the Secretary	#7 (Feb 18) 1466
Notice from the Office of the Secretary	#7 (Feb 18) 1467
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Notice from the Office of the Secretary	#51 (Dec 22) 10371
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Reasons and Decision	#51 (Dec 22) 10501
Zhang, Xinwei	
Notice from the Office of the Secretary	#26 (June 30) 6015
Reasons and Decision on Motions.....	#26 (June 30) 6027

Zhong, Hong Liang

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Zhou, Fanjie

Notice from the Office of the Secretary	#26 (June 30) 6015
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Zhou, Ping

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Zhou, Wen

Notice from the Office of the Secretary	#26 (June 30) 6015
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ZipLocal Inc.

Cease Trading Order	#6 (Feb 11) 1309
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Zulutoys Limited

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10).....	#36 (Sept 8) 7768
Notice from the Office of the Secretary	#36 (Sept 8) 7772
Notice from the Office of the Secretary	#40 (Oct 6) 8369
Order – ss. 127(1), 127(10).....	#40 (Oct 6) 8441

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